UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

Benjamin Holmes
P-0-Box891 Bronx N.Y.10451
Write the full name of each plaintiff.

18CV3759
(Include case number if one has been assigned)

-against-

The City of New York and The state of New York Workers Compensation and New York Park's and Recreation

Write the full name of each defendant. If you need more space, please write "see attached" in the space above and attach an additional sheet of paper with the full list of names. The names listed above must be identical to those contained in Section II.

COMPLAINT

NOTICE

The public can access electronic court files. For privacy and security reasons, papers filed with the court should therefore *not* contain: an individual's full social security number or full birth date; the full name of a person known to be a minor; or a complete financial account number. A filing may include *only*: the last four digits of a social security number; the year of an individual's birth; a minor's initials; and the last four digits of a financial account number. See Federal Rule of Civil Procedure 5.2.

34:01 MA 199 199 1995

I. BASIS FOR JURISDICTION

Federal courts are courts of limited jurisdiction (limited power). Generally, only two types of cases can be heard in federal court: cases involving a federal question and cases involving diversity of citizenship of the parties. Under 28 U.S.C. § 1331, a case arising under the United States Constitution or federal laws or treaties is a federal question case. Under 28 U.S.C. § 1332, a case in which a citizen of one State sues a citizen of another State or nation, and the amount in controversy is more than \$75,000, is a diversity case. In a diversity case, no defendant may be a citizen of the same State as any plaintiff.

What is the basis for 6
What is the basis for federal-court jurisdiction in your case?
Federal Question
Diversity of Citizenship
A. If you checked Federal Question
Which of your fodows!
Which of your federal constitutional or federal statutory rights have been violated?
B. If you checked Diversity of Citizenship
1. Citizenship of the parties
Of what State is each party a citizen?
The plaintiff, Benjamin Holmes, is a citizen of the State of
(State in which the person resides and intends to remain)
or, if not lawfully admitted for permanent residence in the United States, a citizen or subject of the foreign state of
f more than one plaintiff is named in the complaint, attach additional pages providing
Platituπ. Pages providing

If the defendant is an individual:
The defendant,
(Defendant's name) , is a citizen of the State of
or, if not lawfully admitted for permanent residence in the United States, a citizen or subject of the foreign state of
If the defendant is a corporation:
The defendant,
the State of, is incorporated under the laws of
and has its principal place of business in the State of
or is incorporated under the laws of (foreign state)
and has its principal place of business in
If more than one defendant is named in the complaint, attach additional pages providing information for each additional defendant.
II. PARTIES
A. Plaintiff Information
Provide the following information for each plaintiff named in the complaint. Attach additional
Benjamin Holmes
Middle Initial Last Name
Street Address
Bronx New York 10451
County, City State State Zip Code
Telephone Number Email Address (if available) Telephone Number

B. Defendant Information

To the best of your ability, provide addresses where each defendant may be served. If the correct information is not provided, it could delay or prevent service of the complaint on the defendant. Make sure that the defendants listed below are the same as those listed in the caption. Attach additional pages if needed.

Defendant	1:		
•	First Name	Last Name	
	Current Job Title	(or other identifying information	
		iress (or other address where de	
Defend	County, City	State	Zip Code
Defendant 2:	First Name	Last Name	
	Current Job Title (or	other identifying information)	
	Current Work Addre	ss (or other address where defe	ndant may be served)
Defendant 3:	County, City	State	Zip Code
oremant 3:	First Name	Last Name	
	Current Job Title (or o	ther identifying information)	
	Current Work Address	(or other address where defend	ant may be served)
	County, City	State	Zip Code

Defendant 4:			
•	First Name	Last Name	
	Current Job Title (or c	other identifying information)	
	Current Work Address	(or other address where defend	dant may be served)
TT CTATUS	County, City	State	Zip Code

III. STATEMENT OF CLAIM

Place(s) of occurrence: 1-Bona River Parkway Bronk Ny. 10462-

Date(s) of occurrence: 5/27/06 - 7403/-06 8-16-06

FACTS: Junt

State here briefly the FACTS that support your case. Describe what happened, how you were harmed, and what each defendant personally did or failed to do that harmed you. Attach additional pages if needed.

High A. Wend I gave them the notes from the doctors. Said no Heavy Lifting or Pushing The doctor on 6/3/90/1 totally disabled. but they still insist for me to work telling me that sthis is a program to see if I care work. I keep Complaining about me being Sick and they didn't pay it mine. High tall me if I don't as to the appointment they were going to stop my rood Stamp and my rent and Medicaid. I had a letter from Social Security to 1/100 ASSISTANT. I went to work with me getting siep. The doctor in Monterfiore Mospital tall me that I peed a heart Valve. I was stell trying to work.

The afformey that was representing he did not
THE FIGHT Papers in at Work man's Comment
poren 1/50/10wed my case hereuse they did
The have the paper from the hospital. If this
They are breaking the law They are breaking the law They are
They are breaking the law. I would like to Atkendall hearings because if a document needed
· · · · · · · · · · · · · · · · · · ·

INJURIES:

If you were injured as a result of these actions, describe your injuries and what medical treatment, if any, you required and received.

This tragic thing that happened to me cause metodic on the table twice wend the doctor operate on meet have take lots of medication for the rest of my life in a wheelchair. I was a normal person before this. I am taking diluted rat poison. IV. RELIEF

State briefly what money damages or other relief you want the court to order.

I get weak and dizzy most of the time. I have
other things is wrong with me. I have two hernigs
These things was not wrong with me before the operation
Money and damages pain and suffering I am asking
The Courts to order to reward mets million dollar
to me thank you

Beyon Halmon

Page 6

V. PLAINTIFF'S CERTIFICATION AND WARNINGS

By signing below, I certify to the best of my knowledge, information, and belief that: (1) the complaint is not being presented for an improper purpose (such as to harass, cause unnecessary delay, or needlessly increase the cost of litigation); (2) the claims are supported by existing law or by a nonfrivolous argument to change existing law; (3) the factual contentions have evidentiary support or, if specifically so identified, will likely have evidentiary support after a reasonable opportunity for further investigation or discovery; and (4) the complaint otherwise complies with the requirements of Federal Rule of Civil Procedure 11.

I agree to notify the Clerk's Office in writing of any changes to my mailing address. I understand that my failure to keep a current address on file with the Clerk's Office may result in the dismissal of my case.

Each Plaintiff must sign and date the complaint. Attach additional pages if necessary. If seeking to proceed without prepayment of fees, each plaintiff must also submit an IFP application.

9/24/18 Dated		Plaintiff Signature	Halmas
First Name	Middle Initial	Last Name	
Benjamin		Holmes	
Street Address		1101mes	
P.O. Box 8	91		
County, City	Sta	te	Zip Code
Bronx	N.Y.	Rosensky	
Telephone Number		Email Address (if ava	86 0 g prais-com

I have read the Pro Se (Nonprisoner) Consent to Receive Documents Electronically: \square Yes \square No

If you do consent to receive documents electronically, submit the completed form with your complaint. If you do not consent, please do not attach the form.

To whom it may concern my name is Benjamin Holmes I am makeing a complaint against The City of New York and The state of

New York.The CITYof NEW YORK Parks and Recreation The Arsenal cental Park New York New York.Move over The Parks and Recreation and workmans

compensation This allow my claim. I have all document that I am in the rights by law of United States I am sending all copies I had a heart attack in 2005

the Hospital I went to was Bronx Lebanon I have a letter from the hospital I also a letter from a doctor Albert Graziosa M D at Throgs Neck Multi Care P C

3058 East Tremont Avenue Bronx New York 10461 call 718-409 0500 this was in 4/30/99 to 6/11/99 after all this social services work assignment and tall

me that it was mandatory I worke as I work I was bleed ing every day. At the end of the work trials I start bleeding more than every the Parks department

supervisor tall Me to go home when I showed the sick notice. I was A wall on the for not comeing to work but I had doctor notes that I was in the hospital.

I accumulate this problem while I was working. The doctors at Montefiore Hospital tall Me that I need a mechanical vlave. The doctor if I don;t have this

procedure perform this surgery that I would become a vegetables He means that I will be in bed for the rest of my life. We discuss the procedure that have

to take some tests after the test he schedule me for surgery. I wont to know how could you force someone back to work and you are not responsible. I guess

it,s back to slavery time whit out the whip I wont to no how cane you be forced back to work

and the person is not responsible. Maybe the law has

change. I am ashing for equal opportunity I asking the court for to let me be at all court hearing because I had a case throw out of court because case was seal

I dont wont this happen again I have all document containing to this case. I am asking the City of New York and the state to restore all my loss income and for

pain and suffering I am sending some documents to show that I am right. Thank you Benjamin Holmes

P. O. Box 891

Bronx N Y 10451

Phon 347-3136258 Leyam Halman 8/4/18

To Your Honor

I put all of the document that I can find right now . I put all document from the parks department Doctor notes Hospital records letter from the

Worker, s Compensation Board from the Law Offices of Joseph A Romano this the attorney that represent me in this cases He did not do a good

job. and social security telling me to go to H R A this should prove that I was in the right . This is why I would like to attend all hearing because if

Benju Halm 9/24/18

a document is needed I can present it.

SRINIVASA R. ADAPA M.D.

3950 White Plains Road Bronx, NY 10466 Tele: 718-882-2432

Fax: 718-231-1067

01/22/2018

REF: Benjamin Holmes

558 Grand Concourse

Bronx, NY 10451

DOB: 04/19/1953

To Whom It May Concern:

Mr. Benjamin Holmes is a 64 year old African American male who has been under my care since 10/04/2017. The patient suffers from multiple medical problems and takes alot of medications. Mr. Holmes is disabled due to his back injury and heart problem. As he is taking coumadin, he should avoid vitamin k rich goods for which he was educated on.

Please assist my patient to apply for food stamps.

Thank you in advance.

S.R. Adapa, M.D.

Sincerely

Final Diagnoses:

HYPERTENSION, H/O HEART ATTACK IN 2005 MARCH

Accommodations Required For Employment:

Limited Lifting; Limited Pulling; Limited Pushing;

Employment Disposition:

Medical Limitations To Employment That Require Vocational Rehabilitation, and/or Specialized Supports

Narrative Supporting Recommendation:

PT IS A 52 YO AAM WITH H/O HYPERTENSION AND HEART ATTACK IN MARCH 2005 IS CLEARLY STABLE AT THIS TIME WITHOUT ANY CHEST PAIN , SOB OR ANY PHYSICAL FINDINGS ON EXAM.PT NEEDS VOC REHAB FOR STABLIZATION, FUNCTIONAL IMPROVEMENT AND FOR WORK READINESS PT CAN NOT HEAVY LIFTING OR PUSHING JOB BUT CLEARLY IS ABLE TO DO LESS EXERTIONAL JOB.

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

Medical Conditions Impacting Or Requiring Stabilization For Employment

Diagnosis Date Identified Recommended Treatment/ Domain **Affecting Employment Action Plan Target Date**

10/26/2005

Medical HYPERTENSION

PCP

10/26/2005

Medical H/O HEART ATTACK PCP/ CARDIOLOGY

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

Medical Needs Not Affecting Employment

Referral Needed For PCP - Routine: Yes Referral Needed For PCP - Emergent? No

Referral Needed For ER? No

Comments:

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

ALBERT GRAZIOSA, M.D. ORTHOPAEDIC SURGEON

THROGS NECK MULTI CARE, P.C. 3058 EAST TREMONT AVENUE BRONX, NEW YORK 10461 (718) 409-0500

DISABILITY CERTIFICATE

	DATE:	6/3/99	
To Whom It May Concern:			
This is to certify that:		han;	
has been under my care for:	Sprain	Mposs.	Lumba
The patient has been totally in FROM: 30 qqq	neapacifiated:	11/99	
FROM:	TO:		
REMARKS: the above	Lolote 2 Resident	ly dis	boldo
	- evalvat	ed on	6/11/99
of the		The property	
Ald the second of the second o	sed C.	Own.	<u>:</u>
ALBERT GRA	AZIOSA, M.D.	Ţ,	

ALBERT GRAZIOSA, M.D. ORTHOPAEDIC SURGEON

> THROGS NECK MULTI CARE, P.C. 3058 EAST TREMONT AVENUE BRONX, NEW YORK 10461 (718) 409-0500

Final Diagnoses:

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Employment Disposition:

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Narrative Supporting Recommendation:

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Comments:

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

Medical Conditions Impacting Or Requiring Stabilization For Employment

Diagnosis Recommended Treatment/ **Date Identified** Domain **Target Date Affecting Employment Action Plan**

10/26/2005

Medical HYPERTENSION

PCP

10/26/2005

Medical H/O HEART ATTACK PCP/ CARDIOLOGY

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

Medical Needs Not Affecting Employment

Referral Needed For PCP - Routine: Yes Referral Needed For PCP - Emergent? No

Referral Needed For ER? No

Comments:

(Completed 10/26/2005 By M. Shuja, , Bronx Lebanon Hospital)

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 15 of 173

This is the papers to proof that I in the that the hospital it shows that workers compensation Board round whit thay decision the doctors did not fill

out the workers compensation papers. on 8/15/ 18 I went to Montefiore Hospital to ask them why workers compensation was not fill out by the doctors

I am seeking my full workman comp payments for 11 years.

Thank You

Benjamin Holmes

P O Box 891

Bronx New York 10451

Phon-347-313-6258

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 16 of 173

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Thank You

Benjamin Holmes

P O Box 891

Bronx New York 10451

Phon-347-313-6258

Decument 2 Filed 09/24/18 Page 17 of 173



Moses Emergency Department 111 East 210th Street Bronx, NY 10467 718.920.5731



Patient: HOLMES, BENJAMIN Triage Date: October 16, 2006

DOB: April 19, 1953

Med Rec#; Account#:

HOLMES, BENJAMIN MR#01287053 ED

mergency Department Consent, Page 1	DOB: 04/19/1953 ACCT: 15459455
of the following procedure upon	, hereby give my voluntary consent to
receitify that the above procedure hamy questions have been answered.	s been explained to me as well as its risks, benefits and alternatives and all understand the diagnostic or treatment necessity for the procedure(s).
Procedure to be done:	EXAMINATION & TREATMENT Date Relationship to patient Witness
DISCHARGE AGAINST ADVICE	
Montefiore Medical Center against the	, am voluntarily leaving and signing out , I am taking from the le advice of my physician and/or the Medial Staff. In demanding this cian, the Hospital, and its staff from any and all responsibility for the care, hamed patient.
∕vitness	Signed
Approved by O.O.D.	Relationship to patient
NORKERS COMPENSATION	
Address	4
lereby authorize Montefiore Medical	Center, Bronx, N.Y. to release any and all information concerning
	Signature



Page 1 of 3



PATIENT'S NAME: HOLMES, Benjamin

MR NUMBER: 01287053

SURGEON'S NAME: JOSEPH DEROSE, M.D.

DATE OF SURGERY: 06-05-2007 TYPE OF REPORT: OPERATIVE REPORT

PREOPERATIVE DIAGNOSIS:

Mitral Regurgitation

POST-OPERATIVE DIAGNOSIS:

same

OPERATION:

Mini-mitral valve replacement

SURGEON:

Joseph J. DeRose, Jr., MD

ASSISTANT:

Joseph Rabin, MD

PATHOLOGY: The patient is a 54 year-old man with a PMHx of HTN and a strong family history of CAD who was admitted to the hospital 3 weeks ago with chest pain and SOB. Echocardiogram revealed severe MR with a restricted anterior leaflet consistent with prior rheumatic disease. Cardiac catheterization revealed no evidence of CAD and confirmed the MR with moderate pulmonary hypertension. At operation the anterior leaflet was forshortened and scarred. The commissures were fused and the posterior leaflet was likewise restricted. Mitral Valve Replacement: 25/33 ON-X (mechanical)

POCEDURE: After the induction of general double lumen endotracheal anesthesia, the patient was positioned in an anterolateral thoracotomy position with the right arm supported on a pillow over the head. The chest and groins were prepped and draped in the usual sterile manner.

A 5 cm anterolateral thoracotomy incision was made in the 5th interspace. The pericardium was opened and suspended with pericardial sutures. Next a small incision was made in the right groin and the femoral artery and femoral vein were dissected free. ACT guided heparinization was then administered and the femoral artery was cannulated via a Sledinger technique with a 20 Fr Fem-Flex cannula. Next the femoral vein was cannulated with a 22 Fr Cardiovations cannula which was passed to the SVC/RA junction under echo guidance.

An antegrade cardioplegia cannulae was inserted into the aorta. Cardiopulmonary bypass was initiated. Sonnengard's groove was dissected. A Chitwood clamp was inserted through the axilla and after Page 1 of 3

HOLMES, BENJAMIN - 01287053 - MMC - 162893440



PATIENT'S NAME: HOLMES, Benjamin

MR NUMBER: 01287053

SURGEON'S NAME: JOSEPH DEROSE, M.D.

DATE OF SURGERY: 06-05-2007
TYPE OF REPORT: OPERATIVE REPORT

temporarily reducing the pressure, the aorta was cross-clamped. One liter of cold blood cardioplegia was administered antegrade. Cardioplegia was re-infused at 20-minute intervals throughout the procedure.

The left atrium was then opened, and the mitral valve was exposed with the Estech atrial lift retractor. The anterior leaflet was restricted and foreshortened. The anterior leaflet was divided but the majority of its chordal attachments were retained and plicated with the valve sutures. The posterior leaflet was likewise retained in its entirety. Pledgeted 2-0 Ticron sutures were then placed on the atrial side through the annulus in a mattress style and the valve was sized to a 25/33 ONYX mechanical vlave. The valve was easily seated and the sutures were secured. The atriotomy was then closed with running 3-0 prolene.

Evacuation of air was performed and with high suction applied to the aortic root vent, the aortic clamp was released. Organized rhythm was restored. Deairing maneuvers were completed and the patient was separated from the CPB circuit.

Two right ventricular pacing wires were placed. Drainage tubes were placed in the pericardium and in the right pleural space. The cannulas were removed and protamine was administered. A soaker catheter was placed in the paravertebral space for postoperative analgesia.

The fascia, subcutaneous tissue and skin were then closed with absorbable sutures in layers. Sterile dressings were applied and the patient was transferred to the ICU in stable condition.

Dr. DeRose was scrubbed for all portions of this operation.

Details of CPB:

CPB: x-clamp: 134 minutes 103 minutes

Blood products:

none

Page 2 of 3

HOLMES, BENJAMIN - 01287053 - MMC - 162893440



PATIENT'S NAME: HOLMES, Benjamin

MR NUMBER: 01287053

SURGEON'S NAME: JOSEPH DEROSE, M.D.

DATE OF SURGERY: 06-05-2007 TYPE OF REPORT: OPERATIVE REPORT

DICTATED BY:

JOSEPH DEROSE, M.D.

JOSEPH DEROSE, M.D.

D: 06/12/2007

T: 06/13/2007 PMC/JA J: 19701 DT:6:02 PM

A: 162893440

Page 3 of 3 Authenticated and Edited by Joseph J Derose, MD On 6/14/07 9:23:53 AM

/lontefiore

Jurke Avenue

941 Burke Avenue Bronx, NY 10469 (718) 654-5900 Fax: (718) 654-0053 November 1, 2012 Page 2

Patient Information

For: Benjamin HOLMES

DOB: 04/19/1953 MRN: 01287053

*Patient Instructions

Coumadin as per MD on Sat/Sun

- COUMADIN MG TABS (WARFARIN SODIUM) one mg tabs to be takin as instructed by MD with 5 mg coumadin talbets
- LOVENOX 50 MG/ML SOLN (ENOXAPARIN SODIUM) Take Lovenox 150 mg SQ daily

MEPHYTON 5 MG TABS (PHYTONADIONE) Take only when directed

- 5) TOPROLEXL 50 MG XR24H-TAB (METOPROLOL SUCCINATE) 1 TAB BID PO
- 1 PROCARDIA XL 90 MG XR24H-TAB (NIFEDIPINE) 1 TAB QDAY PO
- HYDROCHLOROTHIAZIDE 25 MG TÀBS (HYDRÓCHLOROTHIAZIDE) 1 TAB QDAY PO
- 8) YRICA 100 MG CAPS (PREGABALIN) two three times a day
- 9) LOCOR 40 MG TABS (SIMVASTATIN) one at bed time Brand Only
- 10) PRILOSEC 20 MG CPDR (OMEPRAZOLE) one tablet daily
- 11) ATROVENT HFA 17 MCG/ACT AERS (IPRATROPIUM BROMIDE HFA) two puffs 4 times a day
- 12) VITAMIN D (ERGOCALCIFEROL) CAPS 2000 UNITS one per day after completing high dose vit d
- 13) COLACE 100 MG CAPS (DOCUSATE SODIUM) 1 CAP TID. PO
- 14) STANDARD METAL WHÈEL CHAIR WITH FOOT REST Use when balance is poor to prevent falls
- 15) FLOVENT HFA 110 MCG/ACT AERO (FLUTICASONE PROPIONATE HFA) one puff twice a day
- 16) DOCUSATE SODIUM 100 MG TABS (DOCUSATE SODIUM) one tablet three times a day
- 17) CIALIS 10 MG TABS (TADALAFIL) one tablet every 36 hours

Please contact us at (718) 654-5900 if you have any questions or concerns.

State of New York WORKERS' COMPENSATION BOARD

CLAIMANT'S REQUEST FOR FURTHER ACTION

INSTRUCTIONS: To request Board action on a case, complete this form and submit it to your local WCB district office. See mailing addresses on the reverse side. ATTACH ALL APPLICABLE EVIDENCE FOR CONSIDERATION BY THE BOARD. You must also send a copy of this form to your employer's workers' compensation insurance carrier, or directly to your employer or its third party administrator, if it is self-insured. This form is NOT to be used to APPEAL a decision.

ALL COMMUNICATIONS SHOULD REFER TO THESE NUMBERS	1			
1. WCB CASE NO. 2. CARRIER CASE NO. (If known)	3. SOCIAL SE	CURITY NO.	4. DATE OF INJURY	5. WCB DISTRICT OFFIC
0792583733786765	100	423996	041953	
6. CLAIMANT	W	ADDRES	S TO WHICH NOTICES SHOUL	D BE SENT
7. EMPLOYER Benjamin Holmes		1160 Bu	Ke Ave Bron	APT. N X N V 1046 3
Lows Leon		1140 Greno		7
8. CARRIER The State IN Surance	Eund	199 Chins	CLSF NV. NY	11 - 57
9. ATTORNEY OR LICENSED REP. That's what I wont	2	at the state of th	1. N.S. 1024 . W. 9.	ATTY/REP I.D. NO.
CHECK HERE FI IF CLAIMANT'S ADDRESS SHOWN ABOVE IS NEW.	77,120	ge <u>r </u>		
REAS	ON FOR T	HIS REQUEST		:
(Check all that apply - use item p. for explanat	ion or additio	nal information - se	o reverse side for furth	on assulant 11
10. CLAIMANT	***************************************		o reverse side for furth	er explanation)
a. requests referral for Administrative	4.	h. a reques	st for medical treatment ed.	was denied or not
Determination/Conciliation/Hearing, as appropriate, because (please check the	. }	i. a reques	st for medical and trans sement was denied.	portation
appropriate box[es] below):				
b. he/she has had a change of medical co	andition.		ow has medical eviden	
IF THIS BOX IS CHECKED, ATTACH MEDICAL REPORT. IF F PREVIOUSLY SUBMITTED, IDENTIFY IT IN ITEM P BELOW DOCTOR'S NAME AND FORM ID, IF ANY.	REPORT WAS BY DATE,	pinning.	equested evidence is n	
c. he/she is not working and not receiving	navmente	L I. claimant	's representative's fee h	nas not been paid.
d. his/her payments have been suspended		m. he/she h pertainin	as discontinued or settling to this accident/injury	ed a lawsuit
e. he/she has returned to work at full wage		n. claimant new add	has a change of addre ress in 6., above).	ss (please provide
f. he/she is working at reduced earnings.		o. he/she h	as been released from plying for benefits (atta	incarceration
g. he/she has not been paid as directed in of decision.	a notice	release).		•
		L b. other (ex	plain fully in the space	provided below.)
	•			
	. •			
ATTACH ALL APPLICABLE EVIDENCE FOR CONSIDERA IDENTIFY IT BY DATE, DOCTOR'S NAME AND FORM ID, II	TION BY THE	BOARD. IF MEDICAL	EVIDENCE WAS PREVIOU	SLY SUBMITTED,
1. Have the above issues been resolved by agreem	30n+2 () 1/	TO THE PART OF THE	OVE.	
in No, have you attempted to resolve the issue(s)) checked abo	ove with the other		No
hereby certify that a copy of this form with attachmen are instructions above.	t(s) was subn	nitted to the other p	party(les) in this case in	accordance with
PREPARED BY (Please Print Name)		DATE PREPARED		\
Benjamin Holmes	mn		AREA CODE TELEPH	ONE NUMBER
This said	nt's representa	389 83	」 [/] (7 7 7 7 7	B7170
			·.	

Columbia Heart

Coronary Angiogram CT Patient Instructions

Name	Benjami Wilnes Study	needed (Toward
Indication	ns: and needown Meds/F	Food Allergies
Appointm	ns: And newlow Meds/F ment Date: $10.10.08$ Time: 845 MR# 8100 Syour medications and insurance cards with you. You may a	1028 Physician 81:11:00
Bring all of No smoking	your medications and insurance cards with you. You may eg for six hours prior to your scan. Take your medications as	eat a light breakfast, but avoid coffsing
	The incurrence of the same of	usual unless other instructions are given.
	MEDICARE CORONARY DX LIST ***BC/BS INDIC ANGINA DECUBITUS	ATIONS TROPS PISK EACTORS
	PRINZIVETAL ANGINA	413.0
	OTHER AND UNSPECIFIED ANGINA DEGESSION	413.1
	TOND OF UNDECLIFIED TYPE OF VEGGET ALATINE OF STATE	FT** 413.9
		714.00
	CAD OF AUTOLOGOUS BIOLOGICAL BYPASS GRAFT** CAD OF AUTOLOGOUS VEIN BYPASS GRAFT**	414.01 414.02
[CAD OF NONAUTOLOGOUS BIOLOGICAL BYDAGO	414.03
		414.04
-	ANEURYSM OF CORONARY VESSELS	414.05
ŀ	DISSECTION OF COROMARY ARTERY	414.11
ŀ	OTHER SPECIFIED FORMS OF CHRONIC ISCHEMIC HEART CHRONICH ISCHEMIC HEART DISEASE TIME PROFITERS OTHER SPECIFIED FORMS OF CHRONIC ISCHEMIC HEART CHRONICH ISCHEMIC HEART DISEASE TIME PROFITERS OTHER SPECIFIED FORMS OF CHRONIC ISCHEMIC HEART OTHER SPECIFIED FORMS OF CHRONIC ISCHEMI	DISEASE, UNSPECIFIED 444.0
ľ	CHRONICH ISCHEMIC HEART DISEASE, UNSPECIFIED CORONARY ARTERY ANOMALY, CONGENITAL	114.8 414.9 414.9
Ī	CHEST PAIN, UNSPECIFIED**	746.85
	PRECORDIAL PAIN**	786.50
Ļ.	OTHER CHEST PAIN**	786.51
L	ABNORMAL CARDIOVASCULAR FUNCTION STUDY, UNSPE	CIFIED**
es No	Allergy to Radiopaque contrast media, iodine or shellfish	7.07.30
S' No	Benadryl: 50mg po 1 tablet @ 10pm the night before the Pepcid: 40mg po 1 tablet @ 10pm the night before the so OR Medrol Med Pack (if patient is unable to tolerate prednish Heart rate: Date: / 0/2/ }	can and 1 hour prior to con
	If heart rate is > 70 bpm, PO beta Blockers is indicated. Rx: Metoprol: 50mg po at 6pm the night prior to scan and 1 li	hour prior to scan
s No	Baseline BMP & BUN ordered. REQUIRED within 30 days Place of service:	of ann
s (No)	Is patient a diabetic?	
s (No	Is the patient on Metformin, Glucophage, Glucovance or Avato hold on the day of the scan.	andamet. IF yes, instruct patient
No	EKG obtained. Required within 30 days of scan. Sinus arrhy Notify Physician.	thmia or atrial fibrillation –
(No)	Does patient have a pacemaker or ICD? If yes, advise patient down" immediately prior to the scan and "turned up" immedia	that the device may be "turned ately follow the scan
	Does the patient suffer form claustrophobia or appear anxious If Yes, consider medication for anxiety. (Patient will need to be Rx:	regarding the scan?
No	If the patient is female and of child bearing age, then a serum	Beta Hcg will be done.
sician's si	gnature	Date: 10/8/P

Columbia Heart

Coronary Angiogram CT Patient Instructions

1 vanio	Benjami Wine 5 Study needed (7)	dam.
Indication	s: ald nuclear Meds/Food Allergies	0
Appointm	ent Date: 10.10.08 Time: 845 MR# R100128 Physician: 10.10.08 Physician: 10.10.08 Physician: 10.10.09 Physi	1.11:01
Bring all of No smoking	your medications and insurance cards with you. You may eat a light breakfast, but avoid for six hours prior to your scan. Take your medications as usual unless other instruction	d caffeine.
	MEDICARE CORONARY DX LIST	ns are given.
	ANGINA DECUBITUS BC/BS INDICATIONS *BC/BS RISK FACTOR	
ŀ	PRINZMETAL ANGINA OTHER AND UNSPECIFIED ANGINA PECTORIS	413.0 413.1
İ	CAD OF UNSPECIFIED TYPE OF VESSEL, NATIVE OR GRAFT**	413.9
Ī	1 OI MAINE CORUNARY ARIEDVXX	414.00
[CAD OF AUTOLOGOUS BIOLOGICAL BYDASS OF THE	414.01
	CAD OF AUTOLOGOUS VEIN RVDASS CDACTO	414.02
-	CAD OF NONAUTOLOGOUS RIOLOGICAL DYDAGO OF THE	414.03
-	OND OF ARTERY BYPASS GRAFT**	414.04
-	ANEURYSM OF CORONARY VESSELS	414.05
-	DISSECTION OF CORONARY ARTERY	414.11
-	OTHER SPECIFIED FORMS OF CHRONIC ISCHEMIC HEART DISEASE, UNSPECIFIED	414.12
<u> </u>		414.9
F	CORONARY ARTERY ANOMALY, CONGENITAL CHEST PAIN, UNSPECIFIED**	746.85
<u> </u>	PRECORDIAL PAIN**	786.50
-	OTHER CHEST PAIN**	786.51
<u> </u>	ABNORMAL CARDIOVASCIII AD CINCETO	786.59
L.,.	ABNORMAL CARDIOVASCULAR FUNCTION STUDY, UNSPECIFIED**	794.30
s' No	Rx: Prednisone: 60mg po @ 10pm the night before the scan and 1 hour before the scan. Benadryl: 50mg po 1 tablet @ 10pm the night before the scan and 1 hour prior to scan. Pepcid: 40mg po 1 tablet @ 10pm the night before the scan and 1 hour prior to scan. OR Medrol Med Pack (if patient is unable to tolerate prednisone) Heart rate:	
	Baseline BMP & BUN ordered. REQUIRED within 30 days of scan. Place of service:	
No	Is patient a diabetic?	
No	Is the patient on Metformin, Glucophage, Glucovance or Avandamet. IF yes, instruct patien to hold on the day of the scan.	t
No	EKG obtained. Required within 30 days of scan. Sinus arrhythmia or atrial fibrillation – Notify Physician.	
N9	Does patient have a pacemaker or ICD? If yes, advise patient that the device may be "turned down" immediately prior to the scan and "turned up" immediately follow the scan.	
(No)	Does the patient suffer form claustrophobia or appear anxious regarding the scan? If Yes, consider medication for anxiety. (Patient will need to be accompanied by a driver)	_
No	Does the patient suffer form claustrophobia or appear anxious regarding the scan? If Yes, consider medication for anxiety. (Patient will need to be accompanied by a driver.) Rx: If the patient is female and of child bearing age, then a serum Beta Hcg will be done.	
0	Does the patient suffer form claustrophobia or appear anxious regarding the scan? If Yes, consider medication for anxiety. (Patient will need to be accompanied by a driver.) Rx: If the patient is female and of child bearing age, then a serum Beta Hcg will be done.	0/8/8

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 25 of 173



The Committee of the Co	YING BY CREDIT CARD, FILL OUT CHECK CARD USING FOR PAYME	NT BELOW	
		MASTERCARD VISA VISA	
CARD NUMBER	THE RESIDENCE OF THE PROPERTY	AMOUNT	
SIGNATURE	201-10- pp. 971-marked - 1900 - 1900, Market 192 - 171	EXP. DATE	
STATEMENT DATE	PAY THIS AMOUNT	ACCOUNT NBR	
10/08/08	\$0.00	33031	
4.	SHOW AMO	UNT	

ADDRESSEE:

Holmes, Benjermin 78 Green Circle

Yemassee, SC 29945 USA

Please check box if above address is incorrect or insurance information has changed, and indicate change(s) on reverse side.

REMIT TO:

Columbia Heart Clinic
8 Richland Medical Park Drive
Ste 300
Columbia, SC 29203

PLEASE DETACH AND RETURN TOP PORTION WITH YOUR PAYMENT

DATE 10/08/08	PATIENT NAME	PRO	VIDER 5	SERVICE	DESCRIPTION OF SE	RVICE AMOUNT
10/00/00				Patier	nt Payment Cash (QTY 1.00)	-\$2.0
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ACC	OUNT NBR	CHARGES	PAYMENTS	REFUNDS/ ADJUSTMENTS	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	BALANCE DUE FROM PATIENT
	33031	\$0.00	-\$2.00	\$0.00	\$0.00	\$0.00

MESSAGE:

Thank you for choosing Columbia Heart for your Cardiology needs.

PLEASE PAY
THIS AMOUNT »»» \$0.00

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 26 of 173



HECK CARD USING FOR PAYME	MASTERCARD WSa VISA	
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PAY THIS AMOUNT	ACCOUNT NBR	
\$0.00	33031	
	DISCOVER PAY THIS AMOUNT	

PAID HERE

\$

ADDRESSEE:

Holmes, Benjermin 78 Green Circle

Yemassee, SC 29945 USA

Please check box if above address is incorrect or insurance information has changed, and indicate change(s) on reverse side.

REMIT TO:

nl.ll.ll.ll.ll. Columbia Heart Clinic 8 Richland Medical Park Drive Ste 300 Columbia, SC 29203

PLEASE DETACH AND RETURN TOP PORTION WITH YOUR PAYMENT

DATE 10/08/08	PATIENT NAME	PRO	VIDER ;	SERVICE	DESCRIPTION OF SE	RVICE AMOUNT
10/06/08				Patier	t Payment Cash (QTY 1.00)	-\$2.
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PERSONAL PROPERTY.						
ACC	OUNT NBR	CHARGES	PAYMENTS	REFUNDS/ ADJUSTMENTS	ESTIMATED BALANCE DUE FROM INSURANCE	BALANCE DUE FROM PATIENT
	33031	\$0.00	-\$2.00	·\$0.00	\$0.00	\$0.00

MESSAGE

Thank you for choosing Columbia Heart for your Cardiology needs.

PLEASE PAY
THIS AMOUNT »»» \$0.00

View Residence Information Section

View Family Violence Section

View Involved with Child Welfare Section

View Legal Involvement Section

View Alcohol/Drug Section

View Mental Health History Section

View Current Mental Health Status Section

View Physician's View

F.E.G.S BIOPSYCHOSOCIAL SUMMARY

Date Prepared

(From/To):

01/04/2007 - 01/13/2007

Page Number:

1

FEGS Main Track

Number:

2505168

HRA Case

Number/Suffix/Line 0002185592 - 01 - 88

Number:

Case Name:

Holmes, Benjamin

CIN:

WD86222A

Address:

762 EAST 211TH STREET

Telephone Number:

7186521516

HRA Office

38

BRONX, New York 10462

Number

Case Manager

Telephone

Assigned Case

Manager

Number:

HRA Special Program --

Date of Contact:

01/04/2007

Staff Member Name:

Scott Matthew Wallin

Organization/ Unit:

Bronx Lebanon Hospital

Location:

Hunts Point Avenue

Telephone Number:

E-Mail Address:

SWallin@fegs.org

Article 28 Clinic Information

Name/Location of Article 28 Organization Clinic: Bronx Lebanon Hospital - Hunts Point Avenue

Date of Initial BPS

Appointment:

Time of Initial BPS

Appointment:

Medical Record

Number:

3415055

Clinic Episode

Number:

20049851

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Releases

Applicant/Participant has signed HRA consent for release of confidential HIV related information.

Applicant/Participant has signed HRA consent for release of medical and alcohol or substance abuse treatment program information.

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Case Information

SSN:

100-42-3996

F.E.G.S. Case Status:

Active

HRA Case Type:

Emergency Assistance to Adult

HRA Case Status: Applicant

Home Phone:

none

Apartment Number:

PH

Date of Birth:

(MM/DD/YYYY)

04/19/1953

Cellular Phone:

Place of Birth:

State/Country)

646-335-8505

Age:

53

(Town,

Buford, SC

Ethnicity:

Black or African American

Gender:

Male

Marital Status:

Divorced

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Applicant/Participant Language

Speak English?

Bi-Lingual?

Yes

No

Primary Language: --

Other Language:

No

If Yes, Identify

Translator:

Translator Name:

Need Translator:

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Emergency Contact

Contact Last Name: Holmes **Contact First**

Name:

Barbara

Telephone Number:

347-558-8223 not available

Cell Phone

Number:

City, State, Zip:

Street Address: Relationship to

Applicant/Participant:

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Finances of Applicant/Participant

Income Sources:

Other Financial

Issues:

Comments:

(Not Completed as of 01/04/2007)

Health Insurance Information

Is Receiving:

Medicaid - Pending

Medicaid Number:

WD86222A

Medicaid Managed

Medicare Number:

Care Insurance Plan:

Medicaid Plan:

Other Insurance

Plan:

Primary Care Medical Lolita Sayseng, MD

Provider Name:

Provider Phone:

718-920-2273

Provider Street

Address:

111 E. 210th Street

City, State, Zip:

Bronx, NY 10467

(Not Completed as of 01/04/2007)

Residence Information

Top Physician's View

Current Type of

Residence:

Floor Number:

Elevator?

--

Years At Current

Address:

Primary

Tenant/Lease Holder?

If No, Relationship to Primary Tenant:

Housing Stability:

Comments:

(Not Completed as of 01/04/2007)

Household Member Information

Comments:

Name:

Comment:

(Not Completed as of 01/04/2007)

Relatives Outside Household To Whom Applicant/Participant Provides Assistance/Support

Comments:

Name:

Comment:

(Not Completed as of 01/04/2007)

Relatives Outside Household From Whom Applicant/Participant Receives Assistance/Support

Comments:

Name:

Comment:

(Not Completed as of 01/04/2007)

Applicant/Participant's Minor Children Not Living in the Household

Comments:

(Not Completed as of 01/04/2007)

Education of Applicant/Participant

Type of Education: --

Last Grade Completed: --

Read in English: --

If No, In What Language? --

Write in English: --

If No, In What Language? --

Have You Ever Been Told You Have a Learning Disability? --

Received Special Education? --

Licenses/Credentials Received:

Attending School Now? --

Comments: --

(Not Completed as of 01/04/2007)

Employment History of Applicant/Participant

Level of Work History: --

History of HRA Work Activities (including WEP)? --

Vocational Goals: --

Comments: --

(Not Completed as of 01/04/2007)

Family Violence

Top

Physician's View

In the past 3 months:

Have you been slapped, punched, kicked, beaten up or otherwise physically hurt by anyone? No Have you been witnessed anyone in your household slapped, punched, kicked, beaten up or otherwise physically hurt by anyone? No

Were you forced to have sex against your will or otherwise been sexually abused? No

Issues:

If Yes, Please

Explain:

n/a

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Involved with Child Welfare/ACS? No

Top

Physician's View

(Not Completed as of 01/04/2007)

Urgent Child Welfare/ACS? No

Top

Physician's View

If Yes, Please Explain n/a

If Allegation of Neglect/Abuse, Immediately Discuss Case With Supervisor; Involve HRA Staff as Appropriate; Record Follow-Up Above

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Legal Involvement of Applicant/Participant

Top

Physician's View

History of Legal Problems: --

On Parole? --

On Probation? --

Outstanding Warrants? --

Currently Involved in: None

Mandated by Court for:

None

Previous Arrest? --

If Yes, Type? --

Previous Conviction? --

If Yes, Type? --

Prior History of Incarceration? --

Comments: --

(Not Completed as of 01/04/2007)

Alcohol/Drug Abuse History of Applicant/Participant

Top

Physician's View

Alcohol Problem History: No History Drug Problem History: No History

Substance of Choice Name/Type of Substance:

Extent of Use:

Did You Ever Received Treatment? --

Name of Program or Hospital

Type Year

Length of Time in

Treatment

Complying with Treatment? --

Mandated to Treatment? --

If Yes, By: --

If CASAC Referral Required, Date of Referral to CASAC: --

If Current or Recent Alcohol/Drug History and No CASAC Referral, Enter Reason: --

Date of CASAC Response: --

Summary of CASAC Response: --

Comments: no info to indicate CASAC referral

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Mental Health History of Applicant/Participant

Top

Physician's View

Have You Ever Received Treatment for Nerves, Depression, No

Name of Organization

or an Emotional Problem?

Year

For How Long (months)

Reason (According

Applicant/Particpant)

Ever Thought About Hurting Yourself? No

Ever Tried To hurt Yourself? No

Ever Thought About Hurting Others? No

Ever Tried To hurt Others? No

Comments: no s/i or h/i

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Current Mental Health Status of Applicant/Participant

Top

Physician's View

Over The Last Two Weeks, How Often Have You Been Bothered By Any One Of The Following Problems?

Feeling down, depressed, or hopeless?

Not at All

Little interest or pleasure in doing things?

Not at All

Trouble falling or staying asleep, or sleeping too much?

Nearly Everyday

Not at All

Feeling tired or having little energy? Poor appetite or overeating?

Not at All

Feeling bad about yourself or that you are a failure or have let yourself or your family down?

Not at All

Trouble concentrating on things, such as reading the newspaper or watching television?

Not at All

Moving or speaking so slowly that other people could have noticed? Or the

opposite-being so fidget or restless that you have been moving around a lot more than usual?

Not at All

Thought that you would be better off dead or hurting yourself in some way?

Not at All

PHQ-9 Score Total:

Depression Severity: None

Proposed Treatment Actions: None

If you are experiencing any of the problems in this section, how difficult have

these problems made it for you to do your work, take care of things at home, or

Not Difficult At All

get along with other people?

Currently Receiving Mental Health Services? No

Treating Hospital/Clinic/Therapist Name: --

Number: --

Court Mandated Treatment? No

Complying with Treatment? --

Homicidal/Suicidal Behavior? No

If applicant/participant indicates present suicidal ideation or homicidal thoughts, discuss immediately with supervisor.

Treating Hospital/Clinic/Therapist Telephone

Comments: n/a

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Physician's View

View Attachment Index

Top

Applicant/Participant Travel(Page 1)

Travel Independently By Bus/Train? Yes

Did the Applicant/Participant Travel Independently to Appointment? Yes

How did the Applicant/Participant Travel to Appointment? Subway;

Travel Limitations/Special Transportation Needs: None;

If Yes, Provide Additional Information For Travel Limitations And Any Comments: --

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Applicant/Participant Travel (Page 11)

Travel Independently By Bus/Train? Yes

Did the Applicant/Participant Travel Independently to Appointment? Yes

How did the Applicant/Participant Travel to Appointment? Subway;

Travel Limitations/Special Transportation Needs: None;

If Yes, Provide Additional Information For Travel Limitations And Any Comments: --

(Not Completed as of 01/04/2007)

Daily Activities of Applicant/Participant

How do you spend your day? staying in bed, per MD orders

Able to do the following:

Wash Dishes:

Wash Clothes: Yes

Yes **Sw** Yes

Sweep/Mop Floor:

Vacuum: Yes

Watch TV: Yes

Make Beds: Yes

Shop Groceries :

Cook Meals: Yes

m . . .

Yes

Socialize: Yes

Read: Yes

Bath: Yes

Get Dressed: Yes
Use Toilet: Yes

Groom Yourself: Yes

Not able to do the following:

Special Hobbies and Leisure Time Activities: none

Have Contact with?

Friends: No

Social Service Agencies: No Community Organizations: No Religious Organizations: No

Comments: Unable to do ADLs all the time or quickly, due to heart condition and shortness of breath. Clt's

son comes in to help.

(Completed 1/4/2007 By Scott Matthew Wallin, FEGS Social Worker, FEGS)

Social Work Summary

What Strengths Does Applicant/Participant Have(as assessed by interviewer)?

Has Physician;

Has Work Skills/History;

Travels Independently;

Maintains Adequate Grooming/ Hygiene;

Other Skills: auto mechanics

What does Applicant/Participant See as Barriers to Employment?

cardiomyopathy, HTN.

Psychosocial Barriers to Employment:

Date Identified

Psychosocial Barriers or Issues

Recommended Action

01/04/2007

Medical Condition Under Medical

Treatment

M.D. to assess

Additional Case Notes by Social Worker:

53 year old African American male with HTN and heart condition. Lives alone in housing from which he may be forced to move due to increased rent. Clt currently working with housing assistance to obtain new housing.

Important Information for Physician

Special Notes/Comments for Physician:

MD documentation reports cardiomyopathy (EF - 46%), hypertension, and hyperlipidemia. Clt was hospitalized most recently three months ago for condition. Clt reports being under MD orders to not work. Clt c/o shortness of breath, inability to take care of all ADLs without son's assistance.

PHQ-9 Score Total:

Depression Severity: None

Proposed Treatment Actions: None

Medical History of Applicant/Participant

Top

Physician's View

Current Medical Conditions Related to Employment As Described by Applicant/Participant:

HTN HLD SOB HEART CONDITION

Other Current Medical Conditions As Described By Applicant/Participant:

Current Treating Health Care Provider:

GIVEN DR PERRYS CLINIC CARD FOR PCP/EM

Complying With Treatment: --

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 34 of 173 $_{Page\ 8\ of\ 12}$ **BPS Summary Report**

Current Medications:

Medication

Dosage

Frequency

LIPITOR

81MG ASA

HCTZ

METOPROLOLOL

NITRO

Allergic to Medication:

Medication

Reaction

LASIX

EDEMA

Smoker

Other Allergies: --

Family History/Genetic Diseases: Cardiovascular Disease; Hyperlipidemia; Hypertension;

History of Tobacco Use? Years

How Long? 10+

When Last Used? Current

Packs/Day? Under 1

Hospitalizations, Surgeries, Major Illnesses:

Problem:

How Long Ago?

How Long in Hospital?

Hypertension

Last Year

1-7 Days

Angina

Last Year

1-7 Days

Other: --

HIV Status

HIV Consent Form Signed? Yes

HIV Status: Negative

History of Unprotected Sex or IV Drug Use? No

If HIV Positive, Treatment Being Received: --

If HIV Positive, Do You Have AIDS? --

If HIV Status Not Known, Would you like To Be Tested? No

For Female Only

How Many Times Pregnant? --

Are You Pregnant Now? --

If Pregnant, When Are You Due? --

How Many Children You Have Given Birth To? --

How Many Abortions or Miscarriages Have You Had? --

Comments:

(Completed 1/10/2007 By Dennis Deluca, Hospital QHP/OHT, Bronx Lebanon Hospital)

Vital Signs: T 98.1 P 72 R 17 BP 143 / 106 Height 67 Weight 196 BMI 30.69

(Completed 1/11/2007 By Dennis Deluca, Hospital QHP/OHT, Bronx Lebanon Hospital)

Vision Exam

Top

Physician's View

Left

Vision Without Corrective Lenses --

Vision With Corrective Lenses READING

Right

Vision Without Corrective Lenses --

Vision With Corrective Lenses --

Standard Laboratory Tests Ordered

Client Fasted Yes

CBC, Chem-20, Lipid Profile, Urinanalysis Order Date

01/10/2007

EKG Order/Results Date 01/10/2007

Results --

Additional On-Site Diagnostic Tests

PFT Order/Results Date --

Pulse Oximeter Order/Results Date

01/10/2007

Results --

Results 100

Standard Laboratory Test Results

Results Date 01/11/2007

Clinically Normal

Labs:" Yes

Test

Positive Results

Additional Diagnostic Tests Ordered

Test:

Order Date:

Ordered for Specialty:

Additional Laboratory Tests Ordered

Test:

Order Date:

Ordered for Specialty:

Additional Diagnostic Test Results

Test:

Result Date:

Positive Results:

Additional Laboratory Test Results

Test:

Result Date:

Positive Results:

Comments --

(Completed 1/11/2007 By Dennis Deluca, Hospital QHP/OHT, Bronx Lebanon Hospital)

Medical Examination - Review of System

General

Normal

Skin

Normal

Head

Normal

Eyes

Ears

Normal

Normal

Normal

Nose

Mouth/Throat

Normal

Neck

Normal

Breasts

Normal

Respiratory

Normal

Cardiovascular

See Below

Gastrointestinal

Normal

Genitourinary

Normal

Reproductive -Males

Normal

Reproductive -**Females**

N/A

Menstrual History

N/A

Obstetric History

N/A

Endocrine

Normal

Musculoskeletal

Normal

Hematopoietic

Normal

Neurologic

Normal

Emotional/Psychiatric Normal

System		Comment
Cardiovascular	Chest Pain	hypertension/ hyperlipidemia/ cardiomyoapthy with low ef.
	Dyspnea on Exertion	

Comments: --

(Completed 1/10/2007 By M. Shuja, Hospital Physician - Phase I, Bronx Lebanon Hospital)

Medical Examination - Physical Examination

Constitutional

Normal

Head

Normal

Eyes

Normal

Nose

Normal

Mouth

Normal

Throat

Normal

Neck

Normal

Lymph Nodes: Neck

Normal

Chest

Normal

Respiratory	See Below	Cardiovascular	See Below	Pulses	Normal	
Gastrointestinal	Normal	Male Genito-Urinary	N/A	Female Genitourinary Female Pelvic Exam	N/A	
Breast	N/A	Skin	Normal	Extremities - Musculoskeletal	Normal	
Neurological/Psychiatri	c Normal	Neurological/Cranial Nerves Intact	Normal	Motor System	Normal	
Sensation	Normal	Cerebellar	Normal	Reflexes Normal	Normal	
System	Abnormal Findings/Comments					
	bibasilr crackles heard.					
Cardiovascular	no s3 and s4 on exam. no gallop or murmur heard.					

Comments:

(Completed 1/13/2007 By M. Shuja, Hospital Physician - Phase I, Bronx Lebanon Hospital)

Pain Assessment

Any Pain? Not Applicable

Location of Pain: --

The Number That Best Describes The Patient's Level of Pain:

Present Pain: --

Worst Pain Gets: --

Best Pain Gets: --

Acceptable Level of Pain: --

(Completed 1/10/2007 By M. Shuja, Hospital Physician - Phase I, Bronx Lebanon Hospital)

Work Limitations Criteria

Deferred Until BPS Phase II Exam(s) Completed: No

Status: Phase I Preliminary Restrictions

Any Restriction: Yes

Number of Hours Patient Can Consistently Perform Specified Activity in 8 Hour Period

Sitting: --

Standing: --

Walking: --

Pulling: --

Climbing: --

Bending: --

Kneeling: --

Reaching: -- Grasping: --

Weight Handling Frequencies - Lifting, Carrying, Pushing - Times Per Hour

Total Number of Hours Patient Can Perform Weight Handling Frequencies During An 8 Hour Work Period

Lifting

Carrying

Pushing

Less Than 10 Pounds: --

Less Than 10 Pounds: --

Less Than 10 Pounds: --

10-20 Pounds: --

10-20 Pounds: --

10-20 Pounds: --

20-50 Pounds: --

20-50 Pounds: --

20-50 Pounds: --

50+ Pounds: --

50+ Pounds: --

50+ Pounds: --

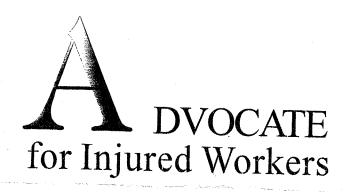
Environmental Restriction: --

Additional Restriction: --

Comments: --

Status: Phase I Final Restrictions

Any Restriction: Yes





New York State Workers' Compensation Board 20 Park St. Albany, NY 12207 www.WCB.State.NY.US 1-800-580-6665



REMEMBER: SAFETY FIRST

THE "BEST" ACCIDENT IS THE ONE THAT NEVER HAPPENS

For information about your workers' compensation claim, contact:

Advocate for Injured Workers

1-800-580-6665

20 Park Street Albany, NY 12207

www.WCB.State.NY.US

Directory of Board Services

Customer Service 1.877.632.4996

Advocate for Business 1.800.580.6665

Health Care Provider 1.800.781.2362

Administrative Review Division 1.877.258.3441

Fraud Referral Hotline 1.888.363.6001

Disability Benefits 1.800.353.3092

Bureau of Compliance 1.866.298.7830

Directory of Board Offices

Albany District Office 100 Broadway - Menands Albany, NY 12241 1.866.750.5157

Binghamton District Office State Office Bldg., 44 Hawley Street Binghamton, NY 13901 1.866.802.3604

Brooklyn District Office 111 Livingston Street Brooklyn, NY 11201 1.800.877.1373

Buffalo District Office Cyclorama Building 369 Franklin Avenue Buffalo, NY 14202 1.866.211.0645 Hauppauge District Office 220 Rabro Drive, Suite 100 Hauppauge, NY 11788-4230 1.866.681.5354

Hempstead District Office 175 Fulton Avenue Hempstead, NY 11550 1.866.805.3630

Manhattan District Office 215 W. 125th Street New York, NY 10027 1.800.877.1373

Peekskill District Office 41 North Division Street Peekskill, NY 10566 1.866.746.0552 Queens District Office 168-46 91st Avenue Jamaica, NY 11432 1.800.877.1373

Rochester District Office 130 Main Street West Rochester, NY 14614 1.866.211.0644

Syracuse District Office 935 James Street Syracuse, NY 13203 1.866.802.3730 he Office of the Advocate for Injured Workers was created as a unique service to assist workers who were injured or made ill on their job in New York State. The Advocate staff provides guidance and information to injured workers to enable them to protect their rights in the workers' compensation system.

If you're unsure of your rights as an injured worker, help is just a phone call away, and it is free. Just call 1-800-580-6665. The staff in the Advocate's office can give you straight answers about:

- How to File a Claim
- What Forms are Used
- Who is Covered
- Controverted Claims
- Hearing and Appeal Rights
- Timely Filing
- Record Keeping
- Your Role in Your Medical Treatment
- What Medical Benefits are Available
- Rehabilitation and Social Work

Workers' compensation fraud is a
Class E felony, punishable with up to
four years imprisonment,
\$5000 individual/\$10,000 corporate fine,
and five years probation.
Subsequent violations are a Class D felony.



hen calling the Advocate for Injured Workers, please have this information available:

- Claimant's Name
- **■** Claimant's Case Number
- Area Code & Telephone Number
- Brief Description of the Problem and Any Correspondence Received

The Advocate for Injured Workers travels throughout the state meeting with labor unions, employers, Committee for Occupational Safety and Health groups, and injured workers support groups to update them on changes within the workers' compensation system.

IF YOU ARE INJURED ON THE JOB

- Seek first-aid or other necessary medical treatment as soon as possible.
- Report the injury to your employer in writing within 30 days after the date of the accident.

(In the case of an occupational disease, notification should be given within two years after disablement, or within two years after the claimant knew or should have known that the disease was work-related, whichever is later.)

Complete a claim for workers' compensation on Form C-3 and mail it to the nearest office of the Workers' Compensation Board.

(If a claim is not filed within two years from the date of the injury or disablement from occupational disease, an injured or disabled worker may lose his or her right to benefits.)

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 40 of 173

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamton, NY 13902-5205

NYC (800)877-1373 / Hemp. (866)805-3630 / Haup. (866)681-5354 / Peek. (866)746-0552 (866) 750-5157

100 Broadway State Office Building
Menands 44 Hawley Street 369 Franklin Street 130 Main Street W.

(866) 802-3604

ALBANY12241 BINGHAMTON13901 BUFFALO14202 ROCHESTER 14614 SYRACUSE 13203 (866) 211-0645

(866) 211-0644

935 James St. (866) 802-3730

State of New York WORKERS' COMPENSATION BOARD

CLAIMANT'S AUTHORIZATION TO DISCLOSE WORKERS' COMPENSATION RECORDS

(Pursuant to Workers' Compensation Law Section 110-a)

PLEASE COMPLETE ALL ITEMS. AN INCOMPLETE FORM WILL DELAY THE PROCESSING OF YOUR REQUEST.

mant's Name	Claimant's Social Secur	rity No. Case Num and/or Dat	ber DWCB e of Accident		
ELEASE IS AUTHORIZED FOR ADDITIONAL CASE FILE(S), IDE	NTIFY BELOW BY WCB/D	B/DC CASE NUMB	R AND/OR DAT	E OF ACCIDEN	T(S).
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Prescribed by the Chair, Workers' Compensation Board

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 41 of 173

STATE OF NEW YORK WORKERS' COMPENSATION BOARD

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamton, NY 13802-5205 100 Broadway Menands ALBANY 12241 State Office Building 44 Hawley Street BINGHAMTON 13901

369 Franklin Street BUFFALO 14202 130 Main Street W. ROCHESTER 14614 935 James Street SYRACUSE 13203

COVER SHEET - REBUTTAL OF APPLICATION FOR BOARD REVIEW

WCB Case Number(s)	I was faxed to the Board's centraliz Carrier Case Number(s)	Carrier Code	Carrier's Name	Date of Injury
CI	aimant's Name		Address	
•				•
	W. L. CANDO L. A. D	and the line of the line of indicate	rated above then the original of th	is form and any attachments
MUST BE MAILED to the ad-	uttal was not FAXED to the Board's dress listed above. Supply all infor	mation requested. Failure to	o do so may cause this rebuttal to l	be deemed defective. Attach
additional sheets only when t	here is not enough room to supply to Complete the Affidavit or Affirmation	the information on this form	. A copy of this Rebuttal and any a	ttachments MUST be served
upon ALL parties in interest. (Complete the Allidavit of Alliffiation	TOT Service on the reverse s	ide of this form.	
This rebuttal is made on be	ehalf of			
	er/Carrier		Special Funds	Uninsured Employers' Fund
		(name)		
2. This rebuttal is in response	e to an application for: Review o	of WCLJ Decision (WCL § 23	3 and 12 NYCRR 300.13)	
(cnoose only	Rehearin	g or Reopening (12 NYCRR	R 300.14)	
3. The application was served	d upon the above cited party on:			
. The Charles described and a state of the control	in which is the subject of the applic	notion is:		
i. The filing date of the decision	ion which is the subject of the applic	cation is.		<u> </u>
5. This rebuttal contends that	the:			
Application should be d	lenied under 12 NYCRR 300.13(e).			
Decision should be adn	ninistratively corrected to read:			
Decision should be affi	•			
Decision should be mo	dified as to:			
3 As to the finding(s) of fact:	and/or conclusion(s) of law made in	the decision, this rebuttal c	ontends:	
s. As to the intering(b) or lead.				
7 Does the record cited in th	e application constitute the full reco	ord for review?: TYes	No	
If Yes, do you rest on that		,	·	
	hat the record cited in the applica	ation does not constitute th	ne full record for review, provide	below the additional hearings
documents, and transcript application, and complete	ts in the WCB's electronic file that	are relevant to the issue(s	s) and ground(s) raised in the app	lication, were not cited on the
Hearings: provide pertaining to the is so indicate:	e date(s) where issue(s) was raised ssue(s) and ground(s) raised and do	before the Workers' Compe ocument ID number if applica	ensation Law Judge and evidence pable. If hearing minutes have not be	oresented een transcribed,
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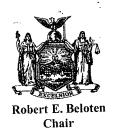
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WORKERS' COMPENSATION BÖARD Bureau of Compliance

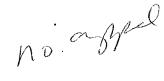
ENFORCEMENT UNIT. INVESTIGATOR'S REPORT

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Claimant: Holmes Benjamin



ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 328 STATE STREET SCHENECTADY, NY www.wcb.nv.gov



State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

DECISION PANEL BOARD MEMORANDUM

keep for your records

Opinion By: David R. Dudley

Richard A. Bell Linda Hull

The claimant's attorney requests review of the Workers' Compensation Law Judge (WCLJ) decision filed on December 3, 2012. The claimant has filed a pro se application for review. The self-insured employer, the City of New York (City), has filed a rebuttal.

ISSUES

The issues presented for administrative review are:

- whether the claim is barred by Workers' Compensation Law (WCL) §18. 1.
- whether the claim is barred by WCL § 28.

FACTS

This is a controverted claim for chest pain. The claimant was employed by the City as a job training participant. The initially alleged date of injury was November 1, 2006.

In a C-3 form (Employee Claim for Compensation) filed on June 3, 2010, the claimant asserted that he had pain in his chest while working for New York City Parks and Recreation on

*** Continued on next page **

Claimant -	Benjamin Holmes	Employer - Carrier -	NYC Parks & Recreation City of NY Other Than Ed, High
Social Security No WCB Case No Date of Accident - District Office -	G047 7983	Carrier ID No Carrier Case No Date of Filing of the	W847008 0846-12-02699 nis Decision - 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

November 1, 2006. On the form, the claimant indicated that his first treatment was on December 29, 2004.

The City filed a C-7 form (Notice that Right to Compensation is Controverted) contending that there was no accident and no medical evidence supporting a causal relationship. Further, the City raised the following issues: accident/occupational disease within the meaning of the WCL; accident/occupational disease arising out of and in the course of employment; notice (WCL § 18); and timely filing (WCL § 28).

The claimant filed a C-3 form on July 25, 2012, asserting that there was an injury on May 27, 2006 and that the claimant experienced chest pain.

At a hearing held on November 28, 2012, the claimant's attorney noted that, while the medical records in the file do not specifically reference an injury that occurred at work, the entire medical file should be accepted as prima facie medical evidence (FFME). The WCLJ found no PFME.

In a decision filed on December 3, 2012, the WCLJ disallowed the claim, finding that the claim is barred by WCL \S 18 and WCL \S 28.

LEGAL ANALYSIS

In the application for review, the claimant's attorney asserts that WCL § 28 was waived because an "advance compensation" was made by the claimant's employer prior to the expiration of the two year statute of limitations. The City paid wages in recognition of the claimant's injuries. The claimant's attorney contends that the claimant went home during the workday and notified the employer, and that the claimant was paid for the entire day. The claimant notes that he did not have an opportunity to testify on the issue of WCL § 28, and therefore the WCLJ's decision should be rescinded and the matter returned for further development of the record on the issue of WCL § 28.

The claimant filed a pro se application for review, dated December 26, 2012, on December 31, 2012. The claimant requests a hearing because his lawyer "did not know how to read the Doctor notation." The claimant indicates that he has information that he got sick on the job and is willing to provide the information to the WCLJ.

In rebuttal, the City contends that the application for review should be denied because it was not properly served on all parties on the same date. Further, the claimant has not appealed the *** Continued on next page ***

Social Security No WCB Case No Date of Accident -	Benjamin Holmes G047 7983 11/01/2006 NYC		Employer - Carrier - Carrier ID No Carrier Case No Date of Filing of th	W847008 0846-12-026	Other Than Ed, Hi 199	gh
District Office -	NYC	•				•

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

disallowance of the claim under WCL § 18, and the City asserts that, since the claimant has not taken issue with the finding of WCL § 18, the appeal regarding WCI § 28 is most. The City notes that the initial C-3 form alleged that the accident occurred on November 1, 2006, the revised C-3 form alleged that an accident occurred on May 27, 2006, and the claimant's medical indicates that the assistant occurred in December 2006. The City asserts that, even using the that must be made in the chamma, the C-3 form dated June 3, 2010 was filed nearly four years late. The claimant alleges an advanced payment of compensation as a defense for WCL § 28 for the first time on appeal, and it was never raised at any of the prior hearings. The City contends that the claimant waived the right to raise such a defense. The City has submitted timesheet records that show that the claimant did not miss any work on the alleged date of accident.

WCL § 18

henefits to provide their employers with visition notice of a compensable injury within thirty days after the accidentcausing such injury' (see Matter of Miner v Cayuga Correctional Facility, 14 AD3d 784 [2005]) ... Failure to provide such notice here any claim, unless the Board excuses that failure on the ground that notice could not be given, the employer or its agent had knowledge of the accident, or the employer was not prejudiced (see Workers' Compensation Law § 18). The Board is not required to excuse a claimant's failure to give timely written notice even if one of these grounds is proven; the matter texts within the Property discretion" (Matter of Dusharm v Green Is. Contr., " - provided to the LLC, 68 AD3d 1402 (2009)) Who We employer or to the employer's agent, "tresolution of the sufficiency of a claimant's oral notice is a matter within the exclusive province of the Board" (id. quoting Matter of Pisarek v Utica was to the majdager to account to alchoung Cutlery, 26 AD3d 619 [2006]). I was not prejudical by any delay (Matter of bears the burden of demonstrating this design Flynn v Ace Hardware Corp., 38 AD3d at 1144; see Matter of Miner v Cayuga Correctional Facility, 14 AD3d at 785; Matter of Dempster v United Parcel Serv., 280 AD2d at 723)" (Matter of Ewool v Franklin Hosp. Med. Ctr., 43 AU3d 1019 [2008], lv denied 10 NY3d 711 [2008]).

. In this case, the Board Panel notes that the claimant did not provide written notice within 30 days of the alleged accident. The Board Panel further finds that the claimant failed to demonstrate the applicability of any of the three grounds to excuse late notice under WCL § 18.

Therefore, the Board Panel finds, upon review of the record and based on a preponderance of the evidence, that the WCLJ appropriately found that the claim is barred by WCL § 18.

WCL § 28

*** Continued on next page ***

Social Security No WCB Case No	G047 7983	Carrier ID No	NYC Parks & Recreation City of NY Other Than Ed. High W847008 0846-12-02699
Date of Accident - District Office -	11/01/2006 NYC	Date of Filing of th	nis Decision - 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuvo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Pursuant to WCL § 28, a claim for compensation will be barred unless the claim is filed with the Board within two years of the accident date.

Under WCL § 28, remuneration of payments by medical treatment, or other compensable expenses exception to the two-year claim-filing requirement, provided that the payments recognition or acknowledgment of liability under the Workers' Compensation Law (see Matter of Schneider v Dunkirk Ice Creum, 301 AD2d 906 [2003]). When payments are made without regard to the cause of injury, there can be no finding of advance payment (see Matter of Kaschak v IBM Corp., 256 AD2d 830 [1998]).

In this case, the claim was filed more than four years late. The chairmant raises an anismunal payment of compensation as a defense to WOL § 28. The City summing in the control of that show that the claimant did not miss any work on the alleged date of accident.

1 promont of compensation to be ' ' 'porden of showing that the employer made an advance payment of compensation in recognition of its liability for his injury at work. The claimant does not have sufficient evidence of the employer's advanced payment of compensation

Therefore, the Board Panel finds, upon review of the record and based on a preponderance of the evidence, that the claimant has not met his burden of showing that the employer made an advanced payment of compensation; that the claim is barred by WCL § 28; and that the claim was properly disallowed.

CONCLUSION

*** Continued on next page *

Claimant -Social Security No. - Benjamin Holmes

Employer -Carrier -

NYC Parks & Recreation City of NY Other Than Ed, High

WCB Case No. -

G047 7983 11/01/2006 Carrier ID No. -Carrier Case No. -

W847008 0846-12-02699

Date of Accident -District Office -

NYC

Date of Filing of this Decision - 12/16/2013

ATENCION:

Puede <u>llamar</u> a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

ACCORDINGLY, the WCLJ decision filed on December 3, 2012 is AFFIRMED. No further action is planned at this time.

All concur.

David R. Dudley

Richard A. Bell

Linda Hull

Claimant -

Benjamin Holmes

Employer -Carrier - NYC Parks & Recreation City of NY Other Than Ed, High

Social Security No. - WCB Case No. -

G047 7983

Carrier ID No. - W847008

Date of Accident -

11/01/2006

Carrier Case No. -

0846-12-02699

District Office -

NYC

Date of Filing of this Decision - 12/16/2013

. ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, en su presidente de la pagina y pida informacion acerca de su reclamacion(caso).

NOTICE OF PRE-HEARING CONFERENCE / HEARING Page 48 of 173 State of New York WORKERS! COMPENSATION BOARD

PLACE OF CONFERENCE	Part	Date of Conference	Time	District Office	
Workers Compensation Board	21	09/19/2012	9:00 AM	NYC	
215 W. 125th Street, 4th Floor New York, NY 10027	WCB Case No.		15 Min	(800) 877-1373	
		G0477983	Date of Accident	WCB Home Page	
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Benjamin Holmes			W847008	and the simple control of the state of the s	
PO Box 764				CLAIMANT	
Bronx, NY 10469-0702			Benjamin Holmes		

CLAIMANT: Bring this notice with you.

CLAIMANT, CLAIMANT'S REPRESENTATIVE (if applicable), INSURANCE CARRIER/ **SELF-INSURED EMPLOYER:**

> Please read important information on the reverse side in addition to the information

NYC Parks & Recreation below.

c/o NYC Law Dept

City of New York

Benjamin Holmes

EMPLOYER

CARRIER

COPIES TO

Joseph A. Romano Law Offices

The employer/carrier has objected to the claim for workers' compensation benefits by filing a Notice of Controversy (Form C-7). Because the employer/carrier objected to the claim, the claimant is not receiving any benefits. As compensation benefits are not being paid, the Board has scheduled a Pre-Hearing Conference with the parties.

The purpose of the Pre-Hearing Conference is to provide a mechanism for the identification of issues and relevant evidence and to permit the parties an opportunity to assess their case and to resolve outstanding issues prior to trial.

Ten days prior to the Pre-Hearing Conference, each party shall file with the Board a Pre-Hearing Conference Statement (Form PH-16.2). The parties should also bring two additional copies to the Pre-Hearing Conference. In cases where the claimant is not represented by counsel at the Pre-Hearing Conference, the claimant is not required to file the Pre-Hearing Conference Statement. If the claimant retains a legal representative within 10 days of the Pre-Hearing Conference, a Pre-Hearing Conference Statement must still be filed.

The claimant's and employer/carrier's statement shall be accompanied by any and all reports, forms and documents that the claimant or employer/carrier intends to use at the hearing(s), including hospital records and forms detailing the employer's statement of wages and the claimant's work status, except if the reports, forms or documents are already part of the Board's electronic case folder.

For claimants represented by counsel, an employee claim form (Form C-3) shall be accompanied by an attorney certification. Employers/carriers, or their legal representative, must file a written certification when the notice of controversy (C-7) is filed.

If as a result of the Pre-Hearing Conference an Initial Expedited Hearing is scheduled, any Independent Medical Examination (IME) Report shall be filed with the Board at least three days before the date set for the Initial Expedited Hearing. Failure to file and serve an IME Report shall be a waiver of the insurance carrier's right to examine the claimant and to have the IME Report considered on the threshold issue of causal relationship, unless the employer/carrier makes a showing of good cause for such failure, and that it acted in good faith and with due diligence.

Forms may be located at the Board's web site or by calling the nearest District office. Claimants who represent themselves may call the Advocate for Injured Workers at 1-800-580-6665 if they have questions about completing the forms.

THE NEW YORK STATE WORKERS' COMPENSATION BOARD PROHIBITS VISITORS, EMPLOYEES, CLIENTS OR WITNESSES FROM CARRYING OR BEARING FIREARMS OR ANY OTHER WEAPON ON BOARD PREMISES.

Dated: 08/30/2012



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 09/19/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Issues in controversy (C-7 issues) have been raised by the carrier/employer. 36 Minent late

Claimant did not appear at the hearing, or was otherwise not prepared to proceed - there is no medical in the file.

. The case is continued to address the following issue(s): Accident Within Meaning Of Workers' Compensation Law, Accident Arising Out Of And In The Course Of Employment, Occupational Disease Within Meaning Of Workers' Compensation Law, Occupational Disease Arising Out Of And In The Course Of Employment, Notice (Section 18), Timely Filing (Section 28). This case is not subject to the expedited hearing process and penalties.

Claimant -

Benjamin Holmes

Employer -Carrier -

NYC Parks & Recreation City of New York

Social Security No. -WCB Case No. -

G047 7983

Carrier ID No. -

Date of Accident -District Office -

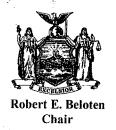
11/01/2006 NYC

Carrier Case No. - 0846-12-02699 Date of Filing of this Decision - 09/24/2012

W847008

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 10/10/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Issues in controversy (C-7 issues) have been raised by the carrier/employer. Claimant asks for the opportunity to review the medical reports in physical evidence for prima facie medical evidence.

City raises C-7 including Sections 18 and 28.

C-3s filed by claimant differ on many points.

. The case is continued to address the following issue(s): Accident Within Meaning Of Workers' Compensation Law, Accident Arising Out Of And In The Course Of Employment, Occupational Disease Within Meaning Of Workers' Compensation Law, Occupational Disease Arising Out Of And In The Course Of Employment, Notice (Section 18), Timely Filing (Section 28). This case is not subject to the expedited hearing process and penalties.

Claimant -

Benjamin Holmes

Employer - Carrier -

NYC Parks & Recreation

Social Security No. -

G047 7983

Carrier ID No. -

City of New York W847008

WCB Case No. -Date of Accident -

11/01/2006

Carrier Case No. - 0846-12-02699

District Office -

NYC

Date of Filing of this Decision - 10/15/2012

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 51 of 173

STATE OF NEW YORK WORKERS' COMPENSATION BOARD

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamton, NY 13902-5205 100 Broadway Menands ALBANY 12241 State Office Building 44 Hawley Street BINGHAMTON 13901 295 Main Street Suite 400 BUFFALO 14203

130 Main Street W. ROCHESTER 14814 935 James Street SYRACUSE 13203

COVER SHEET - APPLICATION FOR BOARD REVIEW

		Carrier Code	Carrier's Name	Date of Injury	
WCB Case Number(s)	Carrier Case Number(s)	Carrier Code	City of Newyord		
			city of tocal food	11/01/2006	
0477983	W847008	0846-12-02629	N.y.c.	111-1/2000	
	laimant's Name		/ Address		
		PAR	x 764 Brond	N.Y 10469	
2	Holmes	1.		il (u Abelaimefiling@wch.nv.	İ
); see Subject Nos. 040-144 his Application must be sen rested by this form may rest	ved on all parties in interest. Secti ult in dismissal of the Application. If	ons 1 and 2 on the reverse side of an additional attorney fee is being r	3-0337; see Subject No. 046-144), e-may go to one of the Board addresses listed of this form must be completed. The frequested, Form OC-400.1 must be attached and served on all parties.	ched and served on all parties.	
A THE PARTIES A	ny Rebuttal to this Application must 2 on the reverse side of this form.	be served on the Board within 30	days following the date on which the		j
	to the life of		,	Multi-aura d Employers' Fund	i
This application is made	yer/Carrier Be n a not	n Holmes	Special Funds	Uninsured Employers' Fund	•
Claimant LEmploy Attorney/Licensed Re	presentative	/ (name)	٠, , , , , ,		
L_JAttomey/Licensed No.	for: Review of WCLJ Decision	on (WCL § 23 and 12 NYCRR 3	00.13)		• .
This application is made (choose only one)	for: Review of WCLa besides	(12 NYCRR 300.14)		~	
(Contract of the Contract of t	Thereamy or respense	application is: 11/28/20	12 Juge William	Dugun	-
The filing date of the dec	ision which is the subject of this	application is.	<i>J</i> .		
The remedy sought is:	★ Administrative Correction of D Reversal of the Decision	Decision Modification of Rescission of t	(IC DOGGIO)		
.This application arises fr	om an expedited hearing: ☑Y	es No			
Specify the issue(s) for	raview.		Special Funds Liability		
Employer/employee	letionchin IAV	erage Weekly Wage	Attorney/Licensed Repr	esentative Fee	*
Accident	Mail Au	thorization of Treatment	Facial Award		
Occupational Disease	se 🔀 Pe	riod of Disability gree of Disability	Section 32 Denial		•
Notice	De	igree of Disability	Disability Benefits	•	
Causal Relationship		the state of the s	Discrimination	•	
Death Benefits	· MF11P6	CL § 114-a Disqualification	Policy Coverage		•
Timely Claim Filing	₩ Ar	portionment	ATF Deposit		
Jurisdiction			sues identified above.	S. 1	
Specify the grounds for	r review (foundation, basis, or po	ints) relied upon in raising the	mes asking For a l	rearing becouse	
To Who	1 - MY CONTERED		He motor notation	p Tquest + OF	
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this informa	record helow, or such part there	of, as is relevant to the issue(s)	and ground(s) taised in this approach		
where such issue(s) at	record below, or such part thereind ground(s) were raised before	the Workers' Compensation La			
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Hearings (if r	ninutes are not transcribed, so	, maiouse y.			•
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Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 52 of 173 State of New York

PLACE OF CONFERENCE Workers Compensation Board 215 W. 125th Street, 4th Floor New York, NY 10027	Part 21	Date of Conference 07/09/2012	9:30 AM 15 Min	District Office NYC (800) 877-1373
		WCB Case No. G0477983	Date of Accident	WCB Home Page
		G0477903	11/01/2006	www.wcb.ny.gov
			Carrier ID No.	Carrier Case No.
			W847008	
Benjamin Holmes PO Box 764	÷		Benj	claimant amin Holmes

laalillaaaladdaddaddaalaaddhaadadddaalaadd

CLAIMANT: Bring this notice with you.

CLAIMANT, CLAIMANT'S REPRESENTATIVE (if applicable), INSURANCE CARRIER/ SELF-INSURED EMPLOYER:

Please read important information on the reverse side in addition to the information below.

EMPLOYER

NYC Parks & Recreation

Bronx, NY 10469-0702

CARRIER

City of New York c/o NYC Law Dept

Benjamin Holmes

The employer/carrier has objected to the claim for workers' compensation benefits by filing a Notice of Controversy (Form C-7). Because the employer/carrier objected to the claim, the claimant is not receiving any benefits. As compensation benefits are not being paid, the Board has scheduled a Pre-Hearing Conference with the parties.

The purpose of the Pre-Hearing Conference is to provide a mechanism for the identification of issues and relevant evidence and to permit the parties an opportunity to assess their case and to resolve outstanding issues prior to trial.

Ten days prior to the Pre-Hearing Conference, each party shall file with the Board a Pre-Hearing Conference Statement (Form PH-16.2). The parties should also bring two additional copies to the Pre-Hearing Conference. In cases where the claimant is not represented by counsel at the Pre-Hearing Conference, the claimant is not required to file the Pre-Hearing Conference Statement. If the claimant retains a legal representative within 10 days of the Pre-Hearing Conference, a Pre-Hearing Conference Statement must still be filed.

The claimant's and employer/carrier's statement shall be accompanied by any and all reports, forms and documents that the claimant or employer/carrier intends to use at the hearing(s), including hospital records and forms detailing the employer's statement of wages and the claimant's work status, except if the reports, forms or documents are already part of the Board's electronic case folder.

For claimants represented by counsel, an employee claim form (Form C-3) shall be accompanied by an attorney certification. Employers/carriers, or their legal representative, must file a written certification when the notice of controversy (C-7) is filed.

If as a result of the Pre-Hearing Conference an initial Expedited Hearing is scheduled, any independent Medical Examination (IIME) Report shall be filed with the Board at least three days before the date set for the Initial Expedited Hearing. Failure to file and serve an IME Report shall be a waiver of the insurance carrier's right to examine the claimant and to have the IME Report considered on the threshold issue of causal relationship, unless the employer/carrier makes a showing of good cause for such failure, and that it acted in good faith and with due diligence.

Forms may be located at the Board's web site or by calling the nearest District office. Claimants who represent themselves may call the Advocate for Injured Workers at 1-800-580-6665 if they have questions about completing the forms.

THE NEW YORK STATE WORKERS' COMPENSATION BOARD PROHIBITS VISITORS, EMPLOYEES, CLIENTS OR WITNESSES FROM CARRYING OR BEARING FIREARMS OR ANY OTHER WEAPON ON BOARD PREMISES.

Dated: 06/21/2012



ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 328 STATE STREET SCHENECTADY, NY 12305 www.wcb.ny.gov

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

MEMORANDUM OF BOARD PANEL DECISION

keep for your records

Opinion By: David R. Dudley

Richard A. Bell Linda Hull

The claimant's attorney requests review of the Workers' Compensation Law Judge (WCLJ) decision filed on December 3, 2012. The claimant has filed a pro se application for review. The self-insured employer, the City of New York (City), has filed a rebuttal.

ISSUES

The issues presented for administrative review are:

- 1. whether the claim is barred by Workers' Compensation Law (WCL) §18.
- whether the claim is barred by WCL § 28.

FACTS

This is a controverted claim for chest pain. The claimant was employed by the City as a job training participant. The initially alleged date of injury was November 1, 2006.

In a C-3 form (Employee Claim for Compensation) filed on June 3, 2010, the claimant asserted that he had pain in his chest while working for New York City Parks and Recreation on

*** Continued on next page ***

Claimant -Benjamin Holmes Employer -NYC Parks & Recreation Social Security No. -Carrier -City of NY Other Than Ed, High WCB Case No. -G047 7983 Carrier ID No. -W847008 Date of Accident -11/01/2006 Carrier Case No. - 0846-12-02699 District Office -NYC Date of Filing of this Decision- 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1 (4/99) FILE COPY

NYS Workers' Compensation Board Licensed Claimants' Representatives Section 24-a of the Workers' Compensation Law

Name/Address	718-852-6238
Baerga, Teresa	
/o Feldstein & Baerga	
26 Court Street - Suite 1213	
Brooklyn, NY 11242	212-505-7687
Ben-Yosef, Eitan	
383 Grand Street	
New York, NY 10002	845-220-2667
Blasko, Stacie L.	
c/o McGillicuddy & Siegel, P.C.	
233 Broadway	
Newburgh, NY 12550	716-854-1446
Boardway, Jay P.	
147 Linwood Avenue	
Buffalo, NY 14209	716-648-1300
Bottoni, Scott	
.c/o Saurers & Sackel, LLP	
81 Buffalo Street	
Hamburg, NY 14075	212-233-0710
Brook, Marilyn Servetah	
c/o Brook & Franz	
20 Vesey Street - Suite 401	
New York, NY 10007	718-464-9490
Burman, Mark R.	, = -
214-11 Northern Boulevard, Suite 201	
Bayside, NY 11361	914-567-0100
Cerle, William R.	71100.
c/o Quimette, Goldstein & Andrews, P.C.	
88 Market Street - P. O. Box 192	
Poughkeepsie, NY 12602	845-338-4477
Chisholm, James A.	
c/o Law Office of Ralph Kirk	
Nine Main Street	
P. O. Box 4466	
Kingston, NY 12402	732-492-8619
Cohen, Steve	
225 Broadway	
Suite 1505	
New York City, NY 10007	



MONTEFIORE Moses Emergency Department Patient: HOLMES, Benjamin 111 East 210th Street **Bronx, NY 10467** 718.920.5731

DOB: 04/19/1953 Age: 55 - 75 yr Med Rec# 01287053 Account# 179688759

PATIENT DISCHARGE INSTRUCTIONS

Our doctors and staff appreciate your choosing us for your emergency medical care needs. Read these aftercare instructions carefully. Please call us if you have any questions about your medical problem. We are here to serve you.

CHEST PAIN - NONSPECIFIC

Your exam has not identified a specific cause for your chest pain. of pain, however, is not usually due to serious heart or lung problems. Most often chest pain of this nature is caused by minor injuries, muscle strains, inflammation of the chest wall tissues, or indigestion. Drugs, alcohol, hyperventilation, and emotional upsets can make this kind of pain worse. Most of the time this type of chest pain will be much improved within 2-3 days.

Get plenty of rest for the next few days and avoid any activity that brings on the pain. Please do not smoke or drink alcohol until all your symptoms are completely better. Please call your doctor for routine follow-up as advised. You must see your doctor or go to the emergency room right away, however, if you have:

- * Increased pain, or pain that radiates to the arm, neck, or abdomen.
- * Shortness of breath, increasing cough, or coughing up blood.
- * Severe weakness, fainting, fever, or chills.
- * Severe back or abdominal pain, nausea, or vomiting.

PRESCRIPTIONS

Fill all the prescriptions ordered by your doctor and take them as directed. Generic medicines are as good as brand names, and often less expensive.

- * If you have been given an antibiotic, be sure to take all of it.
- * Keep your drugs out of the reach of children, in a cool, dry, dark place.
- * Don't give your medicine to other people or use it for other illnesses.
- * Stop your medicine and call us right away if you have drug allergy symptoms or bad side-effects. Call also if you vomit or cannot swallow the medicine.
- * Bring your medicines with you any time you go to emergency for treatment. Ask your doctor or pharmacist about drug or food interactions that may be important to know about when taking your prescription or herbal medicines.

FOLLOW-UP CARE

Your physician today has been DR. Iwona REISS, MD For follow-up care you have been referred to the following doctor or clinic:

Please make an appointment for further treatment as needed or in days. Tell your referral doctor or clinic that we have sent you, and bring your medicines and instructions to the office. If you had x-rays, an EKG, or lab tests today, they have been reviewed by your doctor. We will contact you at once if other important findings are noted after further review by our staff. If you do not continue to improve or if your condition worsens, please call your doctor or the emergency department right away so you can be examined.

I acknowledge receipt of these instructions. I understand that my condition may require more care and will arrange for further treatment as recommended.



Benjamin Holmes

762 East 211 Street Bronx, NY 10467 Mobile: (646) 335-8505

Objective:

To obtain a challenging position with a company that will allow me to

develop my skills and experience.

Experience:

City of New York Parks & Recreation

Bronx, NY

06/06 - Present

Maintenance

Clean parks, playgrounds, and surrounding areas

Sanitize comfort stations and replenish supplies

Paint benches remove graffiti from walls, playground equipment, and comfort stations; prepare debris for removal; flag hazardous material for pick up

Sweep, rake, and collect leaves and debris

- Operate leaf blower, lawn mower, and weed whacker to beautify parks
- Provide park patrons with directions and answer questions about parks activities

Report all safety hazards directly to supervisor

Self-Employed

Bronx, NY

09/90 - 05/06

Auto Mechanic Repaired and overhauled automobiles, buses, trucks, and other automotive vehicles

- Examined vehicles and discussed with customers the nature and extent of damage or malfunction
- Removed unit, such as engine, transmission, and differential, using wrenches and hoist
- Disassembled unit and inspected parts for wear, using micrometer, calipers and thickness gauges
- Repaired or replaced carburetors, blowers, generators, distributors, starters, and pumps

Re-lined and adjusted brakes and aligned front end

Repaired or replaced shock absorbers and soldered leaks in radiators

Replaced and adjusted headlights and installed and repaired accessories, such as radios, heaters, mirrors, and windshield wipers

NYC Parks Department

Bronx, NY

06/93 - 09/02

Pool Attendant

Monitored pools to prevent illegal entrance to facility and safeguarded trespasser against an accident

Removed leaves and other debris from surface of water with net

Education:

George Washington High School General Academic Studies Auto Repair Workshop

New York, NY



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 WWW.Wcb.ny.gov

(877) 632-4996

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF PROPOSED DECISION

keep for your records

This decision makes legal findings about your on-the-job injury. It was made based on information in the Board's file as of this date.

The Findings section of this decision may state information such as what part of your body was injured; how much you were earning before you got hurt; how long you were out of work; whether you were entitled to be paid compensation benefits while you were out of work; the amount of weekly workers' compensation benefits; and if you have approval for medical treatment.

These legal findings are important and may limit your claim for workers' compensation benefits. If you DISAGREE with any part of this decision you must OBJECT. Write your objection on the back of this form and return it to the address listed above. The proposed decision will become FINAL on 8th day of July, 2014 so ANY OBJECTION to it must be RECEIVED by the Board BEFORE that date to be considered timely. Objections received on or after that date, will not be considered.

If you DO NOT UNDERSTAND this decision, you may contact the Board at 1-877-632-4996 for further information.

If you are not represented by legal counsel, you may want to consult an attorney or a licensed representative to assist you with your claim. An attorney or a licensed representative cannot charge you directly for representation in a workers' compensation case. If there is an award in your case, any legal fee request must be approved by the Board and will be deducted from the award to you by the insurance carrier and paid directly to the attorney or the licensed representative.

PROPOSED DECISION

FINDINGS: Form(s) C-8.1 which raised issues relating to treatment and/or disputed medical bills are resolved in favor of the carrier C-8.1B dated 3/21/14. Claim disallowed.

No further action is planned by the Board at this time.

*** Continued on next page ***

Claimant - Benjamin Holmes Employer - Social Security No. - Carrier - WCB Case No. - G047 7983 Carrier ID N

Employer - NYC Parks & Recreation
Carrier - City of NY Other Than Ed, High

WCB Case No. - G047 7983

Date of Accident - 11/01/2006

District Office - NYC

Carrier ID No. - W847008 Carrier Case No. - 0846-12-02699

Date of Filing of this Decision - 06/03/2014

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 58 of 173

Transcripts: provide date and document ID number:	***************************************		enterina y stay year patroprimone
Non-Scanable Evidence or Videotape (WMV or AVI format only): provide description:	, <u>, , , , , , , , , , , , , , , , , , </u>		
Non-Scanable Evidence of Videotape (viviv of Avi tornat only). Provide description.			-
List the following period(s) and/or medical benefits awarded which will be withheld pending this applica	ation:		
). A Form OC-400.1 for an increased attorney's fee that has been properly served has been included wi	ith this application	on for considera	ition by the Board.
ertification: By signing this document in the space provided below, I certify that this application has a ith reasonable grounds, and has been served upon all parties at the addresses listed in the affirmation forkers' Compensation Law provides for substantial penalties for instituting or continuing proceedings we lay. I understand that if this application is withdrawn for any reason or if any of the issues raised are not and the parties served in writing.	vithout reasonables of the property of the pro	parties, I must i	d/or for the purpose of immediately notify the
Signature of Person Preparing Form Rentamin Holmes	again an an an an an	Date 12	12412012
Signature of Person Preparing Form Benjamin Holmes Print Name Benjamin Holmes Title	Phone N	umber (<u>347</u>)	313-6258
Address 70- Box 764 Brand NY 10469	1		* * * * * * * * * * * * * * * * * * * *
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AFFIRMATION AFFIRMATION		*	en en en en en en en en en en en en en e
TATE OF NEW YORK, COUNTY OF ss: I, the undersigned, am an attorney duly tate of New York. I hereby certify that I have complied with the filing and service requirements for escribed in Section 2 below.	/ admitted to the r this Applicatio	practice of lav	v in the courts of the eview in the manner
affirm that the foregoing statements are true under penalties of perjury.			
Dated 12/24/2012 Signature Benjamin Italina			
Signer's Name (Print) Benjamin Holmes			
AFFIDAVIT /			
STATE OF NEW YORK, COUNTY OF 3996 ss: 1, 13en umin 170 ay: I am over 18 years of age. I hereby certify that I have complied with the filing and service require nanner described in Section 2 below.	ements for this	Application for	_, being duly sworn, Board Review in the
Sworm to before me on 12/26/2012 Signature Leggue	graen	les la la la la la la la la la la la la la	
Lydia E. Cruz Signer's Name (Print) Sen. Notary Public Commissioner of Deeds Name (Print) Sen.	Jamin .	FIO IMA	
City of New York No. 3-7310 Certificate Filed in Bronx County	* . *	1	
Commission Expires May 01, 20	**		
Method by which Application was Filed with the Board (Check One): [nw\ □Pers	onal Delivery (s	specify date below)
Parts of Personal Political	·		,
Date of Mailing: Date of Personal Delivery:			•
3. Method of Service on the Parties (Check One): Mail Personal Delivery		ne de la companya de la companya de la companya de la companya de la companya de la companya de la companya de	
Specify Date of Mailing or Personal Delivery			
2. Names and addresses of all Parties Served: (Attach additional sheets if necessary.)			
	. •		



Correctional Health Services

REFER RAL

Consultation Request and Hospital Transfer Form

Specialty:

Provider Name: Internal (REF) DOC

Facility:

George R. Vierno Center

dest In armati

Patient:

BENJAMIN HOLMES

COB:

04/04/1953 E ook and Case: 3491201829

YSID:

03914346K

acility:

George R. Vierno Center

Fousing Area: 17B

RN No:

Referral From Information:

Provider Name:

David Kerrison, MD

Date and Time:

05/05/2012

Priority:

Diagnosis:

Routine

Reason:

Please be advised that patient has medical reasons that may activate the magnometer.

Notes:

Consulting Physician Information:

Date of Service:

Physician(Print Name):

Please place findings and recommendations below (use additional paper if necessary):

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 60 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.ny.gov

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469

October 17, 2013

In the phone call of 10/15/2013 you indicated that you were inquiring as to the status of your appeal filed In response to the claimant: on 12/26/12.

Based upon your request:

This case has been referred to our Administrative Review Division for consideration of your application and you will be advised when a decision is rendered.

Workers' Compensation Board

Administrative Review Division (877)632-4996

Case Information

Social Security No.:

Carrier ID No.: W847008 Carrier Case No.: 0846-12-02699

Insurance Carrier: City of NY Other Than Ed, High Ed, Water Sup, Hlth & Hospital

WCB Case No.: G0477983

Date of Accident: 11/01/2006

Claimant: Benjamin Holmes

Employer: NYC Parks & Recreation

ERFA-1.1 (5/04)

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 61 of 173 Transcripts: provide date and document ID number: Non-Scanable Evidence or Videotape (WMV or AVI format only): provide description: 9. Has or will an appeal to the Memorandum of Decision be taken to the Appellate Division of the Supreme Court, Third Department? Yes No Certification: By signing this document in the space provided below, I certify that this application has a good faith basis in law and fact, has been instituted with reasonable grounds, and has been served upon all parties at the addresses listed in the affirmation or affidavit of service below. I understand that the Workers' Compensation Law provides for substantial penalties for instituting or continuing proceedings without reasonable grounds and/or for the purpose of delay. I understand that if this application is withdrawn for any reason or if any of the issues raised are resolved by the parties, I must immediately notify the Signature of Person Preparing Form Phone Number (3473136684 SECTION 1 AFFIRMATION STATE OF NEW YORK, COUNTY OF BYONK state of New York. I hereby certify that I have complied with the filing and service requirements for this Application for Full Board Review in the manner I affirm that the foregoing statements are true under penalties of perjury. Signature > AFFIDAVIT STATE OF NEW YORK, COUNTY OF 1310 A say: I am over 18 years of age. I hereby certify that I have complied with the filing and service requirements for this Application for Full Board Review in the Sworn to before me on _ Signature _ Signer's Name (Print) Notary Public **SECTION 2** A. Method by which Application was Filed with the Board (Check One): Fax (1-877-533-0337) E-Mail (wcbclaimsfiling@wcb.state.ny.us) Mail (specify date below) Personal Delivery (specify date below) Date of Mailing: Date of Personal Delivery:_ B. Method of Service on the Parties (Check One): Mail Personal Delivery Specify Date of Mailing or Personal Delivery C. Names and addresses of all Parties Served: (Attach additional sheets if necessary.)

Patient: HOLMES, Benjamin MRN: 01287053

Montefiore

01287053 HOLMES, Benjamin

Report Details: Data assembled: 20Jun2012 13:08 Requested by: SMITH-JOHNSON RN , LORA J

** Discharge Instruction FLOW Aggregate: DISCHARGE INSTRUCTIONS

Ent/Transc by: SMITH-JOHNSON RN , LORA J RN Result DT:

Instructions for the patient

Entered DT: 20Jun12 12:34

Status: Final

Page 1 of 6

Printed: 20Jun2012

Confidential: Personal Data about a national

CLAIMANT'S REQUEST FOR REVIEW

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11

WORKERS' COMPENSATION BOARD DISABILITY BENEFITS BUREAU 100 BROADWAY – MENANDS, ALBANY, NY 12241-0005

I acknowledge receipt of Notice of Rejection of my claim for Disability Benefits. I hereby request a review of the rejection of such claim for the following reasons: (Give complete details)

Oi t	the rejection of additional the following reasons.	-	•	
soli	Acuso recibo del Aviso de Rechazo de mi recla icito una revision del rechazo de dicha reclamación,	mación por las	de Beneficios por Incapacidad. Por la presente siguientes razones: (Dar detalles completos).	
		•		_

Dat	te Claima	ant's Si	gnature	
	INSTRUCTIONS TO CLAIMANT		INSTRUCCIONES AL(A LA) RECLAMANTE	
1.	Give SPECIFIC reasons for requesting a review.	1.	De usted las razones ESPECIFICAS que le	
	You should file your Request for Review within 26		hacen solicitar una revision. Usted debe mandar	
	weeks.		su Aviso de Rechazo antes de 26 semanas.	
2.	Complete both copies of this form.	2.	Complete dos copies de esta forma.	
3.	Mail one copy PROMPTLY to:	3.	Envie por correo, PRONTAMENTE, una copia a:	
	Workers' Compensation Board		Workers' Compensation Board Disability Benefits Bureau	
	Disability Benefits Bureau 100 Broadway – Menands		100 Broadway – Menands	
	I TOU DIDAGWAY - MEHANGS	1	100 bioadway - McHarles	

4

Albany, New York 12241-0005

Quedese con una copia, para constancia.

Albany, New York 12241-0005

Retain one copy for your own record.

Copies To: Claimant:

Carrier:

Benjamin Holmes

Louis Leon

Employer:

*** Carrier Undetermined ***

Other:

Joseph A. Romano Law Offices

Benjamin Holmes PO Box 964 Bronx, NY 10469-0705

NOTICE TO INJURED WORKER

- 1. Any compensation due will be sent to you by check by the employer or insurance carrier.
- 2. Keep a careful record of the payments received in order that you may have evidence of payment or nonpayment in case of dispute.
- 3. Do not pay anything to anyone representing you. If you hire a lawyer or licensed representative, the fee will be set by a W.C.Law Judge. The fee will be deducted from your award and paid by separate check directly to the lawyer or licensed representative by the employer or the insurance carrier.
- 4. Except for Volunteer Firefighters' and Volunteer Ambulance Workers' claims, no lost wage benefits are paid for the first seven days of disability unless the disability extends beyond 14 days.
- 5. If your case was continued and the Judge directed that your benefits are to continue, the insurance company or self-insured employer must keep paying you until:
 - (a) you have another hearing and the Judge stops or changes your benefits

or

- (b) your employer or insurance company has evidence that you have returned to work at regular pay or a report from your doctor stating you have no disability and submits this evidence to the Workers' Compensation Board.
- 6. If you wish to apply for administrative review of any part or all of the Judge's decision, your application must be in writing and received by the Board within 30 days of the filing date of this decision. The filing date is on the other side of this form in the lower right-hand corner. You may deliver your application in person to the District office or send it by mail.
- 7. If you have any further questions, you may contact your district office by mail or by telephone. The address of your district office is:

STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205

Phone Number: (866) 746-0552

M;



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON NY 13902-5205

BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #0792 5837

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 02/10/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Mark Oberman made the following decision, findings and directions:

DECISION: Prima facie medical evidence exist for the back per Dr. Katzman 10/20/09.

Discharge and remove Joseph A. Romano, Es.

C-7s raised including Section 28.

Claimant to produce prima facie medical evidence re: causally related heart/stroke.

Claimant advised to retain counsel, but may proceed without. The case is continued to address the following issue(s): Prima Facie Medical Evidence, Accident Within Meaning Of Workers' Compensation Law, Accident Arising Out Of And In The Course Of Employment, Occupational Disease Within Meaning Of Workers' Compensation Law, Occupational Disease Arising Out Of And In The Course Of Employment, Notice (Section 18), Notice (Section 45), Employer-Employee Relationship, Causally Related Accident Or Occupational Disease, Cancellation Of Coverage, Proper Carrier, Timely Filing (Section 28). This case is not subject to the expedited hearing process and penalties.

<u>Information about Next Hearing / Meeting</u> 3/22/10 at 3:00 p.m. Testimony of claimant, 2 employer witnesses, and summations.

Claimant -

Benjamin Holmes

Employer - Carrier -

Louis Leon D/B/A State Insurance Fund

Social Security No. - WCB Case No. -

0792 5837

Carrier ID No. -

W204002

Date of Accident -

07/30/1979

Carrier Case No. - 050494331

District Office -

Peekskill

Date of Filing of this Decision - 02/18/2010

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 66 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

June 17, 2010

In response to the claimant:

In the Request for Further Action form of 05/31/2010 you indicated that you have requested a hearing.

Based upon your request the Board is scheduling the case for a hearing; you will receive a notice of hearing giving a date, time, and location in the near future.

Workers' Compensation Board

Ms. Smalls (866)746-0552

Case Information

Claimant: Benjamin Holmes

WCB Case No.: 07925837 Date of Accident: 07/30/1979

Employer: Louis Leon D/B/A

L. L. Gulf Gas Station

Social Security No.:

Carrier ID No.: W204002 Carrier Case No.: 050494331

Insurance Carrier: State Insurance Fund



STATE OF NEW YORK WORKERS'COMPENSATION BOARD DISABILITY BENEFITS BUREAU 100 BROADWAY – MENANDS ALBANY, NY 12241-0005 1-800-353-3092

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION

Date: December 31, 2009

	OFFICE AT: ALBANY
BENJAMIN HOLMES PO BOX 764	CLAIMANT: RETURN BOTH COPIES OF THIS FORM
BRONX NY 10469	CARRIER FILE NUMBER SOCIAL SECURITY NUMBER
December 31, 2009	XXX-XX-3996
benefits may not be paid for any p the enclosed envelope to the doct the required proof may be prompt	proof of disability and medical care for the period from
And the second second second second second second second second second second second second second second second	rm to the Disability Benefits Bureau, 100 Broadway-Menands, Albany, New to not hear from you within ten days, we shall refer this case to our closed files.
Bring both copies of this to the place, date and time of the hearing date to be scheduled. However, purse, midwife, to the Wo	form to the hearing which will be scheduled on your claim. You will be notified of of this hearing. If you are still disabled, it is suggested that you wait until shortly have this form completed. You are urged to attend the hearing which will if you are unable to attend, please mail this form, completed by your doctor or rkers' Compensation Board at the office indicated above and advise them of the eable to attend the hearing.
	DISABILITY BENEFITS BUREAU

IF YOU HAVE ANY QUESTIONS OR NEED ADVICE ABOUT YOUR CLAIM, YOU MAY CALL OR VISIT THE NEAREST OFFICE OF THE WORKERS' COMPENSATION BOARD.

SI USTED TIENE ALGUNAS PREGUNTAS O NECESITA CONSEJO SOBRE SU RECLAMACION, USTED PUEDE LLAMAR O VISITAR LA OFICINA DE LA JUNTA DE COMPENSACION MAS CERCANA A USTED.

HEALTH PROVIDER: PLEASE COMPLETE REVERSE OF THIS FORM



BENJAMIN HOLMES

STATE OF NEW YORK WORKERS'COMPENSATION BOARD

DISABILITY BENEFITS BUREAU 100 BROADWAY - MENANDS ALBANY, NY 12241-0005 1-800-353-3092

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION

Date: January 8, 2010

NOTICE OF REJECTION OF CLAIM FOR DISABILITY BENEFITS

AVISO DE RECHAZO DE RECLAMACION DE BENEFICIOS POR INCAPACIDAD (Special Fund for Disability Benefits)

	PO BOX 764	Claimant's SS No.:	XXX-XX	-3996	
	BRONX, NY 10469				
You are below:	e hereby notified that your claim for Disability Benefits is rejected ι	inder the Disability Bene	fits Law for	the reason(s) chec	ked
1.	Your claim was not filed within 26 weeks after the date your dis	sability commenced		First day of disability]
	and the same offer the date your disa			Date claim filed	
	 ☐ A. No benefits payable ☐ B. Payments are being made beginning two weeks prior to 	the date your claim was	filed.		
	Benefits from the Special Fund are provided for the unemployed insurance. Since you were not claiming and/or receiving Unem required by law, you are not entitled to benefits from the Special IF YOU CONTEST THE REJECTION OF YOUR CLAIM FOR TWERE CLAIMING OR RECEIVING UNEMPLOYMENT INSURANCE OFFICE	I Fund. HIS REASON, FORWAI ANCE BENEFITS. THIS E.	RD TO US EVIDENC	EVIDENCE THAT E MAY BE OBTAIN	YOU NED
□ 4	 Disability Benefits are payable only for disabilities which commended employment. The information in your claim indicates that your termination of your last employment. 		ty-six week ore than tw	s following termina enty-six weeks afte	er the
	Last Day Worked 26 Weeks Ended Disability Bega	an			
□ 5	S. You have not complied with our requests for information neces	sary to process your clai	m. (See Ite	em 7, below.)	t. 1856
☐ 6	The medical reports on file do not indicate you were totally disa Benefits. Your claim is, therefore, rejected for the period beyon additional medical evidence immediately.	abled beyond the date young the determined the dete	ou have alre ill disabled	eady been paid Dis after that date, sub	
X 7	7. Other: 1) INFORMATION CONTAINED IN YOUR CLAIM IND FROM AN ACCIDENTAL INJURY ARISING IN AND OUT OF OCCUPATIONAL DISEASE. THE DISABILITY BENEFITS LA PAYABLE FOR ANY PERIOD FOR WHICH WORKERS' COM 2) UNDER SECTION 206.1A ANY PERIOD FOR WH BENEIFTS IS NOT PAYABLE. DUPLICATION OF BENEFITS 3) BE ADVISED TO REOPEN YOUR COMPENSATION	W PROVIDES THAT NO IPENSATION BENEFIT HICH YOU ARE COLLECTED OF PROHIBITED	DISABILI S ARE PAI CTING SOC	TY BENEFITS ARI D OR PAYABLE. CIAL SECURITY DI	=
	TO CLAIMANT: READ IMPORTANT INSTRUCTIONS FOR F AL (A LA) RECLAMANTE: LEA, EN EL REVERSO DE EST SOLICITAR RI	REQUESTING REVIEW ON TA FORMA, INSTRUCCION EVISION.	REVERSE IES IMPOR	OF THIS FORM. TANTES PARA	

SOLICITAR REVISION.



STATE OF NEW YORK WORKERS'COMPENSATION BOARD DISABILITY BENEFITS BUREAU 100 BROADWAY – MENANDS ALBANY, NY 12241-0005 1-800-353-3092

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION

BENJAMIN HOLMES PO BOX 764 BRONX, NY 10469 DATE:

January 8, 2010

We have your claim for Disability Benefits. We regret that it appears, from your claim and other information we have received, that you are not eligible under the Disability Benefits Law because:

X You did not work for a "covered" employer within the meaning of the Disability Benefits Law for at least four consecutive weeks immediately prior to the commencement of your disability.

You previously established eligibility by working four consecutive weeks or more for a "covered" employer and have since worked in excess of four weeks for an employer who is not "covered" under the provisions of the Disability Benefits Law.

The above is based on the following:

MUNICIPAL (CITY OF NEW YORK) EMPLOYMENT IS EXCLUDED FROM NEW YORK STATE DISABILITY BENEFITS LAW.

TO CLAIMANT: READ IMPORTANT INSTRUCTIONS FOR REQUESTING REVIEW ON REVERSE SIDE.

AL (A LA) RECLAMANTE: LEA, EN DE ESTA FORMA, INSTRUCCIONES IMPORTANTES PARA SOLICITAR REVISION.

(3952)35707669-1

Copies To:

Claimant:

Carrier: Employer:

Benjamin Holmes
State Insurance Fund

Louis Leon

Other:

Joseph A. Romano Law Offices

Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

NOTICE TO INJURED WORKER

- 1. Any compensation due will be sent to you by check by the employer or insurance carrier.
- 2. Keep a careful record of the payments received in order that you may have evidence of payment or nonpayment in case of dispute.
- 3. Do not pay anything to anyone representing you. If you hire a lawyer or licensed representative, the fee will be set by a W.C.Law Judge. The fee will be deducted from your award and paid by separate check directly to the lawyer or licensed representative by the employer or the insurance carrier.
- 4. Except for Volunteer Firefighters' and Volunteer Ambulance Workers' claims, no lost wage benefits are paid for the first seven days of disability unless the disability extends beyond 14 days.
- 5. If your case was continued and the Judge directed that your benefits are to continue, the insurance company or self-insured employer must keep paying you until:
 - (a) you have another hearing and the Judge stops or changes your benefits

or

- (b) your employer or insurance company has evidence that you have returned to work at regular pay or a report from your doctor stating you have no disability and submits this evidence to the Workers' Compensation Board.
- 6. If you wish to apply for administrative review of any part or all of the Judge's decision, your application must be in writing and received by the Board within 30 days of the filing date of this decision. The filing date is on the other side of this form in the lower right-hand corner. You may deliver your application in person to the District office or send it by mail.
- 7. If you have any further questions, you may contact your district office by mail or by telephone. The address of your district office is:

STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205

Phone Number: (866) 746-0552

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 71 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

April 22, 2010

In response to the claimant:

In the Request for Further Action form of 04/13/2010 you indicated that you have requested a hearing.

Based upon your request:

No action will be taken on your case until you submit all documents requested by the Law Judge per decision filed 4/19/10.

Workers' Compensation Board

Ms. Smalls (866)746-0552

Case Information

Social Security No.:

Carrier ID No.: W204002

Carrier Case No.: 050494331 Insurance Carrier: State Insurance Fund

WCB Case No.: 07925837 Date of Accident: 07/30/1979

Claimant: Benjamin Holmes

Employer: Louis Leon D/B/A

L. L. Gulf Gas Station

(286)41853673-1 Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 72 of 173

NOTICE OF WORKERS COMPENSATI	ON HEARIN	<u>G</u>		State of New York ORKERS' COMPENSATION BOARD	
Workers Compensation Board	Part Date of Hearing 21 10/10/2012		Time 9:00 AM	District Office NYC (800) 877-1373	
215 W. 125th Street, 4th Floor New York, NY 10027	wcв case No. G0477983		- 15 Min		
10027			Date of Accident	WCB Home Page	
			11/01/2006	www.wcb.ny.gov	
			Carrier ID No.	Carrier Case No.	
Benjamin Holmes			W847008	0846-12-0269	
PO Box 764 Bronx, NY 10469-0702	·		CLAIMANT Benjamin Holmes		

CLAIMANT: Bring this notice with you. Read important information on reverse side.

Indillanda labila labilanda 10 anni labilanta badil

EMPLOYER

NYC Parks & Recreation

CARRIER

City of New York c/o NYC Law Dept

COPIES TO

Benjamin Holmes

Joseph A. Romano Law Offices

NOTICE OF PRELIMINARY HEARING:

See reverse side for important information about this preliminary hearing. Both Claimant and carrier are to be present prepared to furnish the information described on reverse side in order to fix a date for trial hearing. On the date set for trial hearing, the case will be decided on the evidence presented. There will be no further adjournment at that time except for good and sufficient cause.

PURPOSE OF HEARING:

Production of medical evidence.

EVIDENCE TO BE PRODUCED:

By Claimant: Claimant to produce medical.

IMPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

THE NEW YORK STATE WORKERS' COMPENSATION BOARD PROHIBITS VISITORS, EMPLOYEES, CLIENTS OR WITNESSES FROM CARRYING OR BEARING FIREARMS OR ANY OTHER WEAPON ON BOARD PREMISES.

Dated: 09/24/2012



ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 20 PARK ST ALBANY, NY 12207 www.wcb.state.ny.us

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #0792 5837

MEMORANDUM OF BOARD PANEL DECISION

keep for your records

Opinion By: Donna Ferrara
Frances Libous
Samuel G. Williams

The claimant, appearing without the aid of counsel, is requesting review of the Workers' Compensation Law Judge's decision filed on July 12, 2010. A timely served rebuttal has been received.

ISSUE

The issue presented for administrative review is whether the case should be reopened.

FACTS

In December 2008, the claimant requested a reopening of his claim. The Board has no record of this claim and the claimant filed a C-3.0 form. From a review of the information provided by the parties, the following facts can be gleaned.

The claimant worked for Louis Leno d/b/a L & L Gulf Gas Station in 1979. A claim for workers' compensation benefits was filed against the employer. The Workers' Compensation Board indexed the claim in 1979 as Case No. 07925837. Encompassins, which took over claims for CNA Insurance, which had taken over claims for Fireman's Fund Insurance Co. has a record of a claim for the claimant from an accident that occurred on August 1, 1979, but they have no

*** Continued on next page ***

Claimant -Benjamin Holmes Employer -Louis Leon D/B/A Social Security No. -Carrier -State Insurance Fund WCB Case No. -0792 5837 Carrier ID No. -W204002 Date of Accident -07/30/1979 Carrier Case No. - 050494331 District Office -Peekskill Date of Filing of this Decision - 01/26/2011

ATENCION:

further information.

In 1999, the claimant sought a reopening of the claim and was advised by the Workers' Compensation Board that the file was destroyed because it was inactive and more than eighteen years old.

The claimant contends that his claim was established for several injuries and he was awarded compensation benefits. He further contends that the accident gave rise to a third-party action, which settled with the carrier's consent and that the carrier took a credit for the third-party recovery.

In a Notice of Decision filed on July 12, 2010, the Workers' Compensation Law Judge disallowed the claim because there was no evidence of a claim. The claimant was permitted to reopen the claim if further evidence is produced. The claimant now seeks administrative review of the decision, asserting that the Workers' Compensation Law Judge ignored the fact that he has a claim number from 1979.

LEGAL ANALYSIS

The claim for compensation benefits is denied pursuant to Workers' Compensation Law § 123.

The existence of a claim number is evidence that a claim for workers' compensation benefits was filed in 1979. There is insufficient evidence as to whether the claim was established, denied, or closed pursuant to Workers' Compensation Law § 15(5-b). Based upon the passage of time before the 1999 reopening and the 2008 reopening, it is clear that outstanding issues were resolved and the case was marked for no further action.

Regardless of whether the claim was established or disallowed, the claim for compensation benefits is barred by the provisions of Workers' Compensation Law § 123.

If the claim was disallowed or otherwise disposed of without a finding on the merits, then the claim cannot be reopened more than seven years after the date of accident. If the claim was established, then a payment of compensation benefits cannot be made more than eighteen years after the date of accident.

Therefore, the Board Panel finds, upon review of the record and based upon a preponderance of the evidence, that the claim for compensation benefits is denied.

*** Continued on next page ***

Claimant - Social Security No	Benjamin Holmes	Employer - Carrier - Carrier ID No Carrier Case No	Louis Leon D/B/A State Insurance Fund W204002 050494331 is Decision - 01/26/2011
WCB Case No Date of Accident - District Office -	0792 5837 07/30/1979 Peekskill		

ATENCION:

CONCLUSION

ACCORDINGLY, the Workers' Compensation Law Judge's decision filed on July 12, 2010 is MODIFIED to find the claim for compensation benefits is barred by Workers' Compensation Law § 123. No further action is planned at this time.

All concur.

Donna Ferrara

Frances Libous

Samuel G. Williams

Claimant -

Social Security No. -WCB Case No. -Date of Accident -

0792 5837 07/30/1979

Benjamin Holmes

District Office - Peekskill

Employer - Louis Leon D/B/A

Carrier - State Insurance Fund

Carrier ID No. - W204002 Carrier Case No. - 050494331

Date of Filing of this Decision - 01/26/2011

ATENCION:

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 76 of 173 STATE OF NEW YORK

WORKERS' COMPENSATION BOARD PO Box 5205 Binghamton, NY 13902-5205 THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

FILE COPY

Please see reverse for Recipients.

DATE OF MAILING	CLAIMANT'S S.S. NO.
11/7/2011	
WCB CASE NO.	DATE OF ACCIDENT
G0477983	11/01/2006
CARRIER CASE NO.	CARRIER I.D. NO.
	W847008

CLAIMANT'S NAME	EMPLOYER'S NAME	CARRIER'S NAME
Benjamin Holmes	NYC Parks & Recreation	City of New York
NO	TICE OF CANCELLATION OF (CASE NUMBER
case have been combined v	ras a duplicate file and has been with WCB case numbernications regarding this case.	cancelled. All records pertaining to this G0123585 Use only this
Please note your records ac		
	By_Ms.L.Sanche	<u> </u>

Telephone No. (800)877-1373

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 77 of 173 STATE OF NEW YORK

WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

FILE COPY

Please see reverse for Recipients.

DATE OF MAILING	CLAIMANT'S S.S. NO.
4/19/2012	
WCB CASE NO.	DATE OF ACCIDENT
G0477983	11/01/2006
CARRIER CASE NO.	CARRIER I.D. NO.
	W847008

			VV847008	
CLAIMANT'S NAME	EMPLOYER'S NAME		CARRIER'S NAME	
Benjamin Holmes	NYC Parks & Recreation	City of New York		
Mail addressed to the claimant at the fo	llowing address has been returned by post	al authorities:	The state of the s	
PC	enjamin Holmes D Box 764			
	conx, NY 10469			
		•		
Failure to locate the claimant will result in	non-payment of an award to which he or s	he may be entitled up	der the law We	
therefore request that you complete the lo of this form.	ower portion of this form and return this lett	er to the Board office i	indicated at the top	
or this 10////,				
	By_Sandra Burke-Arringto	on th	nit_C-7	
	Telephone No. (800)877-		III.	
1 Chack have if your result			.•	
Check here if your record	s indicate same address as shown	above.		
2. Claimant's present addres	ss is: (Please type or print clearly	e de la companya del companya de la companya del companya de la co		
		,		
Name <u>Senamin</u>	Holmes			
Street P. O. Boo	2 7/11			
	E 164			
City Brohx				
State Now York	Zip Cod	le 10469) /	
/	*		Construction of the Construction of the Const	
i elepnone Number 3 4	7-313-6258		- I phi Adopted Adopted Spring page	

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 78 of 173



STATE OF NEW YORK
WORKERS' COMPENSATION BOARD
PO Box 5205
Binghamton, NY 13902-5205

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

Please see reverse for Recipients.

February 28, 2012

In order to evaluate and resolve a claim for workers' compensation benefits, the Board requires medical reports concerning the injury, trauma or illness and degree of impairment.

The employer or insurance carrier has objected to this claim by filing Form C-7, Notice of Controversy. To resolve this issue as quickly as possible, the Workers' Compensation Board needs a properly completed medical report. The Law requires that a pre-hearing conference be held within 30 days of receipt of Form C-7 and a medical report referencing the claimant's injury. Without a properly completed medical report, the Board is unable to schedule this conference.

Examiner: <u>Sandra Burke-Arrington</u> Telephone No.(800)877-1373

Case Information

Claimant: Benjamin Holmes

WCB Case No.: G0477983 Date of Accident: 11/01/2006

Employer: NYC Parks & Recreation

Social Security No.:

Carrier ID No.: W847008

Carrier Case No.:

Insurance Carrier: City of New York

c/o NYC Law Dept

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 79 of 173

ROBERT E. BELOTEN

CHAIR

STATE OF NEW YORK
WORKERS' COMPENSATION BOARD
PO Box 5205
Binghamton, NY 13902-5205

.

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

FILE COPY

Please see reverse for Recipients.

December 29, 2011

Under the Workers' Compensation Law, the insurance carrier or employer is required to complete and file without delay the following forms which are needed to complete the Board's file. The injured worker does not need to take any action based on this notice.

C-2, C-4, C-11, C-8/8.6, C-240, Employer's Statement of Wage Earnings (If claimant did not work a full year preceding the date of accident, submit payroll of similar worker.), Reimbursement Request

Section 25-3(e) of the Workers' Compensation Law provides that a penalty of \$50 may be imposed for failure to file a notice or report requested or required by the Chair or Board within 10 days.

By: Emmett O'Donnell
Telephone No.(800)877-1373

Case Information

Claimant: Benjamin Holmes

WCB Case No.: G0477983 Date of Accident: 11/01/2006

Employer: NYC Parks & Recreation

Social Security No.:

Carrier ID No.: W847008

Carrier Case No.:

Insurance Carrier: City of New York

c/o NYC Law Dept



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

'At the Workers' Compensation hearing held on 11/28/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Claim is disallowed. Claim is barred by Sections 18 and 28.

Claimant's counsel notes exceptions.

. No further action is planned by the Board at this time.

Claimant -Social Security No. -

District Office -

WCB Case No. -G047 7983 Date of Accident -

11/01/2006 NYC

Benjamin Holmes

Employer -Carrier -

NYC Parks & Recreation

Carrier ID No. -

City of New York W847008

Carrier Case No. -0846-12-02699

Date of Filing of this Decision - 12/03/2012

ATENCION:



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(877) 632-4996

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF PROPOSED DECISION

keep for your records

This decision makes legal findings about your on-the-job injury. It was made based on information in the Board's file as of this date.

The Findings section of this decision may state information such as what part of your body was injured; how much you were earning before you got hurt; how long you were out of work; whether you were entitled to be paid compensation benefits while you were out of work; the amount of weekly workers' compensation benefits; and if you have approval for medical treatment.

These legal findings are important and may limit your claim for workers' compensation benefits. If you DISAGREE with any part of this decision you must OBJECT. Write your objection on the back of this form and return it to the address listed above. The proposed decision will become FINAL on 8th day of July, 2014 so ANY OBJECTION to it must be RECEIVED by the Board BEFORE that date to be considered timely. Objections received on or after that date, will not be considered.

If you DO NOT UNDERSTAND this decision, you may contact the Board at 1-877-632-4996 for further information.

If you are not represented by legal counsel, you may want to consult an attorney or a licensed representative to assist you with your claim. An attorney or a licensed representative cannot charge you directly for representation in a workers' compensation case. If there is an award in your case, any legal fee request must be approved by the Board and will be deducted from the award to you by the insurance carrier and paid directly to the attorney or the licensed representative.

PROPOSED DECISION

FINDINGS: Form(s) C-8.1 which raised issues relating to treatment and/or disputed medical bills are resolved in favor of the carrier C-8.1B dated 3/21/14. Claim disallowed.

No further action is planned by the Board at this time.

*** Continued on next page ***

Claimant -Benjamin Holmes Employer -NYC Parks & Recreation Social Security No. -Carrier -City of NY Other Than Ed, High WCB Case No. -G047 7983 Carrier ID No. -W847008 Date of Accident -11/01/2006 Carrier Case No. - 0846-12-02699 District Office -**NYC** Date of Filing of this Decision - 06/03/2014

ATENCION:

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 82 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

March 21, 2011

In response to the claimant: In the phone call of 03/17/2011 you indicated that you need another RB-89.2.

Based upon your request:

Enclosed. Please send a copy of the completed paperwork to the carrier as well as the Board. Thank you.

Workers' Compensation Board

Margaret Morrissey (866)746-0552

Case Information

Claimant: Benjamin Holmes Social Security No.: WCB Case No.: 07925837 Carrier ID No.:

Carrier ID No.: W204002 Carrier Case No.: 050494331

Insurance Carrier: State Insurance Fund

ERFA-1.1 (5/04)

Date of Accident: 07/30/1979

Employer: Louis Leon D/B/A

L. L. Gulf Gas Station

(754)45900521-1 Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 83 of 173 Copies To: Case #G047 7983 Claimant: Benjamin Holmes Carrier: City of NY Other Than Ed, High Employer: NYC Parks & Recreation Other: Joseph A. Romano Law Offices Avraham Henoch Benjamin Holmes PO Box 764 Claimant's Address Bronx, NY 10469 Benjamin Holmes PO Box 764 Bronx, NY 10469 NOTICE TO THE INJURED WORKER 1. AVERAGE WEEKLY WAGE--The average weekly wage established is based on an average of your earnings for an entire year prior to your injury and so may differ from your weekly salary on the date of your accident. 2. AWARD--Your rate per week for 100% disability is calculated at two-thirds of your average weekly wage up to a maximum established by law at the time of your accident. If your doctor indicates a partial disability, your rate may be lower. If your employer paid your wages while you were out of work and requests reimbursement, you are not entitled to any cash benefits for that period. Any compensation due will be mailed to you by check from the employer or insurance carrier no later than 10 days following the FINAL date listed on the first page of the Proposed Decision. No awards are payable for the first 7 days of lost time unless lost time exceeds 14 total days. This 7-day waiting period does not apply to volunteer firefighters and volunteer ambulance workers. 3. PERMANENT INJURY--If your injury has resulted in a permanent loss of eyesight, hearing, or serious facial scar, or any permanent defect in a finger, hand, toe, foot, leg, or arm, a medical report indicating permanency should be forwarded to the Board. (Form C-4.3, Doctor's Report of MMI/Permanent Impairment) The Board will then process your request for a finding regarding permanency. A finding of permanency may result in additional compensation. 4. NO FURTHER ACTION IS PLANNED--This means that all current legal issues in your claim have been resolved and that there are no issues in dispute at this time that require Board resolution. Unless otherwise stated in the Proposed Decision, you are entitled to continued related necessary medical treatment as the course of recovery requires. If the carrier or self-insured employer disputes or declines to cover any future medical treatment, you may request further Board action. If you require further action by the Board, please use Form RFA-1W, Request for Assistance by Injured Worker which is available on the Board's website or which can be mailed to you upon request. 5. ATTORNEY/REPRESENTATIVE--You have the right to be represented by an attorney or licensed

5. ATTORNEY/REPRESENTATIVE--You have the right to be represented by an attorney or licensed representative at any time during the processing of your claim. If you choose to be represented, you should not pay your representative directly. His or her fee will be set by the Workers' Compensation Board and will be deducted from any money awarded to you.

OBJECTION STATE THE REASON(S) FOR YOUR OBJECTION	N TO THE DECISION N:	!	
Signature	Date		Telephone Number
			reichnoug Mumbel.

Carriers and Self Insured Employers must send a copy of the Objection to the Claimant and Claimant's Representative, if any.

PD-NSL (12/10)



ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 20 PARK ST ALBANY, NY 12207 www.wcb.ny.gov

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #0792 5837

AMENDED MEMORANDUM OF BOARD PANEL DECISION

keep for your records

Opinion By: Donna Ferrara Frances Libous

Samuel G. Williams

This Board Panel, upon the direction of the Chair of the Workers' Compensation Board and pursuant to Workers' Compensation Law (WCL) § 142 and 12 NYCRR 300.16, has reviewed and considered the claimant's application for discretionary Full Board Review, received on April 8, 2011, of the Board Panel Memorandum of Decision (MOD) filed on January 26, 2011, in the above cited case. Based on that review, the Board Panel has determined that Full Board Review is not warranted. The Board Panel, however, has determined that the MOD should be amended as indicated below.

This decision amends and supersedes the Board Panel MOD filed on January 26, 2011, to rescind, without prejudice, the finding that the claim for compensation benefits is denied as barred by WCL § 123,, to direct that Encompass Insurance Company be placed on notice as the potential carrier in this case, and to direct that the case be continued for further development of the record on the issue of proper carrier and existence of an accepted or established workers' compensation claim.

The claimant requests review of the Workers' Compensation Law Judge (WCLJ) decision filed on July 12, 2010. The insurance carrier filed a rebuttal.

ISSUE

Continued on next page

Claimant -Social Security No. - Benjamin Holmes

Employer -

Louis Leon D/B/A

WCB Case No. -

0792 5837

Carrier -Carrier ID No. -

State Insurance Fund W204002

Date of Accident -

07/30/1979

Carrier Case No. -

050494331

District Office -

Peekskill

Date of Filing of this Decision-02/17/2012

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1A (4/99) FILE COPY

The issue presented for administrative review is whether the claimant's claim for compensation benefits was properly found to be barred pursuant to WCL § 123.

FACTS

By claim form C -3.0 filed December 19, 2008, the claimant contends he was involved in an on-the-job accident while working as a car mechanic on July 30, 1979, resulting in multiple injuries. The claimant also contends he filed a workers' compensation claim, that the claim was established, that he received benefits, and that a third party action was undertaken and settled with the carrier's consent.

The claimant worked as a car mechanic for Louis Leon d/b/a L & L Gulf Gas at the time of injury. There was a record for a claim of this date and the case was assigned the WCB case number of 0792 5837. In 1999, the claimant sought a reopening of the claim and was advised by the Board that the file was destroyed because it was inactive and was more than eighteen years old (see CIS doc. # 179303860, filed April 8, 2011).

To date, the claimant has been unable to present any other documentary evidence showing his claim was actually established by the Board, the body sites or injuries for which it was established, that the carrier paid for any treatment, or that a third party settlement was undertaken or settled.

At a hearing held on July 12, 2010, the WCLJ explained to the claimant the documentation that would have to be produced if the claimant sought lost time awards in relation to the claim. The claimant presented a 1985 medical script and a recent statement from his doctor. The WCLJ found these to be insufficient evidence that the claim had been previously established and disallowed the claim without prejudice to the claimant's production of additional documentation in support of his claim.

The Board Panel, in a decision filed January 26, 2011, modified the WCLJ's decision and found that even if the claim had been established, any claim now set forth would be time barred pursuant to WCL§ 123. The Board found that if the claim was disallowed or otherwise disposed of without a finding on the merits, the claim could not be reopened more than seven years after the date of accident (July 30, 1986) and that if the claim had been established, that a payment of compensation could not now be directed more than eighteen years (July 30, 1997) after the accident date.

*** Continued on next page ***

Claimant -	Benjamin Holmes	Employer -	Louis Leon D/B/A
Social Security No		Carrier -	State Insurance Fund
WCB Case No	0792 5837	Carrier ID No	W204002
Date of Accident -	07/30/1979	Carrier Case No	050494331
District Office -	Peekskill	Date of Filing of the	is Decision- 02/17/2012

ATENCION:

LEGAL ANALYSIS

The claimant contends that the MOD should be rescinded because he believes the starting point for the claim should be 1985 and not 1979 because that is the date he received his last payment, that he has a learning disability, and the MOD is unfair.

The carrier argues that the MOD is correct and should be affirmed.

"As an exception to the Board's continuing jurisdiction over workers' compensation claims, Workers' Compensation Law § 123 provides, in relevant part, that 'no claim for compensation . . . that has been . . . disposed of without an award after the parties in interest have been given due notice of hearing or hearings and opportunity to be heard and for which no determination was made on the merits, shall be reopened after a lapse of seven years from the date of the accident.' As a factual determination for the Board to make, whether such cases fall within the ambit of this statute depends on whether they were truly closed, that is, if further proceedings, such as the submission of additional medical evidence, were contemplated by the Board" Matter of Ford v New York City Tr. Auth., 27 AD3d 792 [2006], appeal dismissed 7 NY3d 741 [2006] [citations omitted]).

"Section 123 provides the Workers' Compensation Board with authority to reopen closed cases, subject to the time limitation that no awards shall be made against the Special Fund or against an employer where the application is made 'after a lapse of eighteen years from the date of the injury or death and also a lapse of eight years from the date of the last payment of compensation'. This 'eighteen-and eight'-year time limitation applies only to cases which have been closed and are being reopened, but would not bar a new claim or continuing consideration of an open case" (Matter of Zechmann v Canisteo Volunteer Fire Dept., 85 NY2d 747 [1995] [citations omitted]).

The electronic case folder contains documentation that an entity not previously placed on notice, or present for hearings in this matter, Encompass Insurance Company, may in fact be the proper carrier in this claim, and may have documentation which could be utilized to determine whether the claimant has an accepted or established 1979 back injury claim. This documentation is a memo dated May 24, 2010, addressed to the claimant from Herbert Berman with an attached email from Gail Rock of Encompass Insurance Company (see the claimant's May 31, 2010 RFA-1, CIS doc. # 166911580, filed June 3, 2010, pp. 10-11) and indicates administration of the claimant's 1979 claim was taken over by CNA Insurance from Fireman's Fund Insurance, and thereafter, by Encompass Insurance Company. This documentation further indicates that a claim was filed on August 1, 1979, the insured was Louis Leon d/b/a L & L Gulf Gas Station, and the (carrier) case number was 33786765. There is no indication this important documentary

*** Continued on next page ***

Claimant - Benjamin Holmes Employer - Louis Leon D/B/A
Social Security No. - Carrier - State Insurance Fund
WCB Case No. - 0792 5837 Carrier ID No. - W204002
Date of Accident - 07/30/1979 Carrier Case No. - 050494331

District Office - Peekskill Date of Filing of this Decision - 02/17/2012

ATENCION:

evidence was considered by the WCLJ. Encompass has not been placed on notice for any hearing and thus has not been asked to produce its file. Even if it is determined the claimant's claim was never formally established by the Board, it may still be compensable if the carrier accepted liability for the claim. Encompass Insurance Company should therefore be placed on notice to be present at future hearings, and should be directed to produce any and all documentary or other evidence it has relating to this claim, in order to determine whether there is any additional information regarding the acceptance and/or establishment of the claimant's 1979 back injury claim.

Further, as a WCL§ 123 finding depends on a finding of true closure, and information from Encompass Insurance Company may demonstrate there was no true closure, it was premature to find WCL§ 123 applies in this case pending Encompass being placed on notice and being directed to be present at hearings with its file documents and other evidence it may have that relates to this claim. Thus, Encompass Insurance Company is to be placed on notice as the potential carrier in this case, and the case is continued for further development of the record on the issue of proper carrier and existence of an accepted or established workers' compensation claim.

Finally, it should be noted that even if WCL§ 123 applies to bar the claimant from receiving any further lost wage benefits in an accepted/established case, it does not bar the claimant from receiving medical benefits for causally related injuries or conditions. (see Matter of Youngelman v NYC Dept. of Sanitation, 10 AD2d 173 [1960], appeal dismissed, 9 NY2d 905 [1961]; see also Matter of Daum v Rochester State Hospital, 21 AD2d 953 [1964]; Matter of Pixley v University of Rochester, 22 AD2d 743 [1964], appeal denied, 15 NY2d 483 [1965]).

CONCLUSION

ACCORDINGLY, the WCLJ decision filed on July 12, 2010 is MODIFIED to rescind, without prejudice, the finding that the claim is disallowed. Further, Encompass Insurance Company is to be placed on notice as the potential carrier in this case and is to be present at future hearings with its file documents and any other evidence it may have that relates to this claim. This case is continued for further development of the record on the issue of proper carrier and the existence

*** Continued on next page ***

Claimant -Social Security No. - Benjamin Holmes

Employer - Carrier -

Louis Leon D/B/A State Insurance Fund

WCB Case No. Date of Accident -

0792 5837 07/30/1979

Carrier ID No. -

W204002 050494331

District Office -

Peekskill

Carrier Case No. -

Date of Filing of this Decision— 02/17/2012

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1A (4/99) FILE COPY of an accepted or established workers' compensation claim, as indicated above, and whether WCL § 123 applies in this case.

All concur.

Donna Ferrara

Frances Libous

Samuel G. Williams

Claimant -

Social Security No. - WCB Case No. -

Date of Accident -District Office - Benjamin Holmes

0792 5837

07/30/1979

Peekskill

Employer -Carrier -

Louis Leon D/B/A
State Insurance Fund

Carrier ID No. -

W204002

Carrier Case No. -

050494331

Date of Filing of this Decision- 02/17/2012

ATENCION:

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 89 of 173

Copies To: Claimant: Carrier:

Employer: Other:

Benjamin Holmes State Insurance Fund Louis Leon D/B/A

Please see below for Recipients.

Benjamin Holmes P.O. Box 764 Bronx, NY 10469

Louis Leon D/B/A L. L. Gulf Gas Station 1101 Grenada Place Bronx, NY 10466

State Insurance Fund 105 Corporate Park Dr, Ste 200 White Plains, NY 10604-3814



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 WWW.wcb.ny.gov

(877) 632-4996

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF PROPOSED DECISION

keep for your records

This decision makes legal findings about your on-the-job injury. It was made based on information in the Board's file as of this date.

The Findings section of this decision may state information such as what part of your body was injured; how much you were earning before you got hurt; how long you were out of work; whether you were entitled to be paid compensation benefits while you were out of work; the amount of weekly workers' compensation benefits; and if you have approval for medical treatment.

These legal findings are important and may limit your claim for workers' compensation benefits. If you DISAGREE with any part of this decision you must OBJECT. Write your objection on the back of this form and return it to the address listed above. The proposed decision will become FINAL on 8th day of July, 2014 so ANY OBJECTION to it must be RECEIVED by the Board BEFORE that date to be considered timely. Objections received on or after that date, will not be considered.

If you DO NOT UNDERSTAND this decision, you may contact the Board at 1-877-632-4996 for further information.

If you are not represented by legal counsel, you may want to consult an attorney or a licensed representative to assist you with your claim. An attorney or a licensed representative cannot charge you directly for representation in a workers' compensation case. If there is an award in your case, any legal fee request must be approved by the Board and will be deducted from the award to you by the insurance carrier and paid directly to the attorney or the licensed representative.

PROPOSED DECISION

FINDINGS: Form(s) C-8.1 which raised issues relating to treatment and/or disputed medical bills are resolved in favor of the carrier C-8.1B dated 3/21/14. Claim disallowed.

No further action is planned by the Board at this time.

*** Continued on next page ***

Claimant -

Benjamin Holmes

Employer -

NYC Parks & Recreation

Social Security No. - WCB Case No. -

G047 7983

Carrier Carrier ID No. -

City of NY Other Than Ed, High W847008

Date of Accident -

11/01/2006

Carrier Case No. -

0846-12-02699

District Office -

NYC

Date of Filing of this Decision - 06/03/2014

ATENCION:



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 09/19/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Issues in controversy (C-7 issues) have been raised by the carrier/employer. 36 minent late

Claimant did not appear at the hearing, or was otherwise not prepared to proceed - there is no medical in the file.

. The case is continued to address the following issue(s): Accident Within Meaning Of Workers' Compensation Law, Accident Arising Out Of And In The Course Of Employment, Occupational Disease Within Meaning Of Workers' Compensation Law, Occupational Disease Arising Out Of And In The Course Of Employment, Notice (Section 18), Timely Filing (Section 28). This case is not subject to the expedited hearing process and penalties.

Claimant -

Social Security No. -

WCB Case No. -Date of Accident -

District Office -

Benjamin Holmes

G047 7983 11/01/2006

NYC

Employer -

NYC Parks & Recreation

City of New York Carrier -W847008

Carrier ID No. -0846-12-02699 Carrier Case No. -

Date of Filing of this Decision - 09/24/2012

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 92 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

July 14, 2010

Per your phone call on 7/12/09, Please contact the Advocate for Injured Workers' at 1-800-580-6665 for further assistance.

For further action by the board, please comply with decision filed 7/12/10.

By: Ms. Smalls

Telephone: (866)746-0552

Your "W.C.B. Case No." is important. In the future, please refer to the "W.C.B. Case No." below so that we could expedite the processing of the correspondence you send us.

Su numero de caso "W.C.B. Case No." es importante. En el futuro, indique el numero de su caso "W.C.B. Case No." que aparece de abajo para poder porcesar la correspondencia que usted nos mande mas rapidamente.

1800 877 1373

Case Information

Social Security No.:

Carrier ID No.: W204002

Carrier Case No.: 050494331

L. L. Gulf Gas Station

Claimant: Benjamin Holmes

Employer: Louis Leon D/B/A

WCB Case No.: 07925837

Date of Accident: 07/30/1979

Insurance Carrier: State Insurance Fund

EC-88 (08/03)



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 11/28/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Claim is disallowed. Claim is barred by Sections 18 and 28.

Claimant's counsel notes exceptions.

. No further action is planned by the Board at this time.

Claimant -

Benjamin Holmes

Employer -

NYC Parks & Recreation

Social Security No. -WCB Case No. -

G047 7983

Carrier - Carrier ID No. -

City of New York W847008

Date of Accident -

11/01/2006

Carrier Case No. -

0846-12-02699

District Office -

NYC

Date of Filing of this Decision- 12/03/2012

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EC-23 (4/98) FILE COPY

Page 1 of 1

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 94 of 173

Copies To:

Claimant: Carrier:

Employer: Other:

Benjamin Holmes City of New York

NYC Parks & Recreation Joseph A. Romano Law Offices

Please see below for Recipients.

Benjamin Holmes PO Box 764 Bronx, NY 10469

NYC Parks & Recreation 24 West 61st St New York, NY 10023

City of New York c/o NYC Law Dept Workers' Compensation Division 350 Jay Street, 9th Floor Brooklyn, NY 11201

Joseph A. Romano Law Offices 703 Yonkers Avenue Yonkers, NY 10704

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 95 of 173

STATE OF NEW YORK WORKERS' COMPENSATION BOARD

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamfon, NY 13902-5205 100 Broadway Menands ALBANY 12241 State Office Building 44 Hawley Street BINGHAMTON 13901 295 Main Street Suite 400 BUFFALO 14203

130 Main Street W. ROCHESTER 14614 935 James Street SYRACUSE 13203

www.wcb.ny.gov

COVER SHEET - APPLICATION FOR BOARD REVIEW

		Consider Code	Carrier's Name	Date of Injury
VCB Case Number(s)	Carrier Case Number(s)	Carrier Code	City of Newyork	
				11/01/000
0477983	W847008	0846-12-02699	My.C.	11101/2000
CI	laimant's Name		/ Address	
, /	Idolmes	T. O. Bo	x 764 Brond 1	V. 4 104 69
E APPLICANT: This App	lication for Board Review may be fi	led with the Board by fax (1-877-533	-0337; see Subject No. 046-144), e-mail to one of the Board addresses listed at this form must be completed. The fall	(wcbclaimsfiling@wcb.ny. the top of this page. A copy
see Subject Nos. 046-144 s Application must be serve sted by this form may result	and 046-3/3), personal delivery to ed on all parties in interest. Secti It in dismissal of the Application. If	ons 1 and 2 on the reverse side of an additional attorney fee is being re up-to-date Form C-\$/8.6 must be att	this form must be completed. The fall quested, Form OC-400.1 must be attach ached and served on all parties.	ed and served on all parties.
LL OTHER BARTIES. An	y Rebuttal to this Application must on the reverse side of this form.	t be served on the Board within 30	days following the date on which the Ap	plication was served on the
his application is made o 【Claimant ☐Employe	er/Carrier Benjamı	n Holmes	Special Funds 🔏	Uninsured Employers' Fund
Attorney/Licensed Rep	resentative	(name)	N. A.	
This limition is made for	Review of WCLJ Decision	on (WCL § 23 and 12 NYCRR 30	0.13)	
(choose only one)	M Debouring of Reopening	(12 M CKK 300.14)		↑
n ou lie the desir	rion which is the subject of this i	application is: 11/28/26	12 Juge William	Dugar
he filing date of the decis	SION WHICH IS THE CONFESS OF THE	ecision Modification of t	he Decision	
The remedy sought is: 2	Administrative Correction of D Reversal of the Decision	Rescission of th		
his application arises fro	m an expedited hearing:	es No		
Specify the issue(s) for re	eview:		Special Funds Liability	en en en en en en en en en en en en en e
Employer/employee re	elationship	erage Weekly Wage horization of Treatment	Attorney/Licensed Repres	entative Fee
Accident	DSI Do	iod of Disability	Facial Award	
Occupational Disease		gree of Disability	Section 32 Denial	
☐ Notice ☐ Causal Relationship		imbursement	Disability Benefits	
Death Benefits	Per	nalty	☐ Discrimination ☐ Policy Coverage	
☐ Timely Claim Filing		CL § 114-a Disqualification	ATF Deposit	
Jurisdiction		portionment		
Specify the grounds for r	review (foundation, basis, or poi	nts) relied upon in raising the iss	ues identified above.	aring becouse
To Who!	LMY Conceann	1 730 Mamile HOLD	he poctor notation	Towest + b. the.
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Make reference to the re	ecord below, or such part thereo	f, as is relevant to the issue(s) ar		
where such issue(s) and	ground(s) were raised before to	ne Workers' Compensation Law		
Hearings (if mi	nutes are not transcribed, so	indicate):		
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THE WORKSON COMPENSATION ROARD FMPI DYS AND SERVES PEOPLE

(286)41853673-1 Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 96 of 173

HOTICE OF WORKERS COMPENSATION HEARING			W	State of New York ORKERS' COMPENSATION BOARD
Workers Compensation Board	Part 21	Date of Hearing 10/10/2012	Time 9:00 AM	District Office NYC
215 W. 125th Street, 4th Floor New York, NY 10027		WCB Case No. G0477983		(800) 877-1373
10027				WCB Home Page
·			11/01/2006	www.wcb.ny.gov
			Carrier ID No.	Carrier Case No.
Benjamin Holmes			W847008	0846-12-0269
PO Box 764 Bronx, NY 10469-0702	•		Benja	CLAIMANT amin Holmes

CLAIMANT: Bring this notice with you. Read important information on reverse side.

haddhadalahdallaalaallaaadhdadhdadhdadd

EMPLOYER

NYC Parks & Recreation

CARRIER

City of New York c/o NYC Law Dept

COPIES TO

Benjamin Holmes

Joseph A. Romano Law Offices

NOTICE OF PRELIMINARY HEARING:

See reverse side for important information about this preliminary hearing. Both Claimant and carrier are to be present prepared to furnish the information described on reverse side in order to fix a date for trial hearing. On the date set for trial hearing, the case will be decided on the evidence presented. There will be no further adjournment at that time except for good and sufficient cause.

PURPOSE OF HEARING:

Production of medical evidence.

EVIDENCE TO BE PRODUCED:

By Claimant: Claimant to produce medical.

IMPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

THE NEW YORK STATE WORKERS' COMPENSATION BOARD PROHIBITS VISITORS, EMPLOYEES, CLIENTS OR WITNESSES FROM CARRYING OR BEARING FIREARMS OR ANY OTHER WEAPON ON BOARD PREMISES.

Dated: 09/24/2012



ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 328 STATE STREET SCHENECTADY, NY 12305 www.web.ny.gov

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

MEMORANDUM OF BOARD PANEL DECISION

keep for your records

Opinion By: David R. Dudley Richard A. Bell

Linda Hull

The claimant's attorney requests review of the Workers' Compensation Law Judge (WCLJ) decision filed on December 3, 2012. The claimant has filed a pro se application for review. The self-insured employer, the City of New York (City), has filed a rebuttal.

ISSUES

The issues presented for administrative review are:

- 1. whether the claim is barred by Workers' Compensation Law (WCL) §18.
- 2. whether the claim is barred by WCL § 28.

FACTS

This is a controverted claim for chest pain. The claimant was employed by the City as a job training participant. The initially alleged date of injury was November 1, 2006.

In a C-3 form (Employee Claim for Compensation) filed on June 3, 2010, the claimant asserted that he had pain in his chest while working for New York City Parks and Recreation on

*** Continued on next page ***

NYC Parks & Recreation Claimant -Benjamin Holmes Employer -Carrier -City of NY Other Than Ed, High Social Security No. -G047 7983 Carrier ID No. -W847008 WCB Case No. -Carrier Case No. -0846-12-02699 Date of Accident -11/01/2006 Date of Filing of this Decision— 12/16/2013 District Office -NYC

ATENCION:

November 1, 2006. On the form, the claimant indicated that his first treatment was on December 29, 2004.

The City filed a C-7 form (Notice that Right to Compensation is Controverted) contending that there was no accident and no medical evidence supporting a causal relationship. Further, the City raised the following issues: accident/occupational disease within the meaning of the WCL; accident/occupational disease arising out of and in the course of employment; notice (WCL § 18); and timely filing (WCL § 28).

The claimant filed a C-3 form on July 25, 2012, asserting that there was an injury on May 27, 2006 and that the claimant experienced chest pain.

At a hearing held on November 28, 2012, the claimant's attorney noted that, while the medical records in the file do not specifically reference an injury that occurred at work, the entire medical file should be accepted as prima facie medical evidence (PFME). The WCLJ found no PFME.

In a decision filed on December 3, 2012, the WCLJ disallowed the claim, finding that the claim is barred by WCL § 18 and WCL § 28.

LEGAL ANALYSIS

In the application for review, the claimant's attorney asserts that WCL § 28 was waived because an "advance compensation" was made by the claimant's employer prior to the expiration of the two year statute of limitations. The City paid wages in recognition of the claimant's injuries. The claimant's attorney contends that the claimant went home during the workday and notified the employer, and that the claimant was paid for the entire day. The claimant notes that he did not have an opportunity to testify on the issue of WCL § 28, and therefore the WCLJ's decision should be rescinded and the matter returned for further development of the record on the issue of WCL § 28.

The claimant filed a pro se application for review, dated December 26, 2012, on December 31, 2012. The claimant requests a hearing because his lawyer "did not know how to read the Doctor notation." The claimant indicates that he has information that he got sick on the job and is willing to provide the information to the WCLJ.

In rebuttal, the City contends that the application for review should be denied because it was not properly served on all parties on the same date. Further, the claimant has not appealed the

*** Continued on next page ***

Claimant - Social Security No	Benjamin Holmes	Employer - Carrier -	NYC Parks & Recreation City of NY Other Than Ed, High
WCB Case No	G047 7983	Carrier ID No	W847008
Date of Accident -	11/01/2006	Carrier Case No	
District Office -	NYC	Date of Filing of th	is Decision- 12/16/2013

ATENCION:

disallowance of the claim under WCL § 18, and the City asserts that, since the claimant has not taken issue with the finding of WCL § 18, the appeal regarding WCL § 28 is moot. The City notes that the initial C-3 form alleged that the accident occurred on November 1, 2006, the revised C-3 form alleged that an accident occurred on May 27, 2006, and the claimant's medical indicates that the accident occurred in December 2006. The City asserts that, even using the date most favorable to the claimant, the C-3 form dated June 3, 2010 was filed nearly four years late. The claimant alleges an advanced payment of compensation as a defense for WCL § 28 for the first time on appeal, and it was never raised at any of the prior hearings. The City contends that the claimant waived the right to raise such a defense. The City has submitted timesheet records that show that the claimant did not miss any work on the alleged date of accident.

WCL § 18

"Workers' Compensation Law § 18 requires claimants seeking benefits to provide their employers with written notice of a compensable injury 'within thirty days after the accident causing such injury' (see Matter of Miner v Cayuga Correctional Facility, 14 AD3d 784 [2005]) ... Failure to provide such notice bars any claim, unless the Board excuses that failure on the ground that notice could not be given, the employer or its agent had knowledge of the accident, or the employer was not prejudiced (see Workers' Compensation Law § 18). The Board is not required to excuse a claimant's failure to give timely written notice even if one of these grounds is proven; the matter rests within the Board's discretion" (Matter of Dusharm v Green Is. Contr., LLC, 68 AD3d 1402 [2009]). When it is alleged that prompt oral notice was provided to the employer or to the employer's agent, "resolution of the sufficiency of a claimant's oral notice is a matter within the exclusive province of the Board" (id. quoting Matter of Pisarek v Utica Cutlery, 26 AD3d 619 [2006]). If a lack of prejudice to the employer is asserted, 'a claimant bears the burden of demonstrating that the employer was not prejudiced by any delay' (Matter of Flynn v Ace Hardware Corp., 38 AD3d at 1144; see Matter of Miner v Cayuga Correctional Facility, 14 AD3d at 785; Matter of Dempster v United Parcel Serv., 280 AD2d at 723)" (Matter of Ewool v Franklin Hosp. Med. Ctr., 43 AD3d 1019 [2008], lv denied 10 NY3d 711 [2008]).

In this case, the Board Panel notes that the claimant did not provide written notice within 30 days of the alleged accident. The Board Panel further finds that the claimant failed to demonstrate the applicability of any of the three grounds to excuse late notice under WCL § 18.

Therefore, the Board Panel finds, upon review of the record and based on a preponderance of the evidence, that the WCLJ appropriately found that the claim is barred by WCL § 18.

WCL § 28

*** Continued on next page ***

Claimant - Social Security No WCB Case No Date of Accident -	Benjamin Holmes G047 7983 11/01/2006	Employer - Carrier - Carrier ID No Carrier Case No	NYC Parks & Recreation City of NY Other Than Ed, High W847008 0846-12-02699
District Office -	NYC	Date of Filing of the	is Decision- 12/16/2013

ATENCION:

Pursuant to WCL § 28, a claim for compensation will be barred unless the claim is filed with the Board within two years of the accident date.

Under WCL § 28, remuneration or payments by an employer or its carrier in the form of wages, medical treatment, or other compensable expenses constitute advance payments that trigger the exception to the two-year claim-filing requirement, provided that the payments were made in recognition or acknowledgment of liability under the Workers' Compensation Law (see Matter of Schneider v Dunkirk Ice Cream, 301 AD2d 906 [2003]). When payments are made without regard to the cause of injury, there can be no finding of advance payment (see Matter of Kaschak v IBM Corp., 256 AD2d 830 [1998]).

In this case, the claim was filed more than four years late. The claimant raises an advanced payment of compensation as a defense to WCL § 28. The City submitted timesheet records that show that the claimant did not miss any work on the alleged date of accident.

The Board Panel finds the claimant's allegation of an advanced payment of compensation to be without merit. The Board Panel finds that the claimant has not met his burden of showing that the employer made an advance payment of compensation in recognition of its liability for his injury at work. The claimant does not have sufficient evidence of the employer's advanced payment of compensation.

Therefore, the Board Panel finds, upon review of the record and based on a preponderance of the evidence, that the claimant has not met his burden of showing that the employer made an advanced payment of compensation; that the claim is barred by WCL § 28; and that the claim was properly disallowed.

CONCLUSION

*** Continued on next page ***

Claimant -

Benjamin Holmes

Employer -Carrier -

NYC Parks & Recreation

Social Security No. -WCB Case No. -

G047 7983

City of NY Other Than Ed, High

Carrier ID No. -W847008 Carrier Case No. - 0846-12-02699

Date of Accident -District Office -

11/01/2006 NYC

Date of Filing of this Decision- 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1 (4/99) FILE COPY

Page 4 of 5

ACCORDINGLY, the WCLJ decision filed on December 3, 2012 is AFFIRMED. No further action is planned at this time.

All concur.

Claimant -

Benjamin Holmes

Employer -

NYC Parks & Recreation

Social Security No. -WCB Case No. -

G047 7983

Carrier -Carrier ID No. - City of NY Other Than Ed, High W847008

Date of Accident -

11/01/2006

District Office -

NYC

0846-12-02699 Carrier Case No. -

Date of Filing of this Decision— 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1 (4/99) FILE COPY

Page 5 of 5

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 102 of 173

Copies To: Claimant: Case #G047 7983 Benjamin Holmes

Carrier: Employer:

Other:

City of NY Other Than Ed, High NYC Parks & Recreation

Joseph A. Romano Law Offices

Please see below for Recipients.

Benjamin Holmes PO Box 764 Bronx, NY 10469 NYC Parks & Recreation 24 West 61st St New York, NY 10023 City of NY Other Than Ed, High Ed, Water Sup, Hlth & Hospital Workers' Compensation Division 350 Jay Street, 9th Floor Brooklyn, NY 11201

Joseph A. Romano Law Offices 703 Yonkers Avenue Yonkers, NY 10704



PATIENT'S NAME: HOLMES, Benjamin

MR NUMBER: 01287053

SURGEON'S NAME: JOSEPH DEROSE, M.D.

DATE OF SURGERY: 06-05-2007 TYPE OF REPORT: OPERATIVE REPORT

PREOPERATIVE DIAGNOSIS:

Mitral Regurgitation

POST-OPERATIVE DIAGNOSIS:

same

OPERATION:

Mini-mitral valve replacement

SURGEON:

Joseph J. DeRose, Jr., MD

ASSISTANT:

Joseph Rabin, MD

PATHOLOGY: The patient is a 54 year-old man with a PMHx of HTN and a strong family history of CAD who was admitted to the hospital 3 weeks ago with chest pain and SOB. Echocardiogram revealed severe MR with a restricted anterior leaflet consistent with prior rheumatic disease. Cardiac catheterization revealed no evidence of CAD and confirmed the MR with moderate pulmonary hypertension. At operation the anterior leaflet was forshortened and scarred. The commissures were fused and the posterior leaflet was likewise restricted. Mitral Valve Replacement: 25/33 ON-X (mechanical)

POCEDURE: After the induction of general double lumen endotracheal anesthesia, the patient was positioned in an anterolateral thoracotomy position with the right arm supported on a pillow over the head. The chest and groins were prepped and draped in the usual sterile manner.

A 5 cm anterolateral thoracotomy incision was made in the 5th interspace. The pericardium was opened and suspended with pericardial sutures. Next a small incision was made in the right groin and the femoral artery and femoral vein were dissected free. ACT guided heparinization was then administered and the femoral artery was cannulated via a Sledinger technique with a 20 Fr Fem-Flex cannula. Next the femoral vein was cannulated with a 22 Fr Cardiovations cannula which was passed to the SVC/RA junction under echo guidance.

An antegrade cardioplegia cannulae was inserted into the aorta.

Cardiopulmonary bypass was initiated. Sonnengard's groove was

dissected. A Chitwood clamp was inserted through the axilla and after

Page 1 of 3

HOLMES, BENJAMIN - 01287053 - MMC - 162893440



PATIENT'S NAME: HOLMES, Benjamin

MR NUMBER: 01287053

SURGEON'S NAME: JOSEPH DEROSE, M.D. DATE OF SURGERY: 06-05-2007

TYPE OF REPORT: OPERATIVE REPORT

DICTATED BY:

JOSEPH DEROSE, M.D.

JOSEPH DEROSE, M.D.

D: 06/12/2007

T: 06/13/2007

PMC/JA

J: 19701 DT:6:02 PM

A: 162893440

Page 3 of 3

Authenticated and Edited by Joseph J Derose, MD On 6/14/07 9:23:53 AM

LAW OFFICES OF JOSEPH A. ROMANO

20 South Broadway Yonkers, NY 10701 PHONE: (914) 965-1515 FAX: (914) 965-0410

Joseph A. Romano Antonio Otero Joju J. Thomas

Bushe Cal

January 20, 2009

Dear Client,

Please be advised that besides Worker's Compensation and Accident Cases, our firm also specializes in Social Security Disability and SSI claims. If you are currently out of work due to a Worker's Compensation claim or any other reason, you may qualify to apply for Social Security Disability or SSI benefits. If you would like to apply for any of these benefits, feel free to contact our office Monday to Friday 9:00AM to 8:00PM for a free consultation and procedures to apply.

Sincerely,

Joseph A Romano Esq. Law Offices of Joseph A Romano

JAR/ym

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 106 of 173

Lynne S. Beccaro* Andrew E. Berman** Nicholas P. DeMeo Nicholas N. DiSalvo Jose M. Grajales Bernard Han



703 Yonkers Avenue Yonkers, NY 10704
420 Lexington Avenue Ste. 626 New York, NY 10170
• Phone 914.965.1515 • 212.661.5886
• Fax 914.965.0410 • 212.661.5887

www.winningatlaw.com
• Toll Free 855.965.1515

David Hom Benai Lifshitz Anthony Brooks-Morgese Antonio J. Otero Joju J. Thomas*** Dan L. Wugman

* Member in NY and CT Bars ** Member in NY and NJ Bars *** Member in NY and MA Bars

October 18, 2013

Workers' Compensation Board P.O. Box 5205 Binghamton, NY 13902-5205 ATTN: Review Bureau

Claimant:

Benjamin Holmes

Employer: Carrier:

New York City Department of Parks and Recreation NYC Law Dept. Workers Compensation Division

Case#:

0846-12-02699

WCB#:

G0477983

DOA: Firm ID #: 05/27/2006 481607

Honorable Commissioners:

Please be advised our office represents the above-mentioned claimant. Please provide us with the status of the outstanding Application for Board Review.

Should you have any concerns or require further information please do not hesitate to contact our office.

Respectfully, Law Offices of Joseph A. Romano JAR/lp

Lisa Potenza

Phone: 914-965-1515 Ext. 2610 Direct Fax: 914-355-3337

E-MAIL: lisa@romanolegalservices.com

CC: Benjamin Holmes



City of New York Parks & Recreation

The Arsenal Central Park New York, New York 10021

Adrian Benepe Commissioner





Arsenal West 24 West 61st Street New York, New York 10023

David Terhune Director of Personnel

(212) 830-7851 david.terhune@parks.nyc.gov

October 16, 2006

Benjamin Francisco

Dear Benjamin Holmes:

Thank you for your dedicated service to the New York City Department of Parks and Recreation. We hope that you have found your seasonal employment both educational and rewarding. As you are already aware, your temporary position with our agency will end on 11/18/2006. This information has already been given to the Human Resources Administration (HRA) for the purpose of rebudgeting or restoring your public assistance case. You do not need to give this information to HRA at this time.

If you have not yet secured permanent employment you must apply for Unemployment Insurance Benefits by calling (888) 209-8124 after your last day of work. Failure to apply for unemployment insurance if you are eligible may jeopardize your eligibility for public assistance. HRA will call you into a Job Center to receive an employment assessment and appropriate work activities that will be determined upon discussion with you and the Worker at your Job Center appointment. HRA will require you to bring proof of your application for UIB to your call-in appointment. You will receive a separate notification from HRA for this interview. If you have obtained unsubsidized employment, please bring documentation regarding your new job, such as a letter of employment and/or paystub, to this interview. Should you have any questions concerning your public assistance case, call HRA at (212) 643-2881 x269.

Again, many thanks for your service and best of luck in your future efforts.

David Terhune

Director of Personnel

cc: Seth Diamond

· 1488年1795

WWW.Hyc.xov, pages

F.E.G.S

Behavioral and Health Related Services We Care Program. 5 FL 2432 Grand Concourse, Bronx, NY 10458 TEL: 718.741.7100 FAX: 718.220.1787 WEB: www.fegs.org

HEALTH AND HUMAN SERVICES SYSTEM

TODAY'S DATE: 1 OOU

CONFIRMATION OF SSI/SSD CASE STATUS

CLIENT'S NAME: DOMIQMIN HOLMES

HRA NUMBER: 218592-01

SOCIAL SECURITY NUMBER: 100-42-3996

DATE FILED FOR SSI/SSD/APPEAL: 7/1405

CASE STATUS: IS in Appeals

To Whom It May Concern,

This letter is to inform you, the above individual previously applied for SSI/SSD Federal Benefits and/or filed an Appeal in His/her SSI/SSD case status is currently HI HIOLESS OF HIOLESS

Frances Byers – Entitlement Case Manager Specialist (718) 741-7146 Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 109 of 173

New York State Office of Temporary and DisabilityAssistance Division of Disability Determinations PO BOX 9009 ENDICOTT NY 13761-9009

(607)741-4041 Toll Free: 1-800-522-5511 Fax: 1-866-799-9182

www.OTDA.State.NY.US/DDD

05/31/05

BENJAMIN HOLMES 762 E 211TH ST PH BRONX NY 10467 SSN 100-42-3996

On 05/02/05 we wrote to explain that this office is responsible for obtaining information in connection with the above named individual's application for Social Security benefits.

WE HAVE NOT RECEIVED YOUR COMPLETED WORK HISTORY REPORT AND DAILY ACTIVITIES FORM WHICH WE MAILED TO YOU ON 5/2/05. THIS INFORMATION IS IMPORTANT TO YOUR CLAIM FOR SOCIAL SECURITY DISABILITY BENEFITS AND CHECKS.

If we do not hear from you by 06/10/05 a decision may be made based on the information currently in the file.

If you require assistance or have any questions, please contact me at the telephone number above.

Si ud no habla Ingles, por favor obtenga alguna persona que hable Ingles para que pueda llamarnos de parte suya.

Sincerely yours,
D FREEMAN ext 4041
Disability Analyst - Unit A001

State of New York - Workers' Compensation Board
Fill out this form to apply for workers' compensation benefits because of a work injury or work-related illness. Type or print neatly. This form may also be filled out on-line at www.wcb.state.ny.us.

WC	CB Case Number (if you know it): <u>G0/23 585</u>	
	No. 10. 1 to the contract of t	<u> </u>
	YOUR INFORMATION (Employee) 1. Name: Benjamin Holmes 2. Date of Birth: B4 191	
•	3. Mailing address: State Step Code State Zip Code	
	4. Social Security Number: 100= 42-39965. Phone Number: (9/7) 977 473 86. Gender: ☑ Male ☐ Fel	male
	7. Do you speak English? X Yes No If no, what language do you speak?	
В.	YOUR EMPLOYER(S)	
	1. Employer when injured: New York Tarks & Recreation 2. Phone Number:	
	1. Employer when injured: New York Parks & Recreation 2. Phone Number: () 3. Your work address: 24 west let Street New York Syste Zip Code	23
	4. Date you were hired: 5 / 18/06 5. Your supervisor's name: Perez Shea	<u> </u>
	6. List names/addresses of any other employer(s) at the time of your injury/illness:	
	7. Did you lose time from work at the other employment(s) as a result of your injury/illness?	
C	VOLID IOR on the date of the injury or illness	
.	1. What was your job title or description?	
	2. What types of activities did you normally perform at work? Cut Gass	
	2. What types of activities did you normally perform at work.	,
	3. Was your job? (check one)	
	4. What was your gross pay (before taxes) per pay period? 608 5. How often were you paid?	
	6. Did you receive lodging or tips in addition to your pay? Yes No If yes, describe:	
•	6. Did you receive longing of tips in addition to your pay? — Tes 122 No 11 yes, decombs.	
D.	YOUR INJURY OR ILLNESS	
	1. Date of injury or date of onset of illness: 1/1/1/06 2. Time of injury: 12 AM PM	
	3. Where did the injury/illness happen? (e.g., 1 Main Street, Pottersville, at the front door)	
	3. Where did the mary miness happon. (o.g., 1. main executive and 1.	
	4. Was this your usual work location? X Yes No If no, why were you at this location?	
	4. Was this your usual work location? Let res \(\) 100 miles and \(\) Cho seek	
	West Home with pain in my Cheset	
	5. What were you doing when you were injured or became ill? (e.g., unloading a truck, typing a report) Cuting 6 ass	
	Went Home with Pain in my Chest	
	6. How did the injury/illness happen? (e.g., I tripped over a pipe and fell on the floor)	·
	o, flow did the injury/inflood happen (e.g., t a pre-	
	(iii)	
	7. Explain fully the nature of your injury/illness; list body parts affected (e.g., twisted left ankle and cut to forehead):	

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 111 of 173

	YOUR NAME: Ben Jamin Holmes DATE OF INJURY/ILLNESS:
D.	YOUR INJURY OR ILLNESS continued
	8. Was an object (e.g., forklift, hammer, acid) involved in the injury/illness?
	9. Was the injury the result of the use or operation of a licensed motor vehicle?
	If your vehicle was involved, give name and address of your motor vehicle insurance carrier:
,	10. Have you given your employer (or supervisor) notice of injury/illness? No If yes, notice was given to: Levez Shea
	11. Did anyone see your injury happen? Yes No Unknown If yes, list names:
F	RETURN TO WORK
Ben X	1. Did you stop work because of your injury/illness? Yes, on what date? 12/25/06 No, skip to Section F.
•	2. Have you returned to work? Yes No If yes, on what date? // / regular duty limited duty
	3. If you have returned to work, who are you working for now? Same employer New employer Self employed
F.	4. What is your gross pay (before taxes) per pay period? I GO O Problem Week How often are you paid? Favery 2 week MEDICAL TREATMENT FOR THIS INJURY OR ILLNESS
	1. What was the date of your first treatment? 12/2/04 None received (skip to question F-5)
	2. Were you treated on site?
	3. Where did you receive your first off site medical treatment for your injury/illness?
	Broad New York 10467 Phone Number: (718920227
	4. Are you still being treated for this injury/fillness? Yes No Give the name and address of the doctor(s) treating you for this injury/illness: One Rose Selve Medical Property of the doctor o
	1575 Blandell Ave: Brank Nov. 1046 (Phone Number: (7/8) 4058371
	5. Do you remember having another injury to the same body part or a similar illness? Yes No
	If yes, were you treated by a doctor? Yes No If yes, provide the names and addresses of the doctor(s) who treated
	you and COMPLETE AND FILE FORM C-3.3 TOGETHER WITH THIS FORM:
	6. Was the previous injury/illness work related?
	If yes, were you working for the same employer that you work for now? Yes No
Î a	If yes, were you working for the same employer that you work for now? Yes No am hereby making a claim for benefits under the Workers' Compensation Law. My signature affirms that the information I am providing is true not accurate to the best of my knowledge and belief.
	Any person who knowingly and with INTENT TO DEFRAUD presents, causes to be presented, or prepares with knowledge or belief that it will be presented to, or by an insurer, or self-insurer, any information containing any FALSE MATERIAL STATEMENT or conceals any material fact, SHALL BE GUILTY OF A CRIME and subject to substantial FINES AND IMPRISONMENT.
Emp	ployee's Signature: Print Name:Date:/
On	behalf of Employee; Date: / /
l ce	n individual may sign on behalf of the employee only if he or she is legally authorized to do so and the employee is a limiter, mediated to the legality authorized to do so and the employee is a limiter, mediated to individual the allegations and other factual ters asserted above have evidentiary support, or are likely to have evidentiary support after a reasonable opportunity for further investigations or discovery.
	nature of Attorney/Representative (if any): Date:
Prir	it Name:Title:
ID N	No., if any: R If Licensed Representative, License No.: Expiration Date:/
	0.00 Paris 0.46

Instructions for Completing Form C-3, "Employee Claim"

Please complete this form and send it to your local Workers' Compensation Board district office (DO) to apply for workers' compensation benefits. The addresses are listed at the bottom of these instructions. If you need additional help in completing this form, contact the Workers' Compensation Board at 1-877-632-4996. You may also fill this form out online at: http:// www.wcb.state.nv.us/

If you do not have or know your Workers' Compensation Board Case Number, please leave this field blank. It is not required to process your claim. Remember to enter your name and the date of your injury/illness on the top of page two.

Section A - Your Information (Employee):

Item 1: Enter your full name, including first name, middle initial, and last name.

Item 2: Enter your date of birth in month/day/year format. Include the four digit year.

Item 3: Enter your mailing address, including P.O. Box, if applicable, city or town, state, and Zip code.

Item 4: Enter your Social Security Number. This is very important to help service your claim faster.

Item 5: Indicate the primary contact phone number, including area code. This may include a cell phone number.

Item 6: Indicate your gender (Male or Female).

Item 7: Check Yes if you can speak and understand English. If not, then check No and indicate which language you speak.

Section B - Your Employer(s):

Item 1: Indicate the employer you were working for at the time you were injured or became ill.

Item 2: Enter the phone number for this employer, either a primary contact number or the number for your supervisor.

Item 3: Enter the employer's address, including P.O. Box, if applicable, city or town, state, and Zip code.

Item 4: Indicate the date you were hired by this employer.

Item 5: Enter your direct supervisor's name, whom you report to on a regular basis.

Item 6: If you have more than one job, please indicate the names and addresses of all other employers you work for besides the one you were injured at. Please attach a separate sheet if you need more room.

Item 7: Check Yes if you lost time from any of your other jobs as a result of your injury or illness; otherwise, check No.

Section C - Your Job on the Date of the Injury or Illness:

Item 1: Indicate your current job title or job description (e.g., warehouse worker).

Item 2: Indicate your typical work activities for this job (e.g., keeping inventory, unloading trucks, etc.).

Item 3: Check the type of job you had.

Item 4: Enter your gross pay (before taxes) per pay period.

Item 5: Indicate how often you received a paycheck (weekly, bi-weekly, etc.).

Item 6: Indicate if you received any tips or lodging in addition to your regular pay. If you did, describe them.

Section D - Your Injury or Illness:

Item 1: Enter the date when you were injured or the first date you noticed you became ill. Enter the date in month/day/year format. Include the four digit year. If this is an illness or occupational disease, then skip item 2.

Item 2: Enter the time when the injury occurred. Check whether it was AM or PM.

Item 3: Indicate the location where the injury/illness occurred, including the address of the building and the physical location in the building where the injury/illness happened.

Item 4: Check whether this was your normal work location. If it was not, explain why you were at this location.

Item 5: Describe in detail what you were doing at the time of the injury/illness (e.g., unloading boxes from a truck by hand). This explains the events leading up to the injury.

Item 6: Describe in detail how the injury/illness occurred (e.g., I was lifting a heavy box off a truck). This should include all people and events involved in the injury/illness.

Item 7: Indicate fully the nature and extent of your injury/illness, including all body parts injured. Be as specific as possible. (e.g., I strained my back trying to lift a heavy box. It hurts to bend over or hold even lighter objects now.)

Item 8: Indicate if some object was involved in the accident OTHER THAN a licensed motor vehicle. Other objects may include a tool (e.g., hammer), a chemical (e.g., acid), machinery (e.g., forklift or drill press), etc.

Item 9: Indicate if a licensed motor vehicle was involved in the accident. If so, check if the motor vehicle involved was yours, your employer's, or a third party's. Include the license plate number (if known). If your vehicle was involved, fill out the name and address of your automobile liability insurance carrier.

Item 10: Check if you gave your employer or supervisor notice of your injury or illness. If so, indicate who you gave notice to as well as if it was orally or in writing. Include the date you gave notice.

Item 11: Check if anyone else saw the injury happen. If anyone did see it, include their name(s).

Section E - Return to Work:

Item 1: If you stopped working as a result of your work-related injury/illness, check Yes and indicate on what date you stopped working. If you have not stopped working, check No and skip to the next section.

C-3.0 (3-09)

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 113 of 173

Section E - Return to Work (cont):

Item 2: If you have since returned to work, check Yes. Also indicate on what date you started working again, as well as if you have returned to your Normal Duties or if you are on Limited or Restricted Duty. (If you have not returned to your full pre-injury or illness work duties, then you are on Limited Duty.)

Item 3: If you have returned to work, indicate who you are working for now.

Item 4: Enter your gross pay (before tax pay) per pay period for the job you are working at now. Indicate how often you are receiving a paycheck (weekly, bi-weekly, etc.).

Section F - Medical Treatment for This Injury or Illness:

Item 1: If you did not receive medical treatment for this injury/illness, check None Received and skip to item 5. Otherwise, enter the date you first received treatment for this injury/illness and complete the rest of this section.

Item 2: Check if you were first treated on the job for this injury or illness.

Item 3: Check the location where you first received off site medical treatment for your injury or illness. Include the name and address of the facility as well as the phone number (including area code).

Item 4: If you are still receiving ongoing treatment for the same injury or illness, check Yes and indicate the name and

address of the doctor(s) providing treatment as well as the phone number (including area code); otherwise check No.

Item 5: If you believe you already had an injury to the same body part or a similar illness, check Yes and indicate if you were treated by a doctor for this injury or illness. If you were treated by a doctor, indicate the name(s) and address(es) of the doctor(s) whom provided care and complete and file Form C-3.3 together with this form.

Item 6: If you had a previous injury or illness, check if your previous injury or illness was work-related. If Yes, check if

the injury or illness happened while working for your current employer.

Sign Form C-3 in the place provided for "Employee's Signature on page 2, print your name, and enter the date you signed the form. If a third-party is signing on behalf of the employee, that person should sign on the second signature line. If you have legal representation, your representative must complete and sign the attorney/representative's certification section on the bottom of page 2.

What Every Worker Should Do in Case of On-The-Job Injury or Occupational Disease:

Immediately tell your employer or supervisor when, where and how you were injured.

Secure medical care immediately.

Tell your doctor to file medical reports with the Board and with your employer or its insurance carrier.

Make out this claim for compensation and send it to the nearest Workers' Compensation Board Office. (See below.) Failure to file within two years after the date of injury may result in your claim being denied. If you need help in completing this form, telephone or visit the nearest Workers' Compensation Board Office listed below.

Go to all hearings when notified to appear.

Go back to work as soon as you are able; compensation is never as high as your wage.

Your Rights:

Generally, you are entitled to be treated by a doctor of your choice, provided he/she is authorized by the Board. If your employer is involved in a preferred provider organization (PPO) arrangement, you must obtain initial treatment from the preferred provider organization which has been designated to provide health care services for workers' compensation injuries.

DO NOT pay your doctor or hospital. Their bills will be paid by the insurance carrier if your case is not disputed. If your case is

the doctor or hospital must wait for payment until the Board decides your case. In the event you fail to prosecute your case or the Board decides against you, you will have to pay the doctor or hospital.

You are also entitled to be reimbursed for drugs, crutches, or any apparatus properly prescribed by your doctor and for carfares or other necessary expenses going to and from your doctor's office or the hospital. (Get receipts for such expenses.)
You are entitled to compensation if your injury keeps you from work for more than seven days, compels you to work at lower wages,

or results in permanent disability to any part of your body.

Compensation is payable directly and without waiting for an award, except when the claim is disputed.

Injured workers or dependents of deceased workers may represent themselves in matters before the Board or may retain an attorney or licensed representative to represent them. If an attorney or licensed representative is retained, his/her fee for legal services will be reviewed by the Board and if approved will be paid by the employer or insurance company out of any compensation benefits due. Injured workers or dependents of deceased workers should not directly pay anything to the attorney or licensed representative representing them in a compensation case.

If you need help returning to work, or with family or financial problems because of your injury, contact the Workers' Compensation

Board office nearest you and ask for a rehabilitation counselor or social worker.

This form should be filed by sending directly to the appropriate WCB district office (DO) at the address listed below:

Albany DO - 100 Broadway-Menands, Albany NY 12241 (866) 750-5157 (for accidents in the following counties: Albany, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Ulster, Warren, Washington) Binghamton DO - State Office Building, 44 Hawley Street, Binghamton NY13901 (866) 802-3604 (for accidents in the following counties: Broome, Chemung, Chenango, Cortland, Delaware, Otsego, Schuyler, Sullivan, Tioga, Tompkins)

Buffalo DO - 369 Franklin Street, Buffalo NY 14202 (866) 211-0645 (for accidents in the following counties: Cattaraugus, Chautauqua, Erie, Niagara) Rochester DO - 130 Main Street West, Rochester NY 14614 (866) 211-0644 (for accidents in the following counties: Allegany, Genesee, Livingston,

Monroe, Ontario, Orleans, Seneca, Steuben, Wayne, Wyoming, Yates)

Syracuse DO - 935 James Street, Syracuse NY 13203 (866) 802-3730 (for accidents in the following counties: Cayuga, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence)

Downstate Centralized Mailing - PO Box 5205, Binghamton NY, 13902-5205 for all DO's in NYC (800) 877-1373; in Hempstead (866) 805-3630; in Hauppauge (866) 681-5354; in Peekskill (866) 746-0552 (for accidents in the following counties: Bronx, Kings, Nassau, New York, Orange, Putnam, Queens, Richmond, Rockland, Suffolk, Westchester)

(4017)36111789-1

Copies To: Claimant: Carrier: Employer:

Benjamin Holmes State Insurance Fund Louis Leon D/B/A

Other:

Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

NOTICE TO INJURED WORKER

- 1. Any compensation due will be sent to you by check by the employer or insurance carrier.
- 2. Keep a careful record of the payments received in order that you may have evidence of payment or nonpayment in case of dispute.
- 3. Do not pay anything to anyone representing you. If you hire a lawyer or licensed representative, the fee will be set by a W.C.Law Judge. The fee will be deducted from your award and paid by separate check directly to the lawyer or licensed representative by the employer or the insurance carrier.
- 4. Except for Volunteer Firefighters' and Volunteer Ambulance Workers' claims, no lost wage benefits are paid for the first seven days of disability unless the disability extends beyond 14 days.
- 5. If your case was continued and the Judge directed that your benefits are to continue, the insurance company or self-insured employer must keep paying you until:
 - (a) you have another hearing and the Judge stops or changes your benefits

or

- (b) your employer or insurance company has evidence that you have returned to work at regular pay or a report from your doctor stating you have no disability and submits this evidence to the Workers' Compensation Board.
- 6. If you wish to apply for administrative review of any part or all of the Judge's decision, your application must be in writing and received by the Board within 30 days of the filing date of this decision. The filing date is on the other side of this form in the lower right-hand corner. You may deliver your application in person to the District office or send it by mail.
- 7. If you have any further questions, you may contact your district office by mail or by telephone. The address of your district office is:

STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205

Phone Number: (866) 746-0552



STATE OF NEW YORK WORKERS'COMPENSATION BOARD

DISABILITY BENEFITS BUREAU 100 BROADWAY – MENANDS ALBANY, NY 12241-0005 1-800-353-3092

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION

Date: January 8, 2010

NOTICE OF REJECTION OF CLAIM FOR DISABILITY BENEFITS

AVISO DE RECHAZO DE RECLAMACION DE BENEFICIOS POR INCAPACIDAD (Special Fund for Disability Benefits)

		BENJAMIN HOLMES		January 6, 2010
		PO BOX 764	Claimant's SS No.:	XXX-XX-3996
		BRONX, NY 10469		
		BROWN, NT 10403		
You belo		hereby notified that your claim for Disability Benefits is rejected un	nder the Disability Benef	its Law for the reason(s) checked
Q	1.	Your claim was not filed within 26 weeks after the date your disa	ability commenced	First day of disability
	2.	Your claim was not filed within 30 days after the date your disab (See item 4 on reverse.)	oility commenced.	Date claim filed
		☐ A. No benefits payable☐ B. Payments are being made beginning two weeks prior to the		
		Benefits from the Special Fund are provided for the unemployed Insurance. Since you were not claiming and/or receiving Unemployed by law, you are not entitled to benefits from the Special IF YOU CONTEST THE REJECTION OF YOUR CLAIM FOR THE WERE CLAIMING OR RECEIVING UNEMPLOYMENT INSURANCE OFFICE.	Fund. IIS REASON, FORWAF NCE BENEFITS. THIS	RD TO US EVIDENCE THAT YOU EVIDENCE MAY BE OBTAINED
	4.	Disability Benefits are payable only for disabilities which commer employment. The information in your claim indicates that your ditermination of your last employment.	nce within the first twent sability commenced mo	y-six weeks following termination of tre than twenty-six weeks after the
		Last Day Worked 26 Weeks Ended Disability Began		
	5.	You have not complied with our requests for information necessary	ary to process your clair	n. (See Item 7, below.)
		The medical reports on file do not indicate you were totally disable Benefits. Your claim is, therefore, rejected for the period beyond additional medical evidence immediately.	, ii you were on	
X	7.	Other: 1) INFORMATION CONTAINED IN YOUR CLAIM INDICE FROM AN ACCIDENTAL INJURY ARISING IN AND OUT OF THE OCCUPATIONAL DISEASE. THE DISABILITY BENEFITS LAW PAYABLE FOR ANY PERIOD FOR WHICH WORKERS' COMP 2) UNDER SECTION 206.1A ANY PERIOD FOR WHICH BENEIFTS IS NOT PAYABLE. DUPLICATION OF BENEFITS IS 3) BE ADVISED TO REOPEN YOUR COMPENSATION	V PROVIDES THAT NO PENSATION BENEFITS CH YOU ARE COLLECTED.	DISABILITY BENEFITS ARE ARE PAID OR PAYABLE. TING SOCIAL SECURITY DISABILITY
		TO CLAIMANT: READ IMPORTANT INSTRUCTIONS FOR RE	QUESTING REVIEW ON	REVERSE OF THIS FORM. ES IMPORTANTES PARA

SOLICITAR REVISION.

I Talk with

Case 1:18-cv-108175290CM 8D109ulfterAM2 PAGE 09/24/185 Page 116 of 173

Received by WCB Fax on 7/1/2011 8:26:50 AM

STATE OF NEW YORK WORKERS' COMPENSATION BOARD

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamton, NY 13902-5205 100 Broadway Menands ALBANY 12241 State Office Building 44 Hawley Street BINGHAMTON 13901 295 Main Street Suite 400 BUFFALO 14203

130 Main Street W. ROCHESTER 14614 935 James Street SYRACUSE 13203

		ATION FOR DECC	DUSIDERATION / FULL	BOARD REVIEV
COVER SHEET	REBUTTAL OF APPLIC		Carrier's Name	Date of Injury
WCB Case Number(s)	Carrier Case Number(s)	Carrier Code		7/30/1979
0792 5837	33786765-167	W204002	The State Insurance Fund	
Claimar	at's Name		Address	
	Benjamin Holmes	PO Box 764, Bronx	, NY 10469	
•				
				(1 077 532 0327; can
oject No. 046-144), e-mai by mailing to one of the B 12 on the reverse side of t	outtal of an Application for Reconside (webclaimsfiling@web.state.ny.us; oard addresses listed at the top of this his form must be completed. The fail	see Subject 1905, 040-144 a	ttal must be served on all parties in	interest, Sections 1
buttal.	lf of:			
This rebuttal is made on beha Claimant			☐ Special Funds □	Uninsured Employers' Fu
Claimant & Employon Co		ame)		
his rebuttal is in response to	an application for:	ull Board Review Full Board Review		
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he application was served u	pon the above cited party on: 6/16/2011			1
he filing date of the Memor	andum of Decision which is the subject of	the application for Reconsider	ration / Pull Board Review is. 1120/201	
his rebuttal contends that th				
M. Amintion for Recorsi	deration / Full Board Review should be de	enied.		
Memorandum of Decisi	on should be administratively corrected to on should be affirmed in its entirety on should be modified as to:) read.	-	
	nd/or conclusion(s) of law made in the dec firmed because claim is time-barred under 1999 application to reopen is too late and	r WCL sec. 123. Statute's 18-y	ear time limit starts from 1979 injury ande.	d eight-year limit starts from
Does the record cited in the	application constitute the full record for re	eview?: Yes No	:	
If Yes, do you rest on that i	record?:	,		•
If No, and you contend the documents, and transcript application, and complete	at the record cited in the application of in the WCB's electronic file that are the record for review:	receivant to the issue(s) man	ancetion I am Indge and evidence D	resented
Hearings: pro- pertaining to the so indicate:	vide date(s) where issue(s) was raised at the issue(s) and ground(s) raised and d	locument ID number if appl	licable. If hearing minutes have not	been transcribed,
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ADMINISTRATIVE REVIEW DIVISION WORKERS' COMPENSATION BOARD 328 STATE STREET SCHENECTADY, NY 12305 www.wcb.ny.gov

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

MEMORANDUM OF BOARD PANEL DECISION

keep for your records

Opinion By: David R. Dudley Richard A. Bell Linda Hull

The claimant's attorney requests review of the Workers' Compensation Law Judge (WCLJ) decision filed on December 3, 2012. The claimant has filed a pro se application for review. The self-insured employer, the City of New York (City), has filed a rebuttal.

ISSUES

The issues presented for administrative review are:

- 1. whether the claim is barred by Workers' Compensation Law (WCL) §18.
- 2. whether the claim is barred by WCL § 28.

FACTS

This is a controverted claim for chest pain. The claimant was employed by the City as a job training participant. The initially alleged date of injury was November 1, 2006.

In a C-3 form (Employee Claim for Compensation) filed on June 3, 2010, the claimant asserted that he had pain in his chest while working for New York City Parks and Recreation on

** Continued on next page ***

Claimant -Benjamin Holmes Social Security No. -Carrier -

Employer -

NYC Parks & Recreation

WCB Case No. -Date of Accident -

G047 7983

Carrier ID No. -

City of NY Other Than Ed, High W847008

11/01/2006

Carrier Case No. -

0846-12-02699

District Office -

NYC

Date of Filing of this Decision- 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EBRB-1 (4/99) FILE COPY

disallowance of the claim under WCL § 18, and the City asserts that, since the claimant has not taken issue with the finding of WCL § 18, the appeal regarding WCL § 28 is moot. The City notes that the initial C-3 form alleged that the accident occurred on November 1, 2006, the revised C-3 form alleged that an accident occurred on May 27, 2006, and the claimant's medical indicates that the accident occurred in December 2006. The City asserts that, even using the date most favorable to the claimant, the C-3 form dated June 3, 2010 was filed nearly four years late. The claimant alleges an advanced payment of compensation as a defense for WCL § 28 for the first time on appeal, and it was never raised at any of the prior hearings. The City contends that the claimant waived the right to raise such a defense. The City has submitted timesheet records that show that the claimant did not miss any work on the alleged date of accident.

WCL § 18

"Workers' Compensation Law § 18 requires claimants seeking benefits to provide their employers with written notice of a compensable injury 'within thirty days after the accident causing such injury' (see Matter of Miner v Cayuga Correctional Facility, 14 AD3d 784 [2005]) ... Failure to provide such notice bars any claim, unless the Board excuses that failure on the ground that notice could not be given, the employer or its agent had knowledge of the accident, or the employer was not prejudiced (see Workers' Compensation Law § 18). The Board is not required to excuse a claimant's failure to give timely written notice even if one of these grounds is proven; the matter rests within the Board's discretion" (Matter of Dusharm v Green Is. Contr., LLC, 68 AD3d 1402 [2009]). When it is alleged that prompt oral notice was provided to the employer or to the employer's agent, "resolution of the sufficiency of a claimant's oral notice is a matter within the exclusive province of the Board" (id. quoting Matter of Pisarek v Utica Cutlery, 26 AD3d 619 [2006]). If a lack of prejudice to the employer is asserted, 'a claimant bears the burden of demonstrating that the employer was not prejudiced by any delay' (Matter of Flynn v Ace Hardware Corp., 38 AD3d at 1144; see Matter of Miner v Cayuga Correctional Facility, 14 AD3d at 785; Matter of Dempster v United Parcel Serv., 280 AD2d at 723)" (Matter of Ewool v Franklin Hosp. Med. Ctr., 43 AD3d 1019 [2008], lv denied 10 NY3d 711 [2008]).

In this case, the Board Panel notes that the claimant did not provide written notice within 30 days of the alleged accident. The Board Panel further finds that the claimant failed to demonstrate the applicability of any of the three grounds to excuse late notice under WCL § 18.

Therefore, the Board Panel finds, upon review of the record and based on a preponderance of the evidence, that the WCLJ appropriately found that the claim is barred by WCL § 18.

WCL § 28

*** Continued on next page ***

Claimant -	Benjamin Holmes	Employer -	NYC Parks & Recreation
Social Security No		Carrier -	City of NY Other Than Ed, High
WCB Case No	G047 7983	Carrier ID No	W847008
Date of Accident -	11/01/2006	Carrier Case No	0846-12-02699
District Office -	NYC	Date of Filing of th	is Decision- 12/16/2013

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).



STATE OF NEW YORK WORKERS' COMPENSATION BOARD 180 LIVINGSTON STREET BROOKLYN, NY 11248

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT R. SNASHALL CHAIRMAN Date:

AUG 0 2 1999

Benjamin Holines 4110 thil Avenue Bronx, n. y. 10466

•			EMPLOYER
	TARRIED CASE NO	CLAIMANT	
WCB CASE NO.	CARRIER CASE NO.		
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07925837	-	1102000	
1017000			

In accordance with your request, the above case

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Office. Once eighteen years have Joann Shelton
Director of Claims

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destroyed. Any greations, please

feel free to contact as at

OC-650.1 (6-96) (7,8) 502 - 6938. 718-402 WING

Shank you.

8-9-2010



Arsenal West 24 West 61st Street New York, New York 10023

Adrian Benepe Commissioner

October 26th, 2006

To Whom It May Concern:

This is to confirm that / September 1960 attended the Parks Opportunity Program Job Fair on Thursday, October 26th, 2006. Please credit him/her for 8 hours.

If you have any further questions, please call me at 212-830-7754.

Sincerely,

Catherine Frangioni
Assistant Director
Marketing & Employment Services
Parks Opportunity Program

Gal Lavid Employment Specialist Parks Opportunity Program Katia Zaharieva
Assistant Director
Job Development
Parks Opportunity Program

Jason Deo Program Analyst Parks Opportunity Program

SEL SEL	Employee Claim	C-3
	State of New York - Workers' Compensation Board Fill out this form to apply for workers' compensation benefits because of a work injury or work-related illness. print neatly. This form may also be filled out on-line at www.wcb.state.nv.us.	Type or
WC	B Case Number (If you know it): G 0.123 58.5	
Α.		19153
	YOÙR INFORMATION (Employee) 1. Name: Denjamin Holmes 2. Date of Birth: 04 1.	9
	3 Mailing address: P.O. Box 164 1510712 Tocob	
	4. Social Security Number: 100= 42-37 765. Phone Number: (4/7) 477 473 6. Gender: Male	☐ Female
	7. Do you speak English? X Yes \(\sum \) No If no, what language do you speak?	-
В.	YOUR EMPLOYER(S) 1. Employer when injured: New York Parks & Recreation 2. Phone Number ()	1
	3. Your work address: 24 West Col Street New York Not Stored	0023
	3. Your work address: A G Number and Street Car Car Car Car Car Car Car Car Car Car	Zip Code
	4. Date you were hired: 51/8106 5. Your supervisor's name: Perez Shea:	
•	6. List names/addresses of any other employer(s) at the time of your injury/fillness:	
c.	7. Did you lose time from work at the other employment(s) as a result of your injury/illness? YOUR JOB on the date of the injury or illness 1. What was your job title or description?	***************************************
	2. What types of activities did you normally perform at work? Court Gass	
	2. What types of addition did your sound, per	-
	3. Was your job? (check one)	-
	4. What was your gross pay (before taxes) per pay period? 608 000 5. How often were you paid?	<u> </u>
•	6. Did you receive lodging or tips in addition to your pay? Yes IX No If yes, describe:	**************************************
•	O. Did you receive longing of the in greatest to year pay.	<u> </u>
D.	YOUR, INJURY OR ILLNESS 1. Date of injury or date of onset of illness: 1/1/1/166 2. Time of injury: 1/2 AM 2	PM
	3. Where did the injury/illness happen? (e.g., 1 Main Street, Pottersville, at the front door)	
	4. Was this your usual work location? Yes No If no, why were you at this location?	
	West Home with pain in my Choset	
	The former would being when you were injured or became ill? (e.g., unloading a truck, typing a report) Cutter of	45.S
	Went Home with Pain in my Chest	-
	·	
	6: How did the injury/illness happen? (e.g., I tripped over a pipe and fell on the floor)	*
		.1
	7. Explain fully the nature of your injury/illness; list body parts affected (e.g., twisted left ankle and cut to forehead):	- the same of the
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STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(877) 632-4996

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF PROPOSED DECISION

keep for your records

This decision makes legal findings about your on-the-job injury. It was made based on information in the Board's file as of this date.

The Findings section of this decision may state information such as what part of your body was injured; how much you were earning before you got hurt; how long you were out of work; whether you were entitled to be paid compensation benefits while you were out of work; the amount of weekly workers' compensation benefits; and if you have approval for medical treatment.

These legal findings are important and may limit your claim for workers' compensation benefits. If you DISAGREE with any part of this decision you must OBJECT. Write your objection on the back of this form and return it to the address listed above. The proposed decision will become FINAL on 8th day of July, 2014 so ANY OBJECTION to it must be RECEIVED by the Board BEFORE that date to be considered timely. Objections received on or after that date, will not be considered.

If you DO NOT UNDERSTAND this decision, you may contact the Board at 1-877-632-4996 for further information.

If you are not represented by legal counsel, you may want to consult an attorney or a licensed representative to assist you with your claim. An attorney or a licensed representative cannot charge you directly for representation in a workers' compensation case. If there is an award in your case, any legal fee request must be approved by the Board and will be deducted from the award to you by the insurance carrier and paid directly to the attorney or the licensed representative.

PROPOSED DECISION

FINDINGS: Form(s) C-8.1 which raised issues relating to treatment and/or disputed medical bills are resolved in favor of the carrier C-8.1B dated 3/21/14. Claim disallowed.

No further action is planned by the Board at this time.

*** Continued on next page ***

NYC Parks & Recreation Employer -Benjamin Holmes Claimant -City of NY Other Than Ed, High Carrier -Social Security No. -W847008 Carrier ID No. -WCB Case No. -G047 7983 Carrier Case No. - 0846-12-02699 11/01/2006 Date of Accident -Date of Filing of this Decision - 06/03/2014 District Office -NYC

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.ny.gov

(800) 877-1373

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G047 7983

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 11/28/2012 involving the claim of Benjamin Holmes at the Manhattan hearing location, Judge William Dugan made the following decision, findings and directions:

DECISION: Claim is disallowed. Claim is barred by Sections 18 and 28.

Claimant's counsel notes exceptions.

. No further action is planned by the Board at this time.

Claimant -

Benjamin Holmes

Employer -

NYC Parks & Recreation

Social Security No. -

G047 7983

Carrier -Carrier ID No. - City of New York W847008

WCB Case No. -Date of Accident -

11/01/2006

District Office -

NYC

Carrier Case No. -

0846-12-02699

Date of Filing of this Decision- 12/03/2012

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

EC-23 (4/98) FILE COPY Page 1 of 1

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 124 of 173

STATE OF NEW YORK WORKERS' COMPENSATION BOARD

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) PO Box 5205 Binghamton, NY 13902-5205 100 Broadway Menands ALBANY 12241 State Office Building 44 Hawley Street BINGHAMTON 13901 295 Main Street Suite 400 BUFFALO 14203

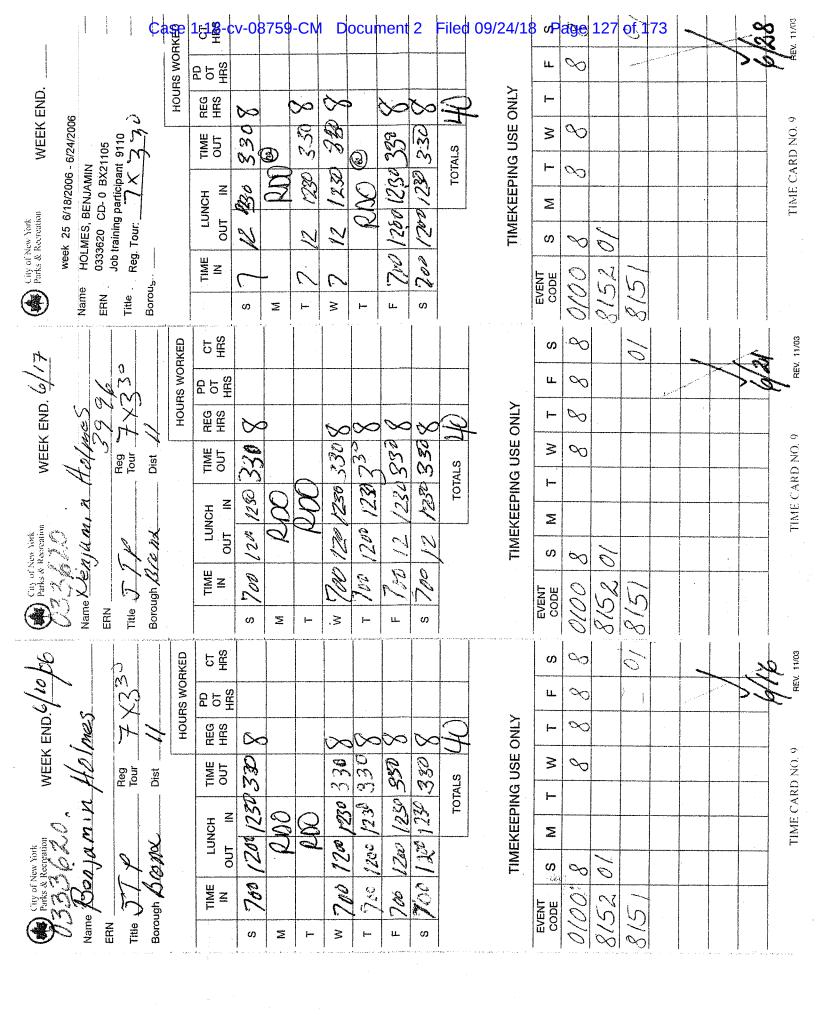
130 Main Street W. ROCHESTER 14614 935 James Street SYRACUSE 13203

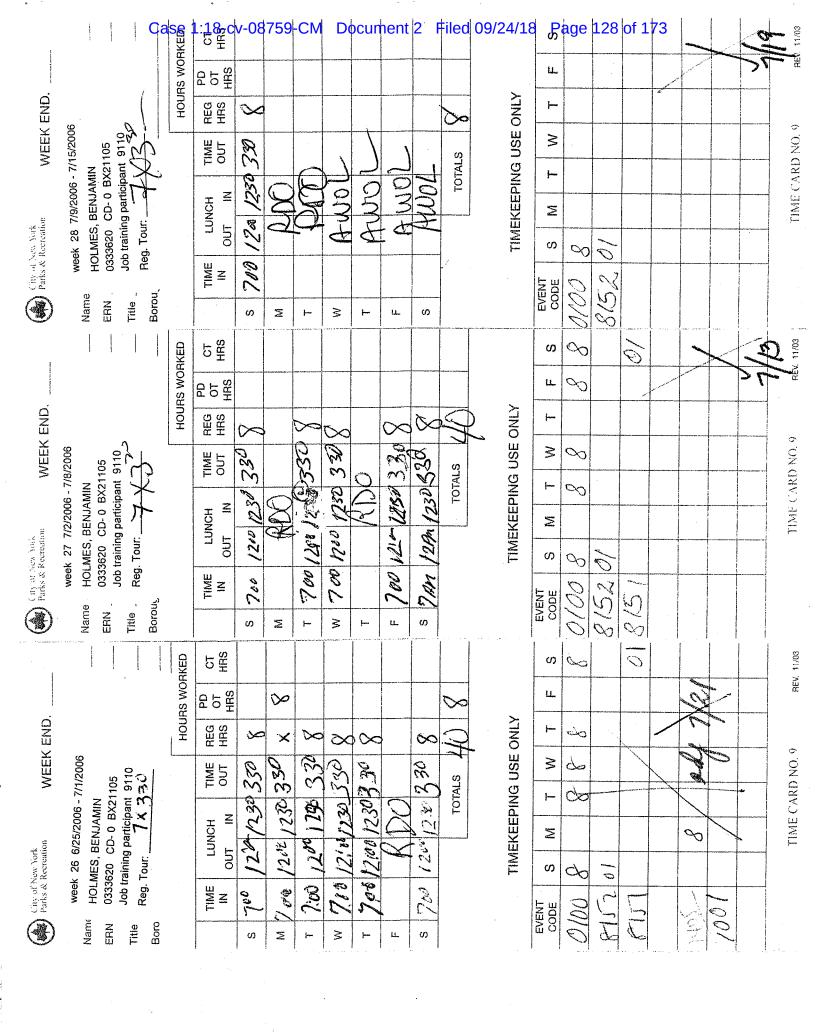
COVER SHEET - APPLICATION FOR BOARD REVIEW

1107.0 N b /-\	Carrier Case Number(s)	Carrier	Code	Carrier's Name	Date of Injury
WCB Case Number(s)	Carrier Case Number(s)	Carner	J040	City of Newyork	
				and of hoca fook	11/01/01/
60477983	W847008	0846-12	02699	N. V. C.	11/01/2006
	laimant's Name			/ Address	
Boniamin	Holmes	· t.		d 764 Brond 1	
gov); see Subject Nos. 046-144 of this Application must be serv requested by this form may resul For Applications filed by a carrier	and 046-375), personal delivery to a ed on all parties in interest. Section t in dismissal of the Application. If a TPA or self-insured employer, an a	ins 1 and 2 on the analysis additional attorney in additional attorney in c-6/6/6/1000 in the control of the co	reverse side of fee is being re 8.6 must be attr	-0337; see Subject No. 046-144), e-mail to one of the Board addresses listed at this form must be completed. The fail quested, Form OC-400.1 must be attach and served on all parties.	ure to supply all information ed and served on all parties.
TO ALL OTHER PARTIES: An parties, as specified in Section 2	y Rebuttal to this Application must on the reverse side of this form.	be served on the B	oard within 30	days following the date on which the Ap	plication was served on the
This application is made o	n hehalf of		•		
Claimant Employ Attorney/Licensed Rep	er/Carrier Senjami	n Hame	ک	Special Funds 🔀	Uninsured Employers' Fund
	or: Keview of WCLJ Decision	- (\A/C) 6 23 and 1	2 NYCRR 300), 13)	
(choose only one)	Rehearing or Reopening	(12 NYCRR 300.1	4)		~
3. The filing date of the decis	ion which is the subject of this a			12 Juge William	Dugan
4. The remedy sought is:	Administrative Correction of De		dification of th		
	Reversal of the Decision	∐Re	scission of the	Decision	
5. This application arises from	m an expedited hearing: 🗹 Ye	s □ No			
6. Specify the issue(s) for re-	view:				
Employer/employee re	lationship	age Weekly Wage		Special Funds Liability Attorney/Licensed Represe	intafiya Fee
Accident		orization of Treatm	ent	Facial Award	andive i co
Occupational Disease	·	d of Disability		Section 32 Denial	
Notice		ee of Disability		Disability Benefits	
Causal Relationship		bursement		Discrimination	•
Death Benefits	Pena			· · · · · · · · · · · · · · · · · · ·	
Timely Claim Filing		§ 114-a Disqualif	cation	Policy Coverage	
Jurisdiction	• • • • • • • • • • • • • • • • • • • •	ortionment		ATF Deposit	
7 Specify the grounds for re	eview (foundation, basis, or point	s) relied upon in ra	ising the issu	es identified above.	S. 1
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8 Make reference to the rec	ord below, or such part thereof,	as is relevant to th	e issue(s) and	ground(s) raised in this application.	Also, indicate when and
where such issue(s) and (ord below, or such part thereof, ground(s) were raised before the	Workers' Comper	sation Law Ju	dge.	
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\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Employee Claim	
18	State of New York - Workers' Compensation Board Fill out this form to apply for workers' compensation benefits because of a work injury or work-related illness.	
	Fill out this form to apply for workers' compensation benefits because of a work injury or work-related liness. print neatly. This form may also be filled out on-line at www.wcb.state.ny.us.	type or
	B Case Number (if you know it): <u>C 0.123 \$ 8.5</u>	(1 1
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	3. Mailing address: Property and Superior Box 764 Bronk Ny 104-6 State To Con State To Con State To Con State To Con State To Con The Con State To Con The Co	37
	4. Social Security Number: 100=42-39965. Phone Number: (9/7) 97747386. Gender: Male	Female
	7. Do you speak English? X Yes \(\sum \cdot \no \) No \(\text{if no, what language do you speak?}\)	1
В.	YOUR EMPLOYER(S) 1. Employer when injured: New York Parks & Recreation 2. Phone Number: ()	1
	3. Your work address: 24 West Street New York Street	0023
	3. Your work address: Q. Q. Marrisward Street Cay D. S. S. S. S. S. S. S. S. S. S. S. S. S.	Zip Code
	4. Date you were hired: 51/8106 5. Your supervisor's name: Perez Shea.	
•	6. List names/addresses of any other employer(s) at the time of your injury/fillness:	<u>.</u>
		<u> </u>
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	7. Uid you lose time from work at the ones emportance of the control of the contr	•
C.	YOUR JOB on the date of the injury or illness 1. What was your job title or description?	
	1. What was your job title or description?	:
	2. What types of activities did you normally perform at work? Cut Cass	1
	3. Was your job? (check one)	
	4. What was your gross pay (before taxes) per pay period? 60865 5. How often were you paid?	<u> </u>
٠	6. Did you receive lodging or tips in addition to your pay? Yes . No lf yes, describe:	The state of the s
٠	6. Did you receive longing of the in desired.	.
n	YOUR, INJURY OR ILLNESS	<u>.</u>
V.	1. Date of injury or date of onset of illness: 111166 2. Time of injury: 12 AM	₹РМ
	3. Where did the injury/illness happen? (e.g., 1 Main Street, Pottersville, at the front door)	<u> </u>
	3. Where did the injury/liness happens (o.g.) Chain Cooks as a second se	
	part partial desiration of the learning	
	4. Was this your usual work location? X Yes No If no, why were you at this location?	
	West Home with pain in my Choses	3 oo c
	5. What were you doing when you were injured or became ill? (e.g., unloading a truck, typing a report)	<u> </u>
	Went Home with Pain in my Chest	
	6: How did the injury/illness happen? (e.g., I tripped over a pipe and fell on the floor)	1
	ONTION OLD BIG INJURYANITOSS INSPERON. (2-25) - 2-7.	
	and the farefronts	•
	7. Explain fully the nature of your injury/illness; list body parts affected (e.g., twisted left ankle and cut to forehead):	

	(16) City of Sear York (16) WEEK END. The		City of New York Parks & Recreation		WEEK END. 5/27/06	END.	2/27	90/
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Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 129 of 173 State of New York

(5789)34546265-1

			1	WORKERS' COMPENSATION BOARD
PLACE OF HEARING Workers Compensation Board	Part 2	Date of Hearing 09/16/2009	10:30 AM	District Office Peekskill
1 Larkin Plaza (Dock Street)		WCB Case No.	15 Min	(866) 746-0552
Yonkers, NY 10701		07925837	Date of Accident	WCB Home Page
			07/30/1979	www.wcb.state.ny.us
	2	•	Carrier ID No.	Carrier Case No.
			W204002	050494331
		RECEIVED		CLAIMANT
	· ·	ALVE	Ben	jamin Holmes

SEP 16 2009 NYS WORKERS' COMPENSATION BOARD YONKERS CUSTOMER SERVICE CENTER.

(Continued from Page 1)

who requests a cancellation, adjournment, or continuance.

C-7 issues.

IMPORTANT INFORMATION FOR THE CLAIMANT:

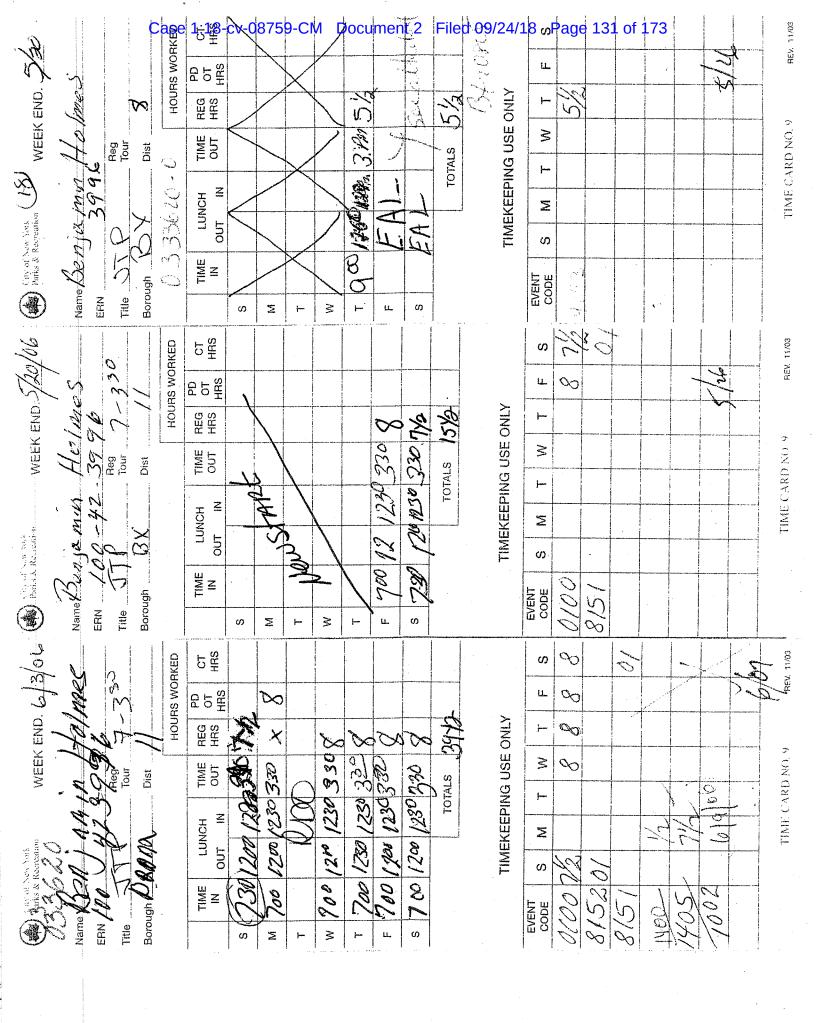
In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

Dated: 08/25/2009

Page 2 of 2

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Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 132 of 173 State of New York NOTICE OF WORKERS COMPENSATION HEARING WORKERS' COMPENSATION BOARD District Office PLACE OF HEARING Date of Hearing Time Part 10:30 AM Peekskill 09/16/2009 Workers Compensation Board 15 Min (866) 746-0552 1 Larkin Plaza (Dock Street) WCB Case No. Yonkers, NY 10701 G0123585 **Date of Accident** WCB Home Page 07/30/1979 www.wcb.state.ny.us Carrier ID No. Carrier Case No. W000004 Benjamin Holmes CLAIMANT 1160 Burke Ave Benjamin Holmes Bronx, NY 10469-5021 CLAIMANT: Bring this notice with you. Read important information on reverse side.

laaliitaadaddaddadddaaddaddddaddddadadd

EMPLOYER

Louis Leon

CARRIER

*** Carrier Undetermined ***

07925837

COPIES TO

Benjamin Holmes

Louis Leon

Joseph A. Romano Law Offices

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

PURPOSE OF HEARING:

C-7 issues.

MPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

Dated: 08/25/2009

Page 1 of 1

EC-16 (6/99) 345



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205

BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board

In regard to Benjamin Holmes, WCB Case #0792 5837

NOTICE OF DECISION

keep for your records

Joseph Kennow

At the Workers' Compensation hearing-held on 07/07/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Chaim Malks made the following decision, findings and directions:

DECISION: Disallowed as claimant has no evidence of a claim. If claimant obtains new evidence showing that liability was established or that the employer or a carrier paid benefits or medical bills he may apply to the Board for reopening. No further action is planned by the Board at this time.

I Benjamin Holmes wont to Appeal this Decision. becase of Judge Chaim Malks didn't answer my question I ask Him where did I get the WCB number From and the Carrier Number. I have paper from 1999 and I ask to reopen my workers Compensation Case. From Leopold N Bonitto the other Partners I need answer to my question. Thank you

Claimant -

Benjamin Holmes

Employer -

Louis Leon D/B/A

Social Security No. -

0792 5837

Carrier -

State Insurance Fund

WCB Case No. -Date of Accident -

07/30/1979

Carrier ID No. -

Carrier Case No. -050494331

District Office -

Peekskill

Date of Filing of this Decision - 07/12/2010

W204002

ATENCION:

Puede llamar a la oficina de la Junta de Compensación Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 134 of 173

(5789)34546265-1

State of New York

				OKNERO COMPENSATION DONNE
PLACE OF HEARING	Part	Date of Hearing	Time	District Office
Workers Compensation Board	2	09/16/2009	10:30 AM	Peekskill
1 Larkin Plaza (Dock Street)		WCB Case No.	15 Min	(866) 746-0552
Yonkers, NY 10701		07925837	Date of Accident	WCB Home Page
			07/30/1979	www.wcb.state.ny.us
			Carrier ID No.	Carrier Case No.
	4 1		W204002	050494331
		RECEIVED	CLAIMANT Benjamin Holmes	

SEP 16 2009

NYS WORKERS' COMPENSATION BOARD
YONKERS CUSTOMER SERVICE CENTER

(Continued from Page 1)

who requests a cancellation, adjournment, or continuance.

C-7 issues.

IMPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

Dated: 08/25/2009

Page 2 of 2

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 135 of 173

c	CLAIMANT: READ THE FO	NOTICE AND PRO DLLOWING INSTRUCTION		OR DISABILITY	BENEFITS		
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IF CO	YOU HAVE ANY QUESTION NTACT THE NEAREST OFFI	NS ABOUT CLAIMING DISABILICE OF THE NYS WORKERS' COMPENSATION BOAF	ITY BENEFITS, SI TIEI OMPENSATION POR IN	NE DUDAS RELACIO ICAPACIDAD, COMU DE COMPENSACIO	NADAS CON LA RE NIQUESE CON LA C ON OBRERA DE N	CLAMACIÓN DEICINA MAS O UEVA_YORK	DE BENEFICIOS CERCANA DE LA O ESCRIBA A
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Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 136 of 173

DOWNSTATE CENTRALIZED MAILING (for New York City, Hempstead, Hauppauge & Peekskill Districts) 100 Broadway State Office Building Statler Towers Menands

44 Hawley Street 107 Delaware Ave. 130 Main Street W.

ALBANY 12241 BINGHAMTON 13901 BUFFALO 14202 ROCHESTER 14614 SYRACUSE 13203 PO Box 5205 Binghamton, NY 13902-5205 NYC (200)877-1373/ Hemp. (866)805-3630 / Home. (966)881-5354 / Feet. (866)746-0552 (866) 750-5157 (886) 802-3604 (866) 211-0644 (86) 802-3730

TIMERS COMPENSATION BOARD

JUTHORIZATION TO DISCLOSE WORKERS' COMPENSATION RECORDS

(Pursuant to Workers' Compensation Law Section 110-a)

PLEASE COMPLETE ALL ITEMS. AN INCOMPLETE FORM WILL DELAY THE PROCESSING OF YOUR REQUEST.

Claimant's Social Security No. Case Number WCB DB Discriptination and/or Date of Accident 0 792 3837 / C 0/235
Benjamin Holmes 100-42-3996 7/36/79/ 5/25/06/19/25/
IF RELEASE IS AUTHORIZED FOR ADDITIONAL CASE FILE(S), IDENTIFY BELOW BY WCB/DB/DC CASE NUMBER AND/OR DATE OF ACCIDENT(S).
Claimant's Social Security No. Case Number and/or Date of Accident 07925837 Gol/255 100-42-3996 7/36179 5/725/06/19/25/19 IF RELEASE IS AUTHORIZED FOR ADDITIONAL CASE FILE(S), IDENTIFY BELOW BY WCB/DB/DC CASE NUMBER AND/OR DATE OF ACCIDENT(S). Work - WCB Case No Gol/23585
CLAIMANT IS PROHIBITED FROM AUTHORIZING RELEASE OF WORKERS' COMPENSATION INFORMATION TO
PROSPECTIVE EMPLOYERS OR IN CONNECTION WITH ASSESSING FITNESS OR CAPABILITY OF EMPLOYMENT.
INSTRUCTIONS:
Submit original to the Workers' Compensation Board and retain a copy for your records. Authorization
for disclosure of records for certain purposes is not valid under the law. See excerpt of WCL Section 110-a
on the reverse of this form. This authorization is effective until it is revoked by the claimant. Claimant may
revoke this authorization at any time upon written notice to the Workers' Compensation Board.
THIS AUTHORIZATION DOES NOT PERMIT eCASE ACCESS.
Pursuant to Section 110-a of the Workers' Compensation Law, I, Tenfamon Holanes
represent that I am a person who is/was the subject of the Workers' Compensation case(s) indicated above,
and I authorize the Workers' Compensation Board to discuss the above-referenced Workers' Compensation
— Beard records with and/or release a copy of the above-reference to to
Park Defart most For 5/25/10/6 to 1/25/01 Ind. Const Gas Statemen 7/30 507 Name of a Specific Person, Corporation, Association or Public or Private Entity
Name of a Specific Person, Corporation, Association of Public or Private Entity 24 M294 61 M.Y. 1100 2.3 s Address
May 2/4 835-7829 Landorstand that the requesting purity may be required to pay a standary fee prior to being provided copies or
these records by the Workers' Compensation Board.
Bayram Stolena 12/22/09

www.wcb.state.ny.us

information is associated with, and quick action is taken on, your request.

refulle . The wild will silve we fell the state.

processing of your request. The voluntary release of your social security number enables the Board to ensure that

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 137 of 173

State of New York
WORKERS' COMPENSATION BOARD

FILE COPY

NOTICE OF PRE-L	HEARING CONFERENCE	CE / HEARING		WOF	RKERS' COMPENSATION BOARD
 	Place of Conference	Part	Date of Conference	Time	District Office
Yonkers, NY		2	08/18/2009	1:00 PM 15 Min	Peekskill
WCB Case No.	Carrier ID No.	Carrier Case No.		Date of Accident	WCB Home Page
07925837	W204002	050494331		07/30/1979	www.wcb.state.ny.us

Benjamin Holmes 1160 Burke Ave. Bronx, NY 10469 POIs not sent a notice
PE L. L. Gulf Gas Station

RECEIVED

A45 1.9 2003

MYS WORKERS' COMPENSATION BOARD TONKERS CUSTOMER SERVICE CENTER

State Insurance Fund 105 Corporate Park Dr, Ste 200 White Plains, NY 10604-3814

*A0 Joseph A. Romano Law Offices 703 Yonkers Avenue Yonkers, NY 10704

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

The employer/carrier has objected to the claim for workers' compensation benefits by filing a Notice of Controversy (Form C-7). Because the employer/carrier objected to the claim, the claimant is not receiving any benefits. As compensation benefits are not being paid, the Board has scheduled a Pre-Hearing Conference with the parties.

The purpose of the Pre-Hearing Conference is to provide a mechanism for the identification of issues and relevant evidence and to permit the parties an opportunity to assess their case and to resolve outstanding issues prior to trial.

Ten days prior to the Pre-Hearing Conference, each party shall file with the Board a Pre-Hearing Conference Statement (Form PH-16.2). The parties should also bring two additional copies to the Pre-Hearing Conference. In cases where the claimant is not represented by counsel at the Pre-Hearing Conference, the claimant is not required to file the Pre-Hearing Conference Statement. If the claimant retains a legal representative within 10 days of the Pre-Hearing Conference, a Pre-Hearing Conference Statement must still be filed.

The ciaimant's and employer/carrier's statement shall be accompanied by any and all reports, forms and documents that the claimant or employer/carrier intends to use at the hearing(s), including hospital records and forms detailing the employer's statement of wages and the claimant's work status, except if the reports, forms or documents are already part of the Board's electronic case folder.

For claimants represented by counsel, an employee claim form (Form C-3) shall be accompanied by an attorney certification. Employers/carriers, or their legal representative, must file a written certification when the notice of controversy (C-7) is filed.

If as a result of the Pre-Hearing Conference an Initial Expedited Hearing is scheduled, any Independent Medical Examination (IME) Report shall be filed with the Board at least three days before the date set for the Initial Expedited Hearing. Failure to file and serve an IME Report shall be a waiver of the insurance carrier's right to examine the claimant and to have the IME Report considered on the threshold issue of causal relationship, unless the employer/carrier makes a showing of good cause for such failure, and that it acted in good faith and with due diligence.

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 138 of 173

State of New York WORKERS' COMPENSATION BOARD

FILE COPY

	HEARING CONFERE	NOE / HEADING		WOF	RKERS' COMPENSATION BOARD
	Place of Conference	Part	Date of Conference	Time	District Office
Yonkers, NY		2	08/18/2009	1:00 PM 15 Min	Peekskill
WCB Case No. Carrier ID No.		Carrier Ca	se No.	Date of Accident	WCB Home Page
07925837	W204002	050494331		07/30/1979	www.wcb.state.ny.us
1	1 1				

Forms may be located at the Board's web site or by calling the nearest District office. Claimants who represent themselves may call the Advocate for Injured Workers at 1-800-580-6665 if they have questions about completing the forms.

CLAIMANT/ CLAIMANT'S REPRESENTATIVE: In addition, you must file with the Board or bring to the hearing, Both sides to produce all documentation, including past decisions, related to the 8/1/79 accident

INSURANCE CARRIER/ EMPLOYER: In addition, the insurance carrier/employer must file with the Board or bring to the hearing, Both sides to produce all documentation, including past decisions, related to the 8/1/79 accident

120



City of New York Parks & Recreation

The Arsenal Central Park New York, New York 10021

Adrian Benepe Commissioner





Arsenal West 24 West 61st Street New York, New York 10023

David Terhune Director of Personnel

(212) 830-7851 david.terhune@parks.nyc.gov

October 16, 2006

Benjamin Holmes 762 East 211th Street Bronx, NY 10467

Dear Benjamin Holmes:

Thank you for your dedicated service to the New York City Department of Parks and Recreation. We hope that you have found your seasonal employment both educational and rewarding. As you are already aware, your temporary position with our agency will end on 11/18/2006. This information has already been given to the Human Resources Administration (HRA) for the purpose of rebudgeting or restoring your public assistance case. You do not need to give this information to HRA at this time.

If you have not yet secured permanent employment you must apply for Unemployment Insurance Benefits by calling (888) 209-8124 after your last day of work. Failure to apply for unemployment insurance if you are eligible may jeopardize your eligibility for public assistance. HRA will call you into a Job Center to receive an employment assessment and appropriate work activities that will be determined upon discussion with you and the Worker at your Job Center appointment. HRA will require you to bring proof of your application for UIB to your call-in appointment. You will receive a separate notification from HRA for this interview. If you have obtained unsubsidized employment, please bring documentation regarding your new job, such as a letter of employment and/or paystub, to this interview. Should you have any questions concerning your public assistance case, call HRA at (212) 643-2881 x269.

Again, many thanks for your service and best of luck in your future efforts.

David Terhune

Director of Personnel

cc: Seth Diamond BX21105 MONTEFIORE



Burke Avenue

941 Burke Avenue Bronx, NY 10469 (718) 654-5900 Fax: (718) 654-0053

05/26/2010

Benjamin Holmes Po Box 764 Apt# 3c Bronx, NY 10469

To whom it may concern:

Benjamin Holmes is a patient in this office on coumadin for a metal valve placed 4/2007. He may not use pain medications containing the analysesic acetominophen and this medication will raise his anticoagulation levels and increase the chance of bleeding.

If you have any questions, please call us @ 718-654-5900. We appreciate being able to work with you.

Joel Posner, MD

JOEL POSNER, M.D. 941 Burke Avenue Bronx, NY 10469 (718) 654-5900 Fax 654-0053



1:18-cv-08759-CM unDocument 2 Filed 09/24/18 Page 141 of 173

ALBERT EINSTEIN COLLEGE OF MEDICINE PELHAM PARKWAY SOUTH AND EASTCHESTER ROAD BRONX, NEW YORK 10461

TO:

TO WHOM IT MAY CONCERN

FROM:

NUCLEAR MEDICINE DEPARTMENT

BRONX MUNICIPAL HOSPITL CENTER

RE:

APPOINTMENT VERIFICATION

DATE:

3/10/05

NAME: Bonjamin Holmos was seen in our laboratory

DATE: 3/10/05, for a nuclear medicine procedure.

If there are any questions, please call us. 918-4892/4894

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 142 of 173

Social Security Benefit Information

From: SOCIAL SECURITY ADMINISTRATION

Refer To:

100-42-3996 A

3247 Laconia Ave Bronx, NY 10469

Date:

May 24 2010

BENJAMIN HOLMES PO BOX 764 BRONX NY 10469-0702 ADDR UPDA ED 09/02/2009

Information about a person's Social Security benefits is confidential by law. Except under certain circumstances specified by law and regulations, the Social Security Administration does not reveal such information to any person except the beneficiary involved, or his or her authorized representative.

Attached is the information you requested about your benefits. The attachment is an official record of your Social Security and/or supplemental security income benefits as of the date of this letter. You may use the attached information for proof of benefits.

If you have any questions concerning this official record, please contact your local Social Security Office.

A Wilder Manager



UNION HOSPITAL

Over Eighty Years of Service to the Bronx Community

260 EAST 188th STREET - BRONX, N.Y. 10458 (718) 220-2020 - 295-1700

voluntary-supported non-sectarian fully accredited

Dear Sir or Madam

The visit date for the record you requested has exceeded the required retention period of

Six years for adults and age of majority plus the Statue of Limitations (2½ years civil cases; 3 years criminal cases) for minors (New York State Codes, Rules & Regulations 405, chapter 1). The records are no longer available.

re: Benjamin Holmes - records from 1980

Phyllis L. Astorino

Director, Health Information Administration

is of Estoring Dr

Date



DAVID A. PATERSON

STATE OF NEW YORK WORKERS' COMPENSATION BOARD OFFICE OF GENERAL COUNSEL 20 PARK STREET ALBANY, NY 12207

(518) 486-9564



April 22, 2010

Benjamin Holmes P.O. Box 764 Bronx, New York 10469

Re: Reference No. 10-77

Dear Mr. Holmes:

I am in receipt of your undated letter, requesting your workers' compensation records, which was received by the Workers' Compensation Board's Office in Norwich on April 5, 2010, and forwarded to the Office of General Counsel for handling.

Please be advised that a search of our records has been conducted. I have identified 224 pages which relate to your request. Section 87 of the Public Officers Law authorizes an agency to request a fee of \$.25 per page for copies of records. Accordingly, please forward payment of \$56.00 via personal check, certified check, or money order (please do not send cash) payable to the New York State Workers' Compensation Board. Please forward payment with a copy of this letter to:

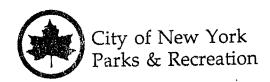
Darlene Thompson New York State Workers' Compensation Board Office of General Counsel 20 Park Street, Room 401 Albany, New York NY 12207

Upon receipt of the photocopying fee, the documents you requested will be torwarded to you. In the alternative, you may visit any district office or customer service center of the Workers' Compensation Board, and upon presenting photo identification, you may view your case file, and choose to pay for and print only those documents you need.

In all future correspondence relating to this request, please refer to the reference number as indicated above. Thank you.

Sincerely,

Darlene Thompson Administrative Assistant



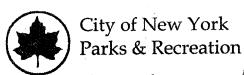
Henry J. Stern Commissioner Ranaqua Bronx Park Bronx, New York 10462

William Castro Borough Commissioner Bronx

Date 10 15 96

Date10 15 196
Dear Denjamin Holmes:
This is to advise you that a paycheck is being held for you in the amount of \$
In order for us to mail you the check, please fill out the information below and send this letter with a self-addressed stamped envelope to the Payroll Office at the above address.
If you prefer to pick up your check, you may come to the Bronz Borough Office between 9:00 a.m. and 4:00 p.m. Monday through Friday. Please bring this letter and a form of identification with you. If we do not hear from you by $\frac{10/25/96}{4}$, Your check will be returned to the Office of Payroll Administration.
Very truly yours,
Breude Hends (718) 430-1810
Please fill out:
NameAddressSocial Security #
Please send my check: Certified Mail Regular Mail

CIN#WD 86222



The Arsenal Central Park

Adrian Benepe

Commissioner

New York, New York 10021

Arsenal West 24 West 61st Street New York, New York 10023

David Terhune Director of Personnel

(212) 830-7851 david.terhune@parks.nyc.gov

October 16, 2006

Benjamin Holmes 762 East 211th Street Bronx, NY 10467

Dear Benjamin Holmes:

Thank you for your dedicated service to the New York City Department of Parks and Recreation. We hope that you have found your seasonal employment both educational and rewarding. As you are already aware, your temporary position with our agency will end on 11/18/2006. This information has already been given to the Human Resources Administration (HRA) for the purpose of rebudgeting or restoring your public assistance case. You do not need to give this information to HRA at this time.

If you have not yet secured permanent employment you must apply for Unemployment Insurance Benefits by calling (888) 209-8124 after your last day of work. Failure to apply for unemployment insurance if you are eligible may jeopardize your eligibility for public assistance. HRA will call you into a Job Center to receive an employment assessment and appropriate work activities that will be determined upon discussion with you and the Worker at your Job Center appointment. HRA will require you to bring proof of your application for UIB to your call-in appointment. You will receive a separate notification from HRA for this interview. If you have obtained unsubsidized employment, please bring documentation regarding your new job, such as a letter of employment and/or paystub, to this interview. Should you have any questions concerning your public assistance case, call HRA at (212) 643-2881 x269.

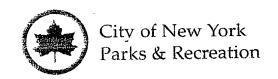
Again, many thanks for your service and best of luck in your future efforts.

David Terhune

Director of Personnel

cc: Seth Diamond BX21105

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 147 of 173



The Arsenal Central Park

New York, New York 10021

Henry J. Stern Commissioner

Joseph P. Bernstein

Director of Labor Relations

(212) 360-8209

teamster@parklan.ci.nyc.ny.us

Mr. Mark Rosenthal President, Local 983 District Council 37 125 Barclay Street, Rm 534 New York, New York 10007

Grievance # R01-0101-KC1522 Grievant: Benjamin Holmes

January 3, 2001

Dear Mr. Rosenthal:

As requested, a **Seasonal Review** for the above reference employee has been scheduled for Tuesday, January 9, 2001 in the Arsenal, 830 5th Ave. (located inside Central Park at East 64th St. and 5th Ave.). The hearing will begin **promptly** at 10:00 am and will take place in the Labor Relations Division (2nd Floor, Room 209). Please attend on time, as other hearings may be scheduled immediately afterwards. It may also help if you meet with the grievant **prior** to the scheduled start of the hearing, as the allotted time will be needed for the hearing itself.

Additionally, you must **notify the grievant** of the date, time and location of the hearing. Due to past confusion regarding employee addresses, this office will no longer notify grievant directly. However, as in the past, the borough/bureau office will be copied on this letter so they are aware that the employee will not be at work. If the grievant cannot attend, please provide documentation to this office so we may consider rescheduling. No-shows, undocumented absences, or avoidable absences **may** not be offered a new date.

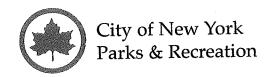
Finally, have either yourself or the grievant bring originals of any exhibits you plan to submit, as well as two copies (one for the Union and one for the Agency).

Please feel free to call me at (212) 360-8209 with any questions you may have or any special accommodations needed for yourself or the grievant. Thank you.

Sincerely,

øseph Bernstein

Cc: Terhune



The Arsenal Central Park New York, New York 10021

Henry J. Stern Commissioner

Joseph P. Bernstein Director of Labor Relations

(212) 360-8209 teamster@parklan.ci.nyc.ny.us

January 5, 2001

Benjanin Holmes 1135 East 226th Drive Apt 2B Bronx, NY 10466

Dear Mr. Holmes:

This is to inform you that the seasonal review regarding your placement to unsatisfactory list was scheduled for January 9, 2000 in error. Article XX Section 4 of the Seasonal Agreement sets forth the following language specifically for Rights to Review or Hearing;

When a City Seasonal Aide employed by the Department of Parks & Recreation who has completed one season and who has worked at least ninety (90) cumulative days with the Department of Parks and Recreation in a seasonal capacity, is terminated, the employee or union representative may request a review by the Commissioner or..."

Since you were not terminated, your request to a seasonal review is dismissed.

Joseph P. Bernstein

Sincerely

Director of Labor Relations

CC: M. Rosenthal -President L. 983

Chart # 1871037 Age 32 Print Em Name # Olmes Ben am Sex M Address 1/35 E. 226 Dr Apt. #2B Emp. Ad Tel. No. Blue Cro Arrived M MRE # Father's Full Name UNK Police Po Name (N Presenting Complaint Co frein on Orinle Af Possible Dx. Aain awoke ft from sleep in	OMPENSATION Inployer's Name UR Other Ins Via_CAO From MD #_ PotBadge #	7P
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State of New York WORKERS' COMPENSATION BOARD

CLAIMANT'S REQUEST FOR FURTHER ACTION

INSTRUCTIONS: To request Board action on a case, complete this form and submit it to your local WCB district office. See mailing addresses on the reverse side. ATTACH ALL APPLICABLE EVIDENCE FOR CONSIDERATION BY THE BOARD. You must also send a copy of this form to your employer's workers' compensation insurance carrier, or directly to your employer or its third party administrator, if it is self-insured. This form is NOT to be used to APPEAL a decision.

	administrator, in the contract	<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>			
ALL COMMUNICA	TIONS SHOULD REFER TO THESE NUMBERS 3. SOCIAL SEC	URITY NO.	4.	DATE OF INJURY	5. WCB DISTRICT OFFICE
1. WCB CASE NO	2. CARRIER CASE NO. (if known)	TIL		181 1 131	
0792	58 39 State Fund-1004	2 39	2960 ADDRESS TO	4 1 9 5 3 which notices should	
	NAME				APT. NO.
6. CLAIMANT	Benjamin Holmes	Poi	BOX 7	64 Brons	N.y-10469
7. EMPLOYER	Louis Leon	1101	Corre	PlaceB	cona Ny 10
8. CARRIER	State Fund				ATTY/REP I.D. NO.
9. ATTORNEY OR LICENSED REP.					R
CHECK HERE	IF CLAIMANT'S ADDRESS SHOWN ABOVE IS NEW.				
(Check	REASON FOR T all that apply - use item p. for explanation or addition	HIS REQU	JEST tion - see re	verse side for furth	er explanation)
10. CLAIN			,		nt was denied or not
4. 2.1	requests referral for Administrative	لسسا	addressed.		
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	appropriate box[es] below):	П J.	he/she now	v has medical evide	ence of permanency.
h	he/she has had a change of medical condition.	☐ k.	new or requ	uested evidence is	now available.
	ITE THIS BOX IS CHECKED, ATTACH MEDICAL REPORT. IF REPORT WAS PREVIOUSLY SUBMITTED, IDENTIFY IT IN ITEM P BELOW BY DATE, DOCTOR'S NAME AND FORM ID, IF ANY.	I.	claimant's	representative's fe	e has not been paid.
₽ c.	ne/sne is not working and not receiving payments.	m.	he/she has	s discontinued or so to this accident/inju	ettled a lawsuit ury.
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	he/she is working at reduced earnings.	O.	he/she has and is app release).	s been released fro lying for benefits (a	attach proof of
g.	he/she has not been paid as directed in a notice of decision.	p.	other (exp	lain fully in the spa	ce provided below.)
, i	of decision.				
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ATTACH	ALL APPLICABLE EVIDENCE FOR CONSIDERATION BY THE IT BY DATE, DOCTOR'S NAME AND FORM ID, IF ANY, IN TI	E BOARD. IF HE SPACE P	MEDICAL EV	IDENCE WAS PREVIO	OUSLY SUBMITTED,
		Yes Dr	No If Y	es, please attach	documentation.
If No, h	ne above issues been resolved by agreement? ave you attempted to resolve the issue(s) checked	above With	n the other p	parties? Yes	₩ No
I hereby cer	tify that a copy of this form with attachment(s) was s	ubmitted to	the other p	arty(ies) in this cas	ie in accordance with
the instructi	PREPARED BY, (Please Print Name)		REPARED	AREA CODE T	ELEPHONE NUMBER
Ben	Jamin Holmes	05 3	7, 7	9179	714738
MED B	pris submitted by claimant claimant's repres	entative		•	•
Inis tor	m is submitted by claimant claimant's repres				TANTE AL DODGO

Worker's **Compensation** http://www.wcb.state.ny.us/

Property and Casualty Bureau NYS Insurance Department 25 Beaver Street New York, NY 10004-2319 Phone 212 480-5662 Is this where ?
you have fried?
already

Worker's **compensation records** are available by mail only. Written request must include full name, case number, name of employer involved in claim, and date of accident. Notarized release required. Fee per request.

I Benjamin Holmes Requesting Records For
State In Surance Fund my name is Benjamin Holmes
my Employer name was Lowis Leon and Leeipole Broneatto
Dat of Accident 7/30/79 to 7/30/80 the address of the
Place was 1101 GRINARA Place Brona NY - 10466
Place Name was Grinara Gulf my webcase number 07925837
my currier case number 050494331 I never got a
Selberiment From State Ensurance Fund becouse
Of third party accretion

Thank you Benjamin Holmes

TAOFIK IFAFORE
NOTARY PUBLIC, STATE OF NEW YORK
NO.01IF6217366
QUALIFIED IN BRONX COUNTY
COMMISSION EXPIRES FEBRUARY 8, 2014

man Wan 4-1-10

This is to Show

State of New York NOTICE OF WORKERS COMPENSATION HEARING WORKERS' COMPENSATION BOARD District Office Time Date of Hearing PLACE OF HEARING Peekskill 9:00 AM 02/10/2010 Workers Compensation Board (866) 746-0552 20 Min 1 Larkin Plaza (Dock Street) WCB Case No. WCB Home Page **Date of Accident** G0123585 Yonkers, NY 10701 www.wcb.state.ny.us 07/30/1979 Carrier Case No. Carrier ID No. W204002 CLAIMANT Benjamin Holmes

CLAIMANT: Bring this notice with you. Read important information on reverse side.

Benjamin Holmes

laalillaadaddaddaddadddaadddaadddadadd

EMPLOYER

Louis Leon

PO Box 764

CARRIER

State Insurance Fund

Bronx, NY 10469-0702

07925837

COPIES TO

Benjamin Holmes

Joseph A. Romano Law Offices

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

PURPOSE OF HEARING:

C-7 issues.

IMPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

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The New York State Workers' Compensation Board prohibits visitors, employees, clients or witnesses from carrying or bearing firearms or any other weapon on Board premises.

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 154 of 173 State of New York

(7295)35495908-1 OF WORKERS COMPENSATION HEARING

WORKERS' COMPENSATION BOARD

PLACE OF HEARING Workers Compensation Board 1 Larkin Plaza (Dock Street) Yonkers, NY 10701	Part 2	Date of Hearing 02/10/2010	9:00 AM 20 Min	District Office Peekskill (866) 746-0552
	WCB Case No. 07925837		Date of Accident	WCB Home Page
	1	0/923037	07/30/1979	www.wcb.state.ny.us
			Carrier ID No.	Carrier Case No.
			W204002	050494331
Benjamin Holmes				CLAIMANT
PO Box 764 Bronx, NY 10469-0702			Ben	jamin Holmes

CLAIMANT: Bring this notice with you. Read important information on reverse side.

laaliilaadadaladadaaliindadilaaadaddaalaadadaadil

RECEIVED

EMPLOYER

Louis Leon D/B/A

L. L. Gulf Gas Station

FEB 10 2010

CARRIER

State Insurance Fund

G0123585 WORKERS' COMPENSATION BOARD

COPIES TO

Benjamin Holmes

Joseph A. Romano Law Offices

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PURPOSE OF HEARING:

C-7 issues.

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The New York State Workers' Compensation Board prohibits visitors, employees, clients or witnesses from carrying or bearing firearms or any other weapon on Board premises.

Dated: 01/20/2010

THE BOARD EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION AND ASSURES HEARING LOCATIONS ACCESSIBLE TO THE DISABLED. CONTACT THE NEAREST BOARD OFFICE IE VOIL HAVE SPECIAL ACCESSIBILITY NEEDS.

Page 1 of 1

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 155 of 173 State of New York

(5789)34546265-1 OF WORKERS COMPENSATION HEARING

9)34546265-1 NOTICE OF WORKERS COMPENSATION PLACE OF HEARING Workers Compensation Board	Part 2	Date of Hearing 09/16/2009 WCB Case No.	10:30 AM 15 Min	District Office Peekskill (866) 746-0552
Larkin Plaza (Dock Street) /onkers, NY 10701		07925837	Date of Accident	wcb Home Page www.wcb.state.ny.us
			07/30/1979 Carrier ID No.	Carrier Case No.
			W204002	050494331
Benjamin Holmes 1160 Burke Ave			Ben	CLAIMANT ijamin Holmes

Bronx, NY 10469-5021

CLAIMANT: Bring this notice with you. Read important information on reverse side.

laallilaadadaladadadadaadalaadibadadadada

EMPLOYER

L. L. Gulf Gas Station

CARRIER

State Insurance Fund

RECEIVED

SEP 1 6 2009

G0123585 NYS WORKERS' COMPENSATION BOARD YONKERS CUSTOMER SERVICE CENTER

COPIES TO

Benjamin Holmes

Joseph A. Romano Law Offices

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

PURPOSE OF HEARING:

This claim is ordered for an Expedited Hearing pursuant to Workers' Compensation Law Section 25(3)(d) and 12 NYCRR 300.34.

The purpose of this expedited hearing is to address any and all outstanding issues at one hearing where appropriate. Within twenty (20) days from this notice, but not later than ten (10) days before the date of the expedited hearing, each party shall file with the board and serve upon all other parties in interest a concise statement of all unresolved issues, and, where necessary, a summary of the claim, a theory of the case, a list of all defenses the carrier is raising, the names of additional parties, the names of lay witnesses and medical witnesses, and an explanation as to why discovery is not completed prior to the hearing. A Board form entitled "Pre-Hearing Conference Statement" (PH-16.2.0) shall be used for this purpose.

Failure to file the pre-hearing conference statement, or filing an improper, incomplete or untimely pre-hearing conference statement will result in a waiver of defenses or a waive; of the right to call witnesses or produce evidence that should have been included with the pre-hearing conference statement.

There shall be no cancellation, adjournment, or continuance of this expedited hearing unless the WCL Judge approves based upon an emergency. An emergency is a serious event that occurs preventing the timely completion of some action ordered or directed by the Board or regulation. An emergency includes death in the family, serious illness, significant prior professional or business commitment, and inclement weather that prevents travel. It does not include any event that can be prevented or mitigated by the timely taking of reasonable action.

Any party seeking to cancel, adjourn or continue this hearing prior to its scheduled date shall file with the WCL Judge a written request at least fifteen (15) days prior to the hearing date stating the reasons for the request. Copies of such request shall be served on the other parties. If the cancellation, adjournment, or continuance is approved by the WCL Judge, an expedited hearing will be rescheduled at the earliest date possible and the parties will be notified. If the cancellation, adjournment, or continuance is denied, the hearing will take place on the date scheduled above. If the WCL Judge rules that the request for a cancellation, adjournment, or continuance is not an emergency and is frivolous, penalties of \$500 or \$1000 will be assessed against the requestor pursuant to WCL 25(3)(d) and 12 NYCRR 300.34(f). Such penalty will be payable by the representative making the request and shall not come out of claimant's award. No penalty shall be imposed on an unrepresented claimant

Dated: 08/25/2009

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 156 of 173 State of New York

(6159)35787678.1 NOTICE OF WORKERS COMPENSA	TION HEARIN	G		WORKERS' COMPENSATION BOARD
PLACE OF HEARING Workers Compensation Board	Part 2	Date of Hearing 03/22/2010	3:00 PM 90 Min	Peekskill (866) 746-0552
1 Larkin Plaza (Dock Street) Yonkers, NY 10701		WCB Case No. 07925837	Date of Accident 07/30/1979	wcb Home Page www.wcb.state.ny.us
			Carrier ID No.	Carrier Case No.

Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

Benjamin Holmes

CLAIMANT: Bring this notice with you. Read important information on reverse side.

CLAIMANT

W204002

050494331

laallilaadaddaddaddaaddaaadddaadddaadadaadd

EMPLOYER

Louis Leon D/B/A L. L. Gulf Gas Station

CARRIER

State Insurance Fund

G0123585

COPIES TO

Benjamin Holmes

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

PURPOSE OF HEARING:

3/22/10 at 3:00 p.m. Testimony of claimant, 2 employer witnesses, and summations. Question of prima facie medical evidence.

IMPORTANT INFORMATION FOR THE CLAIMANT:

In a compensable workers' compensation case, bills for related medical treatment are the responsibility of your own employer or its workers' compensation insurance carrier. If you have used a private health insurance policy (Blue Cross, Blue Shield, G.H.I., H.I.P., or other) for payment of any bills in your workers' compensation case, please advise the private health insurer immediately.

In order to be reimbursed for any payments or co-payments you may have made for treatment or services which are the responsibility of the workers' compensation insurance carrier, you must tell the judge at this hearing about this payment.

The New York State Workers' Compensation Board prohibits visitors, employees, clients or witnesses from carrying or bearing firearms or any other weapon on Board premises.

Dated: 03/02/2010

EC-16 (6/99) 47



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G012 3585

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 03/22/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Chaim Malks made the following decision, findings and directions:

DECISION: Claimant is directed to produce medical evidence of a causally related injury and proof of advance payment. No further action is planned by the Board at this time.

Claimant -

Benjamin Holmes

Employer - Carrier -

Louis Leon

Social Security No. - WCB Case No. -

G012 3585

Carrier ID No. -

State Insurance Fund W204002

Date of Accident -

07/30/1979

Carrier Case No. -

District Office -

Peekskill

Date of Filing of this Decision - 03/25/2010

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Workers Compensation Board
P.O. Box 5205
Binghamton Ny. 139025205

John it my concern

J Banjamin Holmes Wont to Reopen

IMY case because. Jame one houndred percent disable.

I worke for L. L. Gulf Gas Station.

My Employee name was Lowis Leon. The year

was July 30-1979 oc 400) My wc B number 15

07925837. and my Carrie was State Farm

My work place was 1140 Grenada Pl- Borna Wy. 10466

My Social Security Number 13-100-42-3996

My Noctor of fice in Wilson Orthopacies Physical Therapy

75 East Guntill Rd. Bronx N.y. 10467 Phon 718 798-1000.

State Insurance Fund

199 Church Street Thank you

New York Ny. 1007 Benjamin Holmes

Claim. No. 3378676965 1160 Burke Ave APT3C

on 5486931 Bronk New York 10469

Phon 917-971-4738 be

718-798-3602

Beyon: Holmen

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 159 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205 Binghamton, NY 13902-5205

www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ZACHARY S. WEISS CHAIR

> Benjamin Holmes 1160 Burke Ave Bronx, NY 10469-5021

July 14, 2009

In response to the claimant's counsel:

In the Request for Further Action form of 06/11/2009 you indicated that your requesting a hearing on further causally related disability.

Based upon your request the Board evaluated the file, but no action can be taken until you specify the period in question and remit medical evidence in support of causally related disability and its degree for same. In addition, if the claimant has a copy of the first notice of decision noting the site(s) established, average weekly wage, etc and a copy of the last notice of decision, please remit those as well. If additional documentation is available, send copies to the Board and carrier. Thank you.

Workers' Compensation Board

Margaret Morrissey (866)746-0552

Case Information

Claimant: Benjamin Holmes

WCB Case No.: 07925837 Date of Accident: 07/30/1979

Employer: L. L. Gulf Gas Station

Social Security No.:

Carrier ID No.: W204002 Carrier Case No.: 050494331

Insurance Carrier: State Insurance Fund



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G012 3585

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 03/22/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Chaim Malks made the following decision, findings and directions:

DECISION: Claimant is directed to produce medical evidence of a causally related injury and proof of advance payment. No further action is planned by the Board at this time.

Claimant -

Date of Accident -

District Office -

Social Security No. -WCB Case No. - G012 3585

> 07/30/1979 Peekskill

Benjamin Holmes

Employer -

Louis Leon

State Insurance Fund

Carrier - Carrier ID No. -

W204002

Carrier Case No. -

Date of Filing of this Decision - 03/25/2010

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #G012 3585

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 03/22/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Chaim Malks made the following decision, findings and directions:

DECISION: Claimant is directed to produce medical evidence of a causally related injury and proof of advance payment. No further action is planned by the Board at this time.

Claimant -

Benjamin Holmes

Employer -

Louis Leon

W204002

Social Security No. - WCB Case No. -

G012 3585

Carrier - Carrier ID No. -

State Insurance Fund

Date of Accident -

07/30/1979

Carrier Case No. -

District Office -

Peekskill

Date of Filing of this Decision - 03/25/2010

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 162 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205

Binghamton, NY 13902-5205 www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

January 20, 2010

In response to the claimant:

In the phone call of 01/15/2010 you indicated that you have requested a hearing.

Based upon your request the Board is scheduling the case for a hearing; you will receive a notice of hearing giving a date, time, and location in the near future.

Workers' Compensation Board

Ms. Smalls (866)746-0552

Case Information

Claimant: Benjamin Holmes

WCB Case No.: 07925837 Date of Accident: 07/30/1979

Employer: Louis Leon D/B/A

L. L. Gulf Gas Station

Social Security No.:

Carrier ID No.: W204002 Carrier Case No.: 050494331

Insurance Carrier: State Insurance Fund



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO BOX 5205 BINGHAMTON, NY 13902-5205 www.wcb.state.ny.us

(866) 746-0552

State of New York - Workers' Compensation Board In regard to Benjamin Holmes, WCB Case #0792 5837

NOTICE OF DECISION

keep for your records

At the Workers' Compensation hearing held on 03/22/2010 involving the claim of Benjamin Holmes at the Yonkers hearing location, Judge Chaim Malks made the following decision, findings and directions:

DECISION: No further action is planned by the Board at this time.

Claimant -

Benjamin Holmes Social Security No. -

Employer -Carrier -

Louis Leon D/B/A State Insurance Fund

WCB Case No. -Date of Accident - 0792 5837 07/30/1979 W204002

District Office -

Peekskill

Carrier ID No. -Carrier Case No. - 050494331

Date of Filing of this Decision - 03/25/2010

ATENCION:

Puede llamar a la oficina de la Junta de Compensacion Obrera, en su area correspondiente, cuyo numero de telefono aparece al principio de la pagina y pida informacion acerca de su reclamacion(caso).

5

FH# 5624973J

DATED: Albany, New York

12/07/2010

NEW YORK STATE OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE

Ву

Commissioner's Designee

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 165 of 173



STATE OF NEW YORK WORKERS' COMPENSATION BOARD PO Box 5205 Binghamton, NY 13902-5205

www.wcb.state.ny.us

THIS AGENCY EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

ROBERT E. BELOTEN CHAIR

> Benjamin Holmes PO Box 764 Bronx, NY 10469-0702

February 11, 2011

In response to the claimant:

In the phone call of 02/09/2011 you indicated that you do not agree with the Memorandum of Board Panel Decision dated 1/26/11.

Based upon your request the Board evaluated the file, but no action can be taken because you must submit a written request for a Full Board Review. As explained, please address the issues, facts and/or findings you disagree with in writing and if you have documentation to support your claim, please remit those as well. A copy of your written request must be filed with the State Insurance Fund as well. Thank you..

Workers' Compensation Board

Margaret Morrissey (866)746-0552

Case Information

Claimant: Benjamin Holmes

WCB Case No.: 07925837 Date of Accident: 07/30/1979

Employer: Louis Leon D/B/A

L. L. Gulf Gas Station

Carrier ID No.: W204002

Social Security No.:

Carrier Case No.: 050494331

Insurance Carrier: State Insurance Fund

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 166 of 173

* WORKERS CO	OMPENSATION HEAF	RING		WOR	KERS COMPENSATION BO
WORKEROO	Place of Hearing	Part	Date of Hearing	Time	District Office
Yonkers, NY		2	02/10/2010	9:00 AM 20 Min	Peekskill
WCB Case No.	Carrier ID No.	Carrier Cas	e No.	Date of Accident	WCB Home Page
07925837	W204002	050494	331	07/30/1979	www.wcb.state.ny.us

Benjamin Holmes P.O. Box 764 Bronx, NY 10469 POIs not sent a notice PE Louis Leon D/B/A

State of New York

G0123585

State Insurance Fund 105 Corporate Park Dr, Ste 200 White Plains, NY 10604-3814

Joseph A. Romano Law Offices *A0 703 Yonkers Avenue Yonkers, NY 10704

PLEASE NOTE: THIS HEARING WILL BE HELD AT THE YONKERS CUSTOMER SERVICE CENTER. THE HEARINGS ARE HELD ON THE 2ND FLOOR. PHONE NUMBER: 1-866-746-0552. PLEASE BE PREPARED TO SHOW PHOTO IDENTIFICATION UPON ARRIVAL.

PURPOSE OF HEARING:

C-7 issues.

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Dated: 01/20/2010

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 167 of 173

NOTICE OF WORKERS COMPENSATION HEARING

State of New York	
RKERS' COMPENSATION	BOARD

TOTAL COMMITTION	ON TILAKING	J		WORKERS' COMPENSATION BOA
Workers Compensation Board 1 Larkin Plaza (Dock Street) Yonkers, NY 10701	Part 2	Date of Hearing 07/07/2010	10:30 AM	District Office Peekskill
	WCB Case No.		15 Min	(866) 746-0552
		07925837	Date of Accident	WCB Home Page
			07/30/1979	www.wcb.state.ny.us
			Carrier ID No.	Carrier Case No.
Benjamin Holmes			W204002	050494331
PO Box 764 Bronx, NY 10469-0702			Ben	CLAIMANT jamin Holmes

CLAIMANT: Bring this notice with you. Read important information on reverse side.

1...||||....|..||...||...|||....|||

EMPLOYER

Louis Leon D/B/A

L. L. Gulf Gas Station

CARRIER

State Insurance Fund

RECEIVED

JUL 07 2010

NYS WORKERS' COMPENSATION BOARD YONKERS CUSTOMER SERVICE CENTER

COPIES TO

Benjamin Holmes

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PURPOSE OF HEARING:

C-7 issues.

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Dated: 06/17/2010

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 168 of 173

Montefiore - Moses Division

07925837

111 East 210th Street Bronx, NY 10467 Department of Radiology

DOS 06/18/2007 ACC # 5123509 Typed 6/18/2007 Typed By JCW-DH Location ER Resident Kim, Dong Resident

Radiologist WOLF, ELLEN L MD

MR # 01287053 Visit # 163763543 Patient HOLMES, Benjamin DOB 4/19/1953

Requested By MEYER, ROBERT H, MD

Dr. Dong Kim, Dr. Wolf; Acc: 5123509; MRN: 01287053; DOS: 06/18/07; DOD: 06/18/07; Patient Name: Holmes, Benjamin

EXAMINATION: Portable chest radiograph.

IMPRESSION: The patient is status post mitral valve replacement. Probable discoid atelectasis at the right and left bases. Small pneumonia is not excluded. Findings slightly improved in appearance as compared to prior study.

CLINICAL INDICATION: 54-year-old male with chest pain.

INTERPRETATION: Single portable AP view of the chest has been submitted for review and is compared to the prior study June 12, 2007.

The patient is status post mitral valve replacement. There is palpable discoid atelectasis within the right and left lung bases. Small pneumonias are not excluded. This finding is sightly improved in appearance as compared to the prior study. The heart size is top normal. The osseous structures are unremarkable.

Approved by: WOLF, ELLEN, MD

ROBERT MEYER H, MD 111 E 210TH Street BRONX, NY 10467

Confidential Patient Information

DIAG

Page 1 of 1

PRINTED BY: WPHIPPS DATE: 12/16/2009

Case 1:18-cv-08759-CM Document 2 File (109/24/18 Page 169 of 173

07925837

MONTEFIORE



MRN: 01287053
AGCt #: 164097610 (E)
PT Name: HOLMES, Benjamin
Attending MD:
NS Room/Bed:

Service: Admit Date: 26-Jun-2007 Disch Date: 26-Jun-2007

Discharge Order Summary

Age: BT: CC: DX: 54 DOB: 19-Apr-1953 Sex:M Dept:ED 5 ft 7 in WT: 216 lbs

Allergies: VALSARTAN; MORPHINE; LISINOPRIL;

INTERP: English Isolation: Disability:

Orders	Order Mode	Status Signed By
BASIC METAB PANEL (Chem7/Ca), once		_
New/NW(26-Jun-2007 1149 - H)		Current Status: Comp/IE
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON MD
CARDIAC MARKERS, Once		•
New/NW(26-Jun-2007 1149 - H)		Current Status: Comp/IE
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON MD
TROPONIN-T, once		Commont Chatan O / in
New/NW(26-Jun-2007 1149 - H)		Current Status: Comp/IE
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON MD
CBC, once		Current Status: Comp/IE
New/NW(26-Jun-2007 1149 - H)		ourself blacks: Comp/15
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON MD
PROTHROMBIN TIME, once		Current Status: Comp/IE
New/NW(26-Jun-2007 1149 - H)		ourrent bracus: Comp/15
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON ND
APTT, onde		
New/NW(26-Jun-2007 1149 - H)		Current Status: Comp/IE
Ordered By: CAMPBELL, CARON MD	(Electronic)	Signed By: CAMPBELL, CARON MD
JRINALYSIS, once		Current Status: DC'D/ID
URINE CULTURE, 1, once		durant de la company
. N		Current Status: Comp/IE
TYPE/SCREEN AUT-MOS, 1, ONCE U		Current Status: Comp/IE
K MB, 1, once		Current Status: Comp/IE
New/NW(26-Jun-2007 1150 - H)		
Ordered By: UNSPECIFIED, UNSPEC	(unknown)	Signed By: NOT, USER
hest XR-PA/Lat, 1, once		Current Status: Comp/IE
ain780.99	9 r chest s/p valve replacement 6/	05/07 south30hall

** END OF REPORT **

HOLMES, Benjamin

Discharge Order Summary

RUN: 3-Dec-2007 2120

PAGE 1 OF 1

PRINTED BY: WPHIPPS

DATE: 12/16/2009

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 170 of 173

Montefiore Medical Center Laboratories 07925837

Montefiore Medical Center Laboratories

Ira I. Sussman, MD - Director 111 E. 210th Street, Bronx, NY 10467

CLIA#: 33D0669651

Tel: 718-920-4695

Physician: DOCTOR UNSPECIFIED

Patient: HOLMES, BENJAMIN MMC MR#: MMC-01287053

DOB: 04/19/53

Age: 54 Sex: M

Location: ER

MOSES EMERGENCY

SSN-100423996

Report Date: 06/28/07 Collected: 06/26/07 11:50

Request#: 07-1244310

Results

Ref. Ranges

Blood Bank ABO/Rh Type Antibody Screen

A Pos Negative MOS MOS

Performing Labs: MOS=Moses EIN=Einstein BLH=Bronx-Lebanon AML=Quest Diagnostics-Nichols Institute, PO Box 10841, Chantilly, Virginia 20153

(** Indicates CRITICAL Values)

PRINTED BY: WPHIPPS

DATE: 12/16/2009

Case 1:18-cv-08759-CM Document 2 Filed 09/24/18 Page 271 272 585/ Montefiore Medical Center Laboratories

Ira I. Sussman, MD - Director
111 E. 210th Street, Bronx, NY 10467

CLIA#: 33D0669651

Tel: 718-920-4695

Physician: DOCTOR UNSPECIFIED

Patient: HOLMES, BENJAMIN MMC MR#: MMC-01287053

DOB: 04/19/53

Age: 54 Sex: M

Location: ER

MOSES EMERGENCY

ssn-100423996

Report Date: 07/02/07 Collected: 06/29/07 18:18

Request#: 07-1244314

Results

Ref. Ranges

Urine culture Source/Body site

Clean catch

MOS

Culture results

No growth

Performing Labs: MOS=Moses EIN=Einstein BLH=Bronx-Lebanon AML=Quest Diagnostics-Nichols Institute, PO Box 10841, Chantilly, Virginia 20153

(** Indicates CRITICAL Values)

PRINTED BY: WPHIPPS

DATE: 12/16/2009

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MONTEFIORE Moses Emergency Department



111 East 210th Street Bronx, NY 10467 718.920.5731

Patient: I

Triage Dat

HOLMES, BENJAMIN

MR#01287053 ED DOB: Apr

MOSES. Male

Med Rec Account

DOB: 04/19/1953 ACCT: 163763543 : 54 yr

Nursing ED Assessment Form			
	Time:		
	None DNR DNI He	ealth Proxy	Į.
	Sepsis □ Abd. Pain □ Stroke □	Asthma	
Precautions: FALL	Seizure		
0 1 - 1 115 - 4 1 0	alear Charlestand	☐ Drugs	
Social History: Non-Sm		Thriange	Last Drink:
	mount per day: nce Range 70-115 mg/dL):	Ur	ine Hcg:
Spiritual / Cultural Needs:		Addressed ☐ Yes ☐ No	
Crisis intervention:			
-individuality individuality			
Respiratory	Cardiovascular	Neurological	Abdominal
Ainway:	☐ Rhythm	□ Alert □ Oriented	Soft Distended Firm
Patent Obstructed	☐ Pacer ☐ IACD	☐ Confused ☐ Verbal	☐ Tender ☐ Nontender
☐ Trach	☐ Cap Refil: ☐ <4 sec ☐ >4 sec	☐ Lethargic ☐ Unresponsive	☐ Guarding
		☐ Combative	☐ Bowel Sounds
Respirations:	□ Edema □ Y □ N	☐ Dizziness	present absent
☐ Rate	Integumentary	Speech:	☐ Nausea ☐ Vomiting ☐ Diarrhea ☐ Lactation
☐ Labored	Color:	☐ Slurred ☐ Clear	☐ LMP ☐ Menopausal
☐ Accessory Muscle Use	WINL Pale Flushed	☐ Aphasic	☐ Gravida ☐ Para
☐ Nasal Flaring	☐ Mottled ☐ Cyanotic	PERLA PY IN	☐ Bleeding ☐ Discharge
	☐ Jaundice	☐ Facial Droop ☐ Weakness ☐ R ☐ L	1
Breath Sounds:	Temperature:		☐ Dysuria ☐ Frequency
	□ Warm □ Cool □ Hot	Mgbility:	☐ Incontinence☐ Last Void:
	Dry Diaphoretic	Moves All Extremities	Safety\Universal Precautions:
1	Skin Integrity:	Gait: Steady Unsteady	
[☐ Intact ☐ Y ☐ N Describe	Unable to Ambulate	Bed in Prominent Area
	CANADA CONTRACTOR CONT	☐ Injury	Side Rails Up x2
Crackles R .L	□ Rash □ Y □ N	Assist device/type:	☐ Call Bell
Cough: ☐ Non Productive	□ Bruising □ Y □ N	Deformity	☐ Family at Bedside
☐ Productive	☐ Pressure Ulcer	□ Pulses	☐ Restraint Type:
Color	Location:	RUE RLE	(see flow sheet)
•	Stage:	LUE LLE	☐ Isolation
Barriers To Learning	No Barriers Physical	Social Nursing Home R	esident
Cognitive Primary	Languager		N
☐ Understands English	7	☐ Social Service Notified	☐ Has help @ home
Date/Time Print Nam	e / Title	Signature	Initials
1915		6. /	8
1018 Box		pri	



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MONTEFIORE



Moses Emergency Department 111 East 210th Street Bronx, NY 10467 718.920.5731



Patient: HOLMES, BENJAMIN

Triage Date: June 18, 2007

DOB: April 19, 1953

Med Rec#: Account#:



lursing						

Assessment Date / Time:

PAIN.	ASSESSI	MENT / COM						
Havey	ou had pai	n in the past	week?	∕es □ No			•	
Do you	currently	have pain?	to v	∕es □ No				11.5.00
A D	escriptive	Pain Inter	sity Scale	Α	Scale Using Facia	nt Expression	s (Wong-Baker	Scale Faces)
0	2 4	. 6	8	10		(35) (5	S (20)	
1				1	(3)(3)		と)(笑)(第)
No		oderate Seve	•	Worse Pain	0 2	4 6	8	10
Pain	Pain	Pain Pa	in Pain	Possible	No Hurts A		unts HuntsA H	urts Worse
		1	T		Hurt Little Bit	Little More Ev	ren More Whole Lot	ONCE
DAT	E / TIME	INITIALS	PAIN LEVEL		INTERVENTION		Satisfied	ONSE Not Satisfied
	130/	SB	5				4.	
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7				<u> </u>	to all Darkana		.1	
			1	•	rbal Patients			
					f the following is present (c)	heck all that apply):		
	ually Painful D		☐ Painful Procede	ire				
		rviors indicative o	•					
☐ Frowning/Grimicing ☐ Anxious/Irritable ☐ Pained Expression ☐ Restless/Agitated/Screaming			□ Sad/Fearfu/Withd		□ Crying/Moaning □ Jumps When Touched			
		ssion Pain Present	☐ Restless/Agitat	ea/20t eathing	☐ Afraid To Move/Ri	gia .	C Jumps when it	acusa
				,				
DAT	E/TIME	INITIALS	INTER	<u> </u>				
								
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I						<u></u>		
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HOLMES, BENJAMIN (40 - 55 yr M) Chest Pain